

Medicare Advantage Provider Manual

2022

TABLE OF CONTENTS

| | |
|--|----|
| INTRODUCTION..... | 6 |
| OVERVIEW..... | 7 |
| KEY CONTACTS AND IMPORTANT PHONE NUMBERS | 8 |
| Key Contacts and Important Phone Numbers | 8 |
| MEDICARE REGULATORY REQUIREMENTS | 10 |
| SECURE WEB PORTAL | 13 |
| Functionality | 13 |
| Disclaimer | 14 |
| CREDENTIALING AND RE-CREDENTIALING | 15 |
| Credentials Committee | 16 |
| Re-credentialing | 16 |
| Practitioner Right to Review and Correct Information..... | 17 |
| Practitioner Right to Be Informed of Application Status | 17 |
| Practitioner Right to Appeal Adverse Re-credentialing Determinations | 17 |
| Provider Non-Discrimination | 17 |
| PROVIDER ADMINISTRATION AND ROLE OF THE PROVIDER | 19 |
| Primary Care Providers | 19 |
| Specialist as the Primary Care Provider..... | 19 |
| Specialty Care Provider..... | 20 |
| Mental Health Providers | 20 |
| Independently Practicing Psychologists (IPP) Hospitals | 21 |
| Ancillary Providers | 21 |
| MEMBER SELECTION OR ASSIGNMENT OF PCP | 23 |
| APPOINTMENT AVAILABILITY | 24 |
| Telephone Arrangements..... | 26 |
| Training Requirements | 27 |
| Missed Appointments and Other Charges | 27 |

| | |
|---|-----------|
| WELLCARE BENEFITS | 28 |
| VERIFYING MEMBER BENEFITS, ELIGIBILITY, and COST SHARES..... | 29 |
| Member Identification Card..... | 29 |
| Preferred Method to Verify Benefits, Eligibility, and Cost Shares | 30 |
| Other Methods to Verify Benefits, Eligibility and Cost Shares | 30 |
| MEDICAL MANAGEMENT | 31 |
| Care Management | 31 |
| HMO Special Needs Plan (SNP) Model of Care (MOC) and Care Management | 33 |
| Utilization Management..... | 35 |
| Utilization Determination Timeframes | 38 |
| Utilization Review Criteria | 39 |
| Pharmacy | 40 |
| Second Opinion..... | 43 |
| Health Care | 43 |
| Emergency Medical Condition..... | 43 |
| ENCOUNTERS AND CLAIMS..... | 44 |
| Encounter Reporting | 44 |
| CLAIMS | 45 |
| Verification Procedures..... | 45 |
| Upfront Rejections vs. Denials | 47 |
| Timely Filing | 47 |
| Who Can File Claims? | 47 |
| Electronic Claims Submission | 48 |
| Online Claim Submission..... | 52 |
| Paper Claim Submission | 52 |
| Corrected Claims, Requests for Reconsideration or Claim Disputes | 53 |
| Electronic Funds Transfers (EFT) and Electronic Remittance Advices (ERA)..... | 56 |
| Risk Adjustment and Correct Coding | 57 |
| Coding of Claims/ Billing Codes | 57 |
| CODE EDITING | 59 |
| CPT and HCPCS Coding Structure | 59 |

| | |
|--|-----------|
| International Classification of Diseases (ICD-10) | 60 |
| Revenue Codes | 60 |
| Edit Sources | 60 |
| Code Editing Principles..... | 61 |
| Invalid Revenue to Procedure Code Editing | 64 |
| Co-Surgeon/Team Surgeon Edits..... | 64 |
| Inpatient Facility Claim Editing..... | 65 |
| Administrative and Consistency Rules | 65 |
| Prepayment Clinical Validation | 66 |
| Claim Reconsiderations Related to Code Editing | 68 |
| Viewing Claims Coding Edits..... | 68 |
| Automated Clinical Payment Policy Edits | 69 |
| Clinical Payment Policy Appeals..... | 71 |
| THIRD PARTY LIABILITY | 73 |
| BILLING THE MEMBER..... | 74 |
| Failure to Obtain Authorization | 74 |
| No Balance Billing..... | 74 |
| Non-Covered Services..... | 74 |
| Qualified Medicare Beneficiaries (QMB) Billing..... | 74 |
| MEMBER RIGHTS AND RESPONSIBILITIES | 75 |
| Member Rights | 75 |
| Member Responsibilities..... | 77 |
| PROVIDER RIGHTS AND RESPONSIBILITIES | 79 |
| Provider Rights | 79 |
| Provider Responsibilities | 79 |
| Interference with Health Care Professionals' Advice..... | 81 |
| CULTURAL COMPETENCY | 83 |
| Language Services | 84 |
| Provider Accessibility Initiative..... | 86 |
| Americans with Disabilities Act..... | 86 |
| MEMBER GRIEVANCES AND APPEALS | 92 |

| | |
|--|------------|
| Grievances | 92 |
| Appeals | 93 |
| Member Grievance and Appeals Address | 93 |
| PROVIDER COMPLAINT AND APPEALS PROCESS | 94 |
| Wellcare Complaint | 94 |
| Authorization and Coverage Appeals | 94 |
| QUALITY IMPROVEMENT PLAN | 96 |
| Overview | 96 |
| QAPI Program Structure..... | 96 |
| Practitioner Involvement | 97 |
| Quality Assessment and Performance Improvement Program Scope and Goals | 97 |
| Practice Guidelines | 99 |
| Patient Safety and Quality of Care | 100 |
| Performance Improvement Process | 101 |
| Office Site Surveys | 101 |
| MEDICARE STAR RATINGS | 103 |
| How Can Providers Help Improve Star Ratings?..... | 103 |
| Healthcare Effectiveness Data and Information Set (HEDIS) | 105 |
| Consumer Assessment of Healthcare Provider Systems (CAHPS) Survey | 106 |
| Medicare Health Outcomes Survey (HOS)..... | 106 |
| REGULATORY MATTERS | 108 |
| Medical Records | 108 |
| Federal and State Laws Governing the Release of Information..... | 111 |
| Section 1557 of the Patient Protection and Affordable Care Act..... | 112 |
| Health Insurance Portability and Accountability Act | 113 |
| Fraud, Waste and Abuse | 117 |
| Post-Processing Claims Audit..... | 118 |
| False Claims Act | 119 |
| Physician Incentive Programs | 120 |
| First-Tier and Downstream Providers | 121 |
| Member Notifications..... | 121 |
| Detailed Explanation of Non-Coverage..... | 122 |

| | |
|---|------------|
| Required Notification to Members for Observation Services..... | 122 |
| Provider-Preventable Conditions | 123 |
| APPENDIX..... | 124 |
| Appendix I: Common Causes for Upfront Claim Rejections | 124 |
| Appendix II: Common Cause of Claims Processing Delays and Denials | 125 |
| Appendix III: Common EOP Denial Codes and Descriptions | 125 |
| Appendix IV: Instructions for Supplemental Information | 128 |
| Appendix V: Common HIPAA Compliant EDI Rejection Codes | 130 |
| Appendix VI: Claim Form Instructions..... | 134 |
| Appendix VII: Billing Tips and Reminders..... | 177 |
| Appendix VIII: Reimbursement Policies | 181 |
| Appendix IX: EDI Companion Guide Overview | 184 |

INTRODUCTION

Welcome to Wellcare. Thank you for participating in our network of high-quality physicians, hospitals and healthcare professionals.

This provider manual is a reference guide for you and your staff servicing members who are enrolled in our Medicare Advantage program for HMO, PPO, or Dual Special Needs (D-SNP) plans.

OVERVIEW

Wellcare is a licensed health maintenance organization (HMO) contracted with the Centers for Medicare and Medicaid Services (CMS) to provide medical and behavioral health services to eligible members. CMS also contracts Wellcare to provide Part D prescription medications to members enrolled in certain health plans which include a Part D benefit.

Wellcare is designed to achieve four main objectives:

- Full partnership between the member, their physician and their Wellcare Care Manager
- Integrated case management (medical, social, behavioral health, and pharmacy)
- Improved provider and member satisfaction
- Quality of life and health outcomes

Wellcare takes the privacy and confidentiality of our members' health information seriously. We have processes, policies, and procedures to comply with the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and CMS regulations. The services provided by the contracted Wellcare network providers are a critical component in terms of meeting the objectives above. Our goal is to reinforce the relationship between our members and their primary care physician (PCP). We want our members to benefit from their PCP having the opportunity to deliver high quality care using contracted hospitals and specialists. PCPs are responsible for coordinating our members' health services, maintaining a complete medical record for each member under their care, and ensuring continuity of care. The PCP advises the member about their health status, and medical treatment options, which include the benefits, consequences of treatment or non-treatment, and the associated risks. Members are expected to share their preferences about current and future treatment decisions with their PCP.

KEY CONTACTS AND IMPORTANT PHONE NUMBERS

The following table includes several important telephone and fax numbers available to providers and their office staff. When calling, it is helpful to have the following information available.

1. The provider's NPI number
2. The practice Tax ID Number
3. The member's ID number

Key Contacts and Important Phone Numbers

| | |
|--|--|
| Wellcare | Address: 7700 Forsyth Boulevard Clayton, MO 63105 Website: www.wellcareok.com |
| Provider Services | Phone: 1-833-853-0865 (TTY: 711) |
| Member Services | Phone: 1-833-853-0865 (TTY: 711) |
| Medical Management Inpatient and Outpatient Prior Authorization | Fax: N/A |
| Concurrent Review/Clinical Information | Fax: N/A |
| Admission/Census Reports/Face Sheets | Fax: N/A |
| Care Management | Fax: N/A |
| Behavioral Health Outpatient Prior Authorization | Fax: N/A |

| | |
|--|---|
| 24/7 Nurse Advice Line | 1-833-853-0865 (TTY: 711) |
| Interpreter Services | 1-833-853-0865 |
| Pharmacy Services | 1-888-865-6567 Prior Authorizations: 1-800-867-6564 |
| National Imaging Associates (NIA) | 1-800-509-1842 Website: www.RadMD.com |
| Envolve Vision | www.envolvevision.com |
| Envolve Dental | www.envolvedental.com |
| Fraud Waste and Abuse (FWA) | To report suspected fraud, waste and abuse call, 1-866-685-8664 |
| EDI Claims Assistance | For EDI Claim Assistance inquires, call 1-800-225-2573, ext. 6075525 Email: EDIBA@Centene.com |
| Payspan | Phone: 1-877-331-7154 Email: providersupport@payspanhealth.com |

MEDICARE REGULATORY REQUIREMENTS

As a Medicare contracted provider, you are required to follow a number of Medicare regulations and CMS requirements. Some of these requirements are found in your provider agreement. Others have been described throughout the body of this manual. A general list of the requirements can be reviewed below:

- Providers may not discriminate against Medicare members in any way based on the health status of the member.
- Providers may not discriminate against Medicare members in any way on the basis of race, color, national origin, sex, age, or disability in accordance with subsection 92.8 of Section 1557 of the Patient Protection and Affordable Care Act.
- Providers must ensure that members have adequate access to covered health services.
- Providers may not impose cost sharing on members for influenza vaccinations or pneumococcal vaccinations.
- Providers must allow members to directly access screening mammography and influenza vaccinations.
- Providers must provide members with direct access to health specialists for routine and preventive healthcare.
- Providers must comply with Plan processes to identify, access, and establish treatment for complex and serious medical conditions.
- Wellcare will provide you with at least 60 days written notice of termination if electing to terminate our agreement without cause, or as described in your Participation Agreement if greater than 60 days. Providers agree to notify Wellcare according to the terms outlined in the Participation Agreement.
- Providers will ensure that their hours of operations are convenient to the member and do not discriminate against the member for any reason. Providers will ensure necessary services are available to members 24 hours a day, 7 days a week. PCPs must provide backup in case of absence.
- Marketing materials must adhere to CMS guidelines and regulations and cannot be distributed to Wellcare members without CMS and/or Wellcare approvals of the materials and forms.
- Services must be provided to members in a culturally competent manner, including members with limited reading skills, limited English proficiency, members who are deaf or hard of hearing or are blind or have low vision and diverse cultural and ethnic backgrounds.

- Providers will work with Wellcare procedures to inform our members of healthcare needs that require follow-up and provide necessary training in self-care.
- Providers will document in a prominent part of the member's medical record whether the member has executed an advance directive.
- Providers must provide services in a manner consistent with professionally recognized standards of care.
- Providers must cooperate with Wellcare to disclose to CMS all information necessary to evaluate and administer the program, and all information CMS may need to permit members to make an informed choice about their Medicare coverage.
- Providers must cooperate with Wellcare in notifying members of provider contract terminations.
- Providers must cooperate with the activities of any CMS-approved independent quality review or improvement organization.
- Providers must comply with any Wellcare medical policies, QI programs and medical management procedures.
- Providers will cooperate with Wellcare in disclosing quality and performance indicators to CMS.
- Providers must cooperate with Wellcare procedures for handling grievances, appeals, and expedited appeals.
- Providers must request prior authorization from the plan if the provider believes an item or service may not be covered for a member or could only be covered under specific conditions. If the provider does not request prior authorization, the claim may be denied, and the provider will be liable for the cost of the service. Note: if the item or service is never covered by the plan as clearly denoted in the member's Evidence of Coverage, no prior notice of denial is required, and the member may be held responsible for the full cost of the item or service.
- Providers must allow CMS or its designee access to records related to Wellcare services for a period of at least ten (10) years following the final date of service or termination of this agreement, unless a longer period is required by applicable state or federal law.
- Provider must comply with all CMS requirements regarding the accuracy and confidentiality of medical records.
- Provider shall provide services in accordance with Wellcare policy: (a) for all members, for the duration of the Wellcare contract period with CMS, and (b) for members who are hospitalized on the date the CMS contract with Wellcare terminates, or, in the event of an insolvency, through discharge.

- Provider shall disclose to Wellcare all offshore contractor information with an attestation for each such offshore contractor, in a format required or permitted by CMS.

SECURE WEB PORTAL

Wellcare offers a robust secure web portal with functionality that will be critical to serving members and to ease administration for the Wellcare product for providers. Provider Services will be able to assist and provide education regarding this functionality. The portal can be accessed at www.wellcareok.com.

Functionality

All users of the secure web portal must complete a registration process. The secure web portal is separate across Wellcare health plans and will require registration for each state.

Once registered, providers may:

- Check eligibility
- View the specific benefits for a member
- View benefit details including member cost share amounts for medical, Pharmacy, dental, and vision services
- View demographic information for the providers associated with the registered TIN such as: office location, office hours and associated practitioners
- Update demographic information (address, office hours, etc.)
- View and print patient lists (primary care providers). This patient list will indicate the member's name, member ID number, date of birth and the product in which they are enrolled
- Submit authorizations and view the status of authorizations that have been submitted for members
- View claims and the claim status
- Submit individual claims, batch claims or batch claims via an 837 file
- View and download Explanations of Payment (EOP)
- View a member's health record including visits (physician, outpatient hospital, therapy, etc.), medications, and immunizations
- View gaps in care specific to a Member including preventive care or services needed for chronic conditions
- Send secure messages to Wellcare staff

Disclaimer

Providers agree that all health information, including that related to patient conditions, medical utilization and pharmacy utilization, available through the portal or any other means, will be used exclusively for patient care and other related purposes as permitted by the HIPAA Privacy Rule.

CREDENTIALING AND RE-CREDENTIALING

The credentialing and re-credentialing process exists to verify that participating practitioners and providers meet the criteria established by Wellcare, as well as applicable government regulations and standards of accrediting agencies.

If a practitioner/provider already participates with in the Medicaid product, the practitioner/provider will NOT be separately credentialed for the Wellcare product.

Notice: In order to maintain a current practitioner/provider profile, practitioners/providers are required to notify Wellcare of any relevant changes to their credentialing information in a timely manner but in no event later than 10 days from the date of the change.

The following information must be on file:

- Signed attestation as to correctness and completeness, history of license, clinical privileges, disciplinary actions, and felony convictions, lack of current illegal substance use and alcohol abuse, mental and physical competence, and ability to perform essential functions with or without accommodation;
- Current malpractice insurance policy face sheet, which includes insured dates and the amounts of coverage;
- Current controlled substance registration certificate, if applicable;
- Current drug enforcement administration (DEA) registration certificate for each state in which the practitioner will see Wellcare members;
- Completed and signed w-9 form;
- Current Educational Commission for Foreign Medical Graduates (ECFMG) Certificate, if applicable;
- Curriculum vitae listing, at minimum, a five-year work history if work history is not completed on the application with no unexplained gaps of employment over six months for initial applicants;
- Signed and dated release of information form not older than 120 days; and
- Current clinical laboratory improvement amendments (CLIA) certificate, if applicable.

Wellcare will primary source verify the following information submitted for credentialing and re-credentialing:

- License through appropriate licensing agency;

- Board certification, or residency training, or professional education, where applicable;
- Malpractice claims and license agency actions through the National Practitioner Data Bank (NPDB); and
- Federal sanction activity, including Medicare/Medicaid services (OIG-Office of Inspector General, Preclusion List).

For providers (hospitals and ancillary facilities), a completed Facility/Provider – Initial and Re-credentialing Application and all supporting documentation as identified in the application must be received with the signed, completed application.

Once the clean application is received, the Credentialing Committee will usually render a decision on acceptance following its next regularly scheduled meeting.

Primary care practitioners cannot accept member assignments until they are fully credentialed.

Credentials Committee

The Credentials Committee including the Medical Director, or their physician designee has the responsibility to establish and adopt necessary criteria for participation, termination, and direction of the credentialing procedures, including participation, denial, and termination. Committee meetings are held at least quarterly and more often as deemed necessary.

Failure of an applicant to adequately respond to a request for missing or expired information may result in termination of the application process prior to committee decision.

Re-credentialing

Wellcare conducts practitioner/provider re-credentialing at least every 36 months from the date of the initial credentialing decision and most recent re-credentialing decision. The purpose of this process is to identify any changes in the practitioner's/provider's licensure, sanctions, certification, competence, or health status which may affect the practitioner's/provider's ability to perform services under the contract. This process includes all practitioners, facilities and ancillary providers previously credentialed and currently participating in the network.

In between credentialing cycles, Wellcare conducts provider performance monitoring activities on all network practitioners/providers. This monthly inquiry is designed to monitor any new adverse actions taken by regulatory bodies against practitioners/providers in between credentialing cycles. Additionally, Wellcare reviews monthly reports released by the state, CMS and Office of Inspector General to identify any network practitioners/providers who have been newly sanctioned or excluded from participation in Medicare or Medicaid.

A provider's agreement may be terminated if at any time it is determined by the Wellcare Credentials Committee that credentialing requirements or standards are no longer being met.

Practitioner Right to Review and Correct Information

All practitioners participating within the network have the right to review information obtained by Wellcare to evaluate their credentialing and/or re-credentialing application. This includes information obtained from any outside primary source such as the National Practitioner Data Bank Healthcare Integrity and Protection Data Bank, CAQH, malpractice insurance carriers and state licensing agencies. This does not allow a provider to review references, personal recommendations, or other information that is peer review protected.

Practitioners have the right to correct any erroneous information submitted by another party (other than references, personal recommendations, or other information that is peer review protected) in the event the provider believes any of the information used in the credentialing or re-credentialing process to be erroneous, or should any information gathered as part of the primary source verification process differ from that submitted by the practitioner.

To request release of such information, a written request must be submitted to the Credentialing Department.

The Credentials Committee will then include any additional information received from the Practitioner as part of the credentialing or re-credentialing decision.

Practitioner Right to Be Informed of Application Status

All practitioners who have submitted an application to join have the right to be informed of the status of their application upon request. To obtain application status, the practitioner should contact the Provider Relations Representative at 1-833-853-0865 or www.wellcareok.com.

Practitioner Right to Appeal Adverse Re-credentialing Determinations

Applicants who are existing providers and who are declined continued participation due to adverse re-credentialing determinations (for reasons such as appropriateness of care or liability claims issues) have the right to request an appeal of the decision. Requests for an appeal must be made in writing within 30 days of the date of the notice.

All written requests should include additional supporting documentation in favor of the applicant's appeal or reconsideration for participation in the network.

Provider Non-Discrimination

We do not limit the participation of any provider or facility in the network, and/or otherwise discriminate against any provider or facility based solely on any characteristic protected under state or federal discriminate laws. We also do not discriminate for reimbursement or indemnification of any provider who is acting within the scope of their license or certification under applicable state law, solely on the basis of that license or certification. If Wellcare declines to include individual or groups of providers in our network, we will give the affected providers written notice of the reason for its decision.

Furthermore, we do not and have never had a policy of terminating any provider who:

- Advocated on behalf of a member;
- Filed a complaint against us; or
- Appealed a decision of ours.

PROVIDER ADMINISTRATION AND ROLE OF THE PROVIDER

Primary Care Providers

The primary care provider (PCP) is the cornerstone of Wellcare's care delivery model. The PCP serves as the Medical Home for the member. The Medical Home concept addresses an approach to care in which the PCP is the primary coordinate of all care for each member and uses a holistic, patient-centered approach to treatment. The Medical Home should assist in establishing a patient-provider relationship and ultimately better health outcomes. The PCP is responsible for providing all primary care services for Wellcare's members, including, but not limited to:

- Supervision, coordination, and provision of care to each assigned member;
- Initiation of referrals for medically necessary specialty care;
- Maintaining continuity of care for each assigned member;
- Maintaining the member's medical record, including documentation for all services provided to the member by the PCP, as well as any specialists, behavioral health or other referral services; and
- Screening for behavioral health needs at each visit and when appropriate, initiate a behavioral health referral.

Care managers will partner with the PCP not only to ensure the member receives any necessary care but to also assist the PCP in providing a Medical Home for the patient.

All PCPs may reserve the right to state the number of patients they are willing to accept into their practice. Since assignment is based on the member's choice, Wellcare does not guarantee a PCP will receive a set number of patients. PCPs may contact Provider Services if they, choose to change their panel size or close their panel to accept only established patients. If Wellcare determines that a PCP fails to maintain quality, accessible care, Wellcare reserves the right to close the PCP panel if necessary and re-assign members to a new PCP.

Specialist as the Primary Care Provider

When medically necessary care is needed beyond the scope of what the PCP can provide, PCPs are encouraged to initiate and coordinate the care members receive from specialist providers. ***Paper referrals are not required.***

In accordance with federal and state law, providers are prohibited from making referrals for designated health services to healthcare providers with which the provider, the member, or a member of the provider's family or the member's family has a financial relationship.

Specialty Care Provider

The Specialty Care Provider agrees to partner with the member's PCP and Care Manager to deliver care. Some key roles of specialty providers include:

- Rendering services requested by the PCP
- Communicating with the PCP regarding medical findings in writing
- Confirming member eligibility and benefit level prior to rendering services
- Providing a consultation report to the PCP within 60 days of the consult

Most visits to specialists do not require a prior authorization. While most specialists will require a written referral from the member's PCP, it is not required for the claim to be reimbursed by Wellcare. Specialists may elect to limit their practice to established patients only upon request to Provider Services.

Members may self-refer to an OB/GYN for their annual well checkup or for care related to pregnancy.

Specialty Care Physicians include, but are not limited to:

- Cardiology
- Gynecology and Related Services
- Endocrinology
- Gastroenterology
- Geriatrics
- Neurology
- Nephrology
- Oncology
- Ophthalmology
- Orthopedics
- Podiatry
- Pulmonology
- Rheumatology
- Urology

Mental Health Providers

Medicare recognizes the following practitioners who are eligible under Part B to furnish diagnostic and/or therapeutic treatment for mental, psychoneurotic, and personality disorders:

- Psychiatrist or other physicians (medical doctors [MD] and doctors of osteopathy [DO], particularly psychiatrists)
- Clinical psychologists (CP)
- Clinical social workers (CSW)

- Clinical nurse specialists (CNS)
- Nurse practitioners (NP)
- Physician assistants (PA)
- Certified nurse-midwives (CNM)
- Independently Practicing Psychologist (IPP)

Independently Practicing Psychologists (IPP) Hospitals

Hospitals are essential in delivering care to members. For this reason, Wellcare may contract with hospitals in the member service areas; however, any facility can be used in the case of an emergency. Additionally, Wellcare contracts with rehabilitation facilities, ambulatory surgery center, and other facilities needed to manage the health care of its members. Some responsibilities of hospitals include:

- Coordination of discharge planning with Wellcare Utilization Management staff
- Coordination of mental health /substance abuse care with the PCP and appropriate community providers
- Eligibility and benefit authorization before services are rendered
- Communication of all relevant medical information to member PCPs
- Communication of all emergent hospital admission to Wellcare Utilization Management staff within 48 hours of admission

Providers are encouraged to have privileges at Wellcare's contracted facility or agreements with hospitalist groups to care for their members who are hospitalized. Providers should review the Provider Directory for a list of contracted hospitals and associated facilities.

Ancillary Providers

Ancillary providers cover a wide range of services from therapy services to laboratory. The following are services provided by ancillary providers:

- Durable Medical Equipment
- Hospice Care
- Home Health
- Laboratory
- Prosthetics and Orthotics

- Radiology
- Therapy (Physical, Occupational, Speech)
- Some critical areas of responsibilities for ancillary providers include:
 - Obtaining member eligibility and benefit level prior to rendering services
 - Know limitations and/or benefit exclusions applicable to member
 - Communicate all relevant medical information to member's PCP

MEMBER SELECTION OR ASSIGNMENT OF PCP

Wellcare gives members the freedom to select the healthcare provider of their choice. Services from in-network providers are covered in based on contracted provisions, fee schedule, and any standard coding and claim guidelines, with exception of member cost sharing or co-pays. Or until the maximum out-of-pocket is met, out-of-network care is covered only in an emergency. Members are generally responsible for the full cost of care received from out-of-network providers.

Practitioners/providers must be credentialed prior to accepting or treating members, unless prior authorization has been obtained. Primary care physicians (PCPs) cannot accept member assignments until they are fully credentialed.

All PCPs reserve the right to state the number of members they are willing to accept into their panel. Wellcare does not and is not permitted to guarantee that any provider will receive a certain number of members.

The PCP to member ratio shall not exceed the following:

- Physicians 1: 2,500
- Nurse Practitioner 1: 1,250
- Physician Assistant 1: 1,250

PCPs and specialists who want to change their panel status (open, closed, existing members only) must notify Provider Services. Please note that PCPs and specialists may not refuse acceptance of new members if the panel status is open.

Wellcare prohibits all providers from intentionally segregating members from fair treatment and covered services provided to other non-Wellcare members.

APPOINTMENT AVAILABILITY

The following standards are established regarding appointment availability:

| Type of Care | Accessibility Standard* |
|---------------------------|---|
| PRIMARY CARE | |
| Emergency | Same day or within 24 hours of member's call |
| Urgent care | Within 2 days of request |
| Routine | Within 14 days of request |
| SPECIALTY REFERRAL | |
| Emergency | Within 24 hours of referral |
| Urgent care | Within 3 days of referral |
| Routine | Within 45 days of referral |
| MATERNITY | |
| 1st trimester | Within 14 days of request |
| 2nd trimester | Within 7 days of request |
| 3rd trimester | Within 3 days of request |
| High-risk pregnancies | Within 3 days of identification or immediately if an emergency exists |
| DENTAL | |
| Emergency | Within 24 hours of request |
| Urgent care | Within 3 days of request |
| Routine | Within 45 days of request |

The in-office wait time is less than 45 minutes, except when the provider is unavailable due to an emergency.

The following are behavioral health appointment access guidelines:

| Appointment Type | Description | Standard* |
|------------------|--|---|
| Immediate | Behavioral health services provided within a time frame indicated by behavioral health condition, but no later than 2 hours from identification of need or as quickly as possible when a response within 2 hours is geographically impractical | Within 2 hours – may include telephonic or face-to-face interventions |

| | | |
|--|---|---|
| Urgent | Behavioral health services provided within a time frame indicated by behavioral health condition but no later than 24 hours from identification of need | Within 2 hours |
| Routine – initial assessment | Appointment for initial assessment with a BHP within 7 days of referral or request for behavioral health services | Within 7 days of referral |
| Routine – first behavioral health service | Includes any medically necessary covered behavioral health service including medication management and/or additional services | Within 7 days of assessment |
| Appointments for psychotropic medication | | <p>The member's need for medication is assessed immediately and if clinically indicated, the member is scheduled for an appointment within a time frame that ensures:</p> <ul style="list-style-type: none"> • The member does not run out of any needed psychotropic medications; <p style="text-align: center;">or</p> <ul style="list-style-type: none"> • The member is evaluated for the need to start medications to ensure that the member does not experience a decline in their behavioral health condition. |
| Referrals or requests for psychotropic medications | Screening, consultation, assessment, medication management, medications, and/or lab testing services, as appropriate | Assess the urgency of the need immediately. If clinically indicated, provide an appointment with a BHP within a time frame indicated by clinical need, but no later than 30 days from the referral/initial request for services. |

| | | |
|------------------------------|--|--|
| Non-emergency transportation | | <ul style="list-style-type: none"> • Member must not arrive sooner than one hour before their scheduled appointment; and • Member must not have to wait for more than one hour after the conclusion of their appointment for transportation home or to another pre-arranged destination. |
|------------------------------|--|--|

Telephone Arrangements

Providers must be accessible to members 24 hours a day, seven (7) days a week.

- **After hours services**
 - Answering services must meet language requirements.
 - Should be able to reach the PCP or other designated medical provider.
 - All calls need to be returned within 30 minutes.
- **Answering machine**
 - Should be on after business hours.
 - Should direct members to call another number to reach the PCP or other designated medical provider.
 - A live person should be available to answer the designated phone number; another recording is not acceptable.
- **Transferred phone call**
 - Calls can be transferred to another location where a live person will be able to assist and can contact the PCP or another designated medical provider.
 - All calls need to be returned within 30 minutes.

Providers are required to develop and use telephone protocol for all of the following situations:

- Answering the member's telephone inquiries on a timely basis;
- Prioritizing appointments;
- Scheduling a series of appointments and follow-up appointments as needed by a member;
- Identifying and rescheduling cancelled and no-show appointments;
- Identifying special member needs while scheduling an appointment, e.g., wheelchair and interpretive linguistic needs for non-compliant individuals who are mentally incapacitated;

- Scheduling continuous availability and accessibility of professional, allied and supportive medical/dental personnel to provide covered services within normal working hours. Protocols shall be in place to provide coverage in the event of a provider's absence; and
- After-hours calls should be documented in a written format in either an after-hour call log or some other method and transferred to the member's medical record.

Note: If after-hours urgent care or emergent care is needed, the PCP or their designee should contact the urgent care or emergency center to notify the facility.

Wellcare will monitor appointment and after-hours availability on an on-going basis through its Quality Improvement Program.

Training Requirements

Information on training opportunities will be posted on our website at www.wellcareok.com. The following training courses are required by CMS as well as Wellcare:

- Annual Fraud, Waste and Abuse Training within 90 days of contracting and annually thereafter;
- Annual Compliance Training within 90 days of contracting and annually thereafter;
- Annual Model of Care Training within 90 days of contracting and annually thereafter;
- Cultural Competency Training; and
- Other State Required Training.

Missed Appointments and Other Charges

Wellcare may charge "administrative fees" to Medicare Advantage members for missed appointments with contracting providers and for not paying contracting providers required cost-sharing at the time of service.

Contracted and non-contracted providers may charge a fee for missed appointments, provided such fees apply uniformly to all Medicare and non-Medicare patients. This applies even if the Medicare Advantage Organization (MAO) itself does not charge an administrative fee for missed appointments.

WELLCARE BENEFITS

Wellcare covers all benefits through fee-for-service Medicare plus more. All services are subject to benefit coverage, limitations and exclusions as described in the applicable Wellcare coverage guidelines.

Access a copy of the Member's Evidence of Coverage to verify the covered services specific to each plan on the Wellcare portion of our website at www.wellcareok.com. Please contact Provider Services at Wellcare Medicare HMO Phone: 1-855-766-1572 (TTY: 711) Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP) Phone: 1-833-541-0767 (TTY: 711) with any questions you may have regarding benefits.

The following is a partial list of services not covered under Parts A and B, however, may be covered under a supplemental benefit:

- Acupuncture
- Hearing Aids
- Routine Foot Care
- Emergency care while traveling outside of the United States
- Routine Dental Care
- Routine Eye Care
- Custodial Care


VERIFYING MEMBER BENEFITS, ELIGIBILITY, AND COST SHARES

A member's eligibility status may change at any time. Therefore, all Providers should verify eligibility, benefits, and cost sharing prior to each scheduled appointment. Providers should also request members to present their Wellcare ID card, along with additional proof of identification such as a photo ID (if applicable) at each encounter. If there are any discrepancies between the member's ID card and/or your eligibility report, please contact Provider Services at Wellcare Medicare HMO Phone:1-855-766-1572 (TTY: 711) Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP): 1-833-541-0767 (TTY: 711)

Member Identification Card

Below is a sample member identification card.

Front

| FRONT PANEL | |
|--|--|
|  | <Wellcare> <Wellcare No Premium Open (PPO)> CMS#: <H4537-001> Effective Date: <MM/DD/YYYY> |
| MEMBER INFORMATION Name: <First MI Last> Member ID#: <XXXXXXXX-XXX> Issuer ID: <[80840]> <9151014609> | PHARMACY INFORMATION MedicareRx Prescription Drug Coverage Rx Claims Processor: <CVS Caremark*> RXBIN: <004336> RXPCN: <MEDDADV> RXGRP: <RX8934> |
| Medicare limiting charges apply | |

Back

| BACK PANEL | |
|--|---|
| <www.wellcare.com/OK> | |
| FOR MEMBERS [<Member Services:>] [<1-833-853-0865 (TTY: 711)> [Nurse Advice Line:] [<1-833-853-0865 (TTY: XXX)>] | FOR EMERGENCIES Dial 911 or go to the nearest Emergency Room (ER). |
| FOR PROVIDERS [For Member eligibility and Medical prior auth/referrals :] [1-833-853-0865] [Enroll Dental:] <1-833-853-0865> [Enroll Vision:] <1-833-853-0865> [Medical Claims:] <Wellcare> <Attn: Claims> [Payor ID:] [68069] <P. O. Box 3060 Farmington, MO 63640-3822> | |
| [Pharmacy prior auth:] [1-800-867-6564] [For help: (PHARMACY USE ONLY)] [1-888-865-6567] Submit Part D Drug Claims to:<Wellcare> <Attn: Member Reimbursement Dept> <P.O. Box 31577><Tampa, FL> <33631-3577> | |

NOTE: Presentation of a member ID card is not a guarantee of eligibility. Providers must always verify eligibility on the same day services are rendered.

Preferred Method to Verify Benefits, Eligibility, and Cost Shares

The preferred method to verify member benefits, eligibility, and cost share information is through the Wellcare secure web portal found at www.wellcareok.com. Using the Portal, registered providers can quickly access member status. Eligibility checks can be performed using Member ID or last name, DOB, and date of service. Information on the web portal is updated every 24 hours.

The screenshot displays the Wellcare secure web portal interface. On the left, there is a 'Quick Eligibility Check' section with two input fields: 'Member ID or Last Name' (containing '123456789 or Smith') and 'Birthdate' (containing 'mm/dd/yyyy'). A green 'Check Eligibility' button is positioned to the right of these fields. Below this is a 'Recent Claims' section with a yellow banner stating 'No Data Found'. On the right side, there is a 'Welcome' sidebar. It includes a 'Add a TIN to My ACCOUNT' button with a right arrow, a 'Reports' button with a right arrow, and a 'Recent Activity' section. The 'Recent Activity' section has a table with two columns: 'Date' and 'Activity'. It lists two activities: '08/16/2014 You changed your password.' and '08/16/2014 Your password expired.'

Other Methods to Verify Benefits, Eligibility and Cost Shares

| | |
|--|--|
| <p>Toll Free Interactive Voice Response (IVR) Line at:</p> <p>Wellcare Medicare HMO Phone: 1-833-853-0865 (TTY: 711)</p> <p>Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP)</p> <p>Phone: 1-833-853-0866 (TTY: 711)</p> | <ul style="list-style-type: none">• Provider and member information can be sent through the IVR validation process, so Providers do not have to re-enter information• Providers may also opt to use speech capability or touch-tone keypad prompts to enter the member ID number and the month of service to check eligibility. |
| <p>Provider Services at:</p> <p>Wellcare Medicare HMO Phone: 1-833-853-0865 (TTY: 711)</p> <p>Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP)</p> <p>Phone: 1-833-853-0866 (TTY: 711)</p> | <ul style="list-style-type: none">• If a member's eligibility cannot be confirmed using the secure portal or the IVR line, call Provider Services.• Follow the menu prompts to speak to a Provider Services Representative.• Member ID number or last name and DOB are needed to authenticate verification. |

MEDICAL MANAGEMENT

Care Management

Care Management is a collaborative process to assess, plan, implement, coordinate, monitor, and evaluate options and services to meet an individual's health needs, using communication and available resources to promote quality, cost effective outcomes. Service/Care Coordination and Care Management are member-centered, goal-oriented, culturally relevant, and logically managed processes to help ensure that a member receives needed services in a supportive, effective, efficient, timely and cost-effective manner.

An initial Health Risk Assessment (HRA) will be completed by phone, in person or member's preferred method of communication within 90 days of the member's enrollment date. The HRA will be the basis of the Care Plan and will be available for your review via the Provider Portal. Wellcare Care Management teams support physicians by tracking adherence with the individual care plan (ICP), and facilitating communication between the PCP, member, managing physician, and the Care Management team. The Care Manager also facilitates referrals and links to community Providers, such as local health departments and Division of Aging. The managing physician maintains responsibility for the member's ongoing care needs. The Wellcare Care Management team will contact the PCP, and/or, managing physician if the member is not following the plan of care or requires additional services.

All Wellcare members with identified needs are assessed for Care Management enrollment. Members with needs may be identified via HRA, clinical rounds, referrals from other Wellcare staff members, hospital census, and direct referral from Providers, self-referral or referral from other Providers.

Care Management Process

Wellcare's Care Management process for high risk, complex, or catastrophic conditions contains the following key elements:

- Screen and identify members who potentially meet the criteria for Care Management
- Assess the member's risk factors to determine the need for Care Management
- Notify the member and their PCP of the member's enrollment in Wellcare's Care Management program
- Develop and implement an ICP treatment plan that is inclusive of preventative services to improve quality and accommodates the specific cultural and linguistic needs of the member
- Establish with the member the ICP problems, goals and interventions to meet desired member outcomes
- Refer and assist the member in ensuring timely access to providers

- Coordinate medical, residential, social and other support services
- Monitor care/services and promote medication adherence
- Coordinate transition of care and medication reconciliation post hospitalization
- Revise the ICP as necessary
- Assess the member's satisfaction with Complex Care Management services
- Track plan outcomes
- Follow-up post discharges from Care Management
- Referring a member to Wellcare Care Management: Providers are asked to contact an Wellcare Care Manager to refer a member identified in need of Care Management intervention.

Interdisciplinary Care Team (ICT)

The Wellcare Care Managers will coordinate the member's care with the Interdisciplinary Care Team (ICT). The ICT is generally comprised of multidisciplinary clinical and nonclinical staff chosen by the member. Our integrated care management approach allows non-medical personnel to perform non-clinical based health service coordination and clerical functions and permits the licensed professional staff to focus on the more complex and clinically based service coordination needs. The purpose of the ICT is to coordinate the plan of care with the member. Our program is member centric with the PCP being the primary ICT point of contact for the member's treatment and medical care. Provider responsibilities include:

- Communicate with, and respond to communication from Wellcare regarding the member's care plan, including accepting meeting invitations when applicable;
- Maintaining copies of the ICP, ICT communications, medication reconciliation summary and transition of care notifications in the member's medical record when received;
- Collaborating and actively communicating with Wellcare Care Managers, members of the ICT, and members and caregivers;
- Inpatient Care: Care Managers will coordinate with facilities to assist members with coordinating an appropriate discharge plan meeting the member's needs. Wellcare will then notify the PCP of the transition of care and anticipated discharge date to ensure members receive the appropriate follow-up care;
- Emergency Department (ED) Utilization: The PCP collaborates with the Care Manager for enrollment in Care Manager for enrollment in Care Management and Disease Management Programs where opportunities are identified;

- Transition of Care: Managing transition of care for discharged members may include but is not limited to face to face or telephonic contact with the member or their representative in the hospital prior to discharge to discuss the discharge plan; and
- ICT Training: All internal and external ICT members will be trained annually on the current Model of Care.

HMO Special Needs Plan (SNP) Model of Care (MOC) and Care Management

The MOC provides the basic framework under which Wellcare will meet the needs of our Medicare members. The MOC is a vital quality improvement tool and integral component for ensuring that the unique needs of each member are identified and addressed through the plan's care management practices. The MOC provides the foundation for promoting SNP quality, care management, and care coordination processes. Your role in the Model of Care is very important. Every SNP member must have:

- Initial (within 90 days of enrollment) and annual Health Risk Assessment (HRA)
- Individualized Care Plan (ICP)

Integrated Care Team participation and guidance in the development of the ICP and attendance at the ICT meeting is necessary to:

- Promote improved member outcomes and condition self-management
- All SNP members remain in Care Management as required by CMS

Purpose

To improve quality, reduce costs, and improve the member experience:

- Ensure members have full access to the services they are entitled
- Improve the coordination between the federal government and state requirements
- Develop innovative care coordination and integration models
- Eliminate financial misalignments that lead to poor quality and cost shifting

Model of Care Elements include:

- MOC 1: SNP Population
- MOC 2: Care Coordination and Care Transitions protocol

- MOC 3: Provider Network
- MOC 4: Quality Measurement

Health Risk Assessment:

- Every SNP member receives a comprehensive Health Risk Assessment (HRA) within 90 days of enrollment, and at minimum annually, or more frequently with any significant change in condition or transition of care.
- The HRA collects information about the member's medical, psychosocial, cognitive, and functional needs, and behavioral health history.
- The HRA determines the member's level of health and functioning.
- Wellcare with the help of the member/designated caregiver and the member's provider(s), develops an ICP for each SNP member.
- Following the HRA, all SNP members who choose to participate in the Case Management Program will:
 - Participate with a care manager to develop and agree upon their ICP. This will be shared with the members of the ICT for input and finalization of the member's care plan.
 - Receive regular telephonic contact with their assigned care manager to monitor progress/regression towards goals of the care plan.
 - Benefit from ongoing communication between the care manager and other members of the ICT.
 - Receive at minimum, an annual HRA.

Individualized Care Plan

All SNP members must have an Individualized Care Plan (ICP) which is developed in conjunction with the member/caregiver, Primary Care Physician and other members of the health care team including the Interdisciplinary Care Team (ICT). The ICP includes:

- Problems, interventions and goals
- Specific services and benefits to be provided
- Measurable outcomes

Members receive monitoring, service referrals, and condition specific education. Care Manager's and PCP's work closely together with the member and their family to prepare, implement and evaluate the

Individualized Care Plan (ICP). Wellcare disseminates evidence-based clinical guidelines and conducts studies to:

- Measure member outcomes
- Monitor quality of care
- Evaluate the effectiveness of the Model of Care (MOC)

SNP members who can't be contacted by Wellcare or who refuse the Care Management Program will have an initial communication plan created and sent to their practitioner. This plan is to obtain additional information about the member in order to individualize the member's care plan. We encourage the PCPs to discuss care management participation with their members and refer them to us at any time.

All SNP members who undergo a transition of care from one setting to any other setting may receive:

- Communication from care management
- Contact after discharge from one level of care to the next or home
- Education on transition and transition prevention
- Providers will receive communication about the member's transition and any other status changes related to the member's health.

Utilization Management

The Utilization Management Program's goals are to optimize members' health status, sense of well-being, productivity, and access to quality health care, while at the same time actively managing cost trends. The UM Program aims to provide services that are a covered benefit, medically necessary, appropriate to the member's condition, rendered in the appropriate setting and meet professionally recognized standards of care.

Medical Necessity

The fact that a physician may prescribe, authorize, or direct a service does not itself make it medically necessary or a covered benefit under the contract.

Medical necessity determinations will be made in a timely manner by thorough review by Wellcare clinical staff using nationally recognized criteria, Medicare National and Local Coverage Determinations and evidenced based clinical policies to determine medical necessity and appropriate level of care for services. Medical policies are developed through periodic review of generally accepted standards of medical practice and updated at least on an annual basis. Current medical policies are available on our website.

Medically necessary services are health care services or supplies needed to diagnose or treat an illness, injury, condition, disease, or its symptoms and that meet accepted standards of medicine. These include services which are:

- Appropriate and consistent with the diagnosis of the treating provider and the omission of which could adversely affect the eligible member's medical condition
- Compatible with the standards of acceptable medical practice in the community
- Provided in a safe, appropriate, and cost-effective setting given the nature of the diagnosis and severity of the symptoms
- Not provided solely for the convenience of the member or the convenience of the healthcare provider or hospital

In the event that a member may not agree with the medical necessity determination, a member has the opportunity to appeal the decision. Please refer to the "Grievance Process" section of the provider manual.

Prior Authorization

Prior authorization requires that the provider or practitioner make a formal medical necessity determination request to Wellcare prior to the service being rendered. Members may submit a request for organization determination. Upon receipt, the prior authorization request is screened for eligibility and benefit coverage and assessed for medical necessity and appropriateness of the health services proposed, including the setting in which the proposed care will take place.

Prior authorization is required for only those procedures/services for which the quality of care or financial impact can be favorably influenced by medical necessity or appropriateness review such as non-emergent inpatient admissions, all out-of-network services, and certain outpatient services, ancillary services, and specialty injectable as described on the prior authorization list. Prior authorization is not required for emergency services or urgent care services.

Services Requiring Prior Authorization

To see a list of services that require prior authorization please visit the Wellcare website at www.wellcareok.com and use the Pre-Screen Tool or call the Authorization Department with questions. Failure to obtain the required prior authorization or pre-certification may result in a denied claim or reduction in payment. We will suspend the need for prior authorization requests during an emergency/disaster where providers are unable to reach Wellcare for an extended period and when, acting in good faith, providers need to deliver services to our members. Wellcare does not reward providers, practitioners, employees who perform utilization reviews, or other individuals for issuing denials of authorization, service, or care. Neither network inclusion nor hiring and firing practices influence the likelihood or perceived likelihood for an individual to deny or approve benefit coverage. Wellcare affirms that utilization management decision making is based on appropriateness of care and

service and the existence of coverage. There are no financial incentives to deny care or encourage decisions that result in underutilization.

Note: All out-of-network services require prior authorization excluding emergency room services, urgent care when the PCP is not available, and out of area dialysis.

Submitting Prior Authorization Requests

The preferred method for submitting authorization requests is through the Secure Web Portal at www.wellcareok.com. The provider must be a registered user on the Secure Web Portal. (If a provider is already registered for the Secure Web Portal for one of our other products, that registration will grant the provider access to Wellcare). If the provider is not already a registered user on the Secure Web Portal and needs assistance or training on submitting prior authorizations, the provider should contact Provider Relations.

Prior authorization requests may be called to Wellcare at Medicare (HMO) 1-833-853-0865 or Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP) Phone: 1-833-853-0866 (TTY: 711).

Prior authorization requests may be faxed to 1-833-829-0342. The fax authorization form can be found on our website at www.wellcareok.com.

Timeframes for Prior Authorization Requests and Notifications

| Service Type | Timeframe |
|--|---|
| Elective/scheduled admissions | Required five (5) business days prior to the scheduled admission date |
| Emergent inpatient admissions | Notification required within one (1) business day |
| Emergency room and post stabilization, urgent care and crisis intervention | Notification requested within one (1) business day |

The requesting or rendering provider must provide the following information to request authorization (regardless of the method utilized):

- Member's name, date of birth and ID number
- Provider's NPI number, taxonomy code, name and telephone number
- Facility name, if the request is for an inpatient admission or outpatient facility services
- Provider location if the request is for an ambulatory or office procedure
- The procedure code(s). **Note:** If the procedure codes submitted at the time of authorization differ from the services actually performed, it is recommended that within 72 hours or prior to the time

the claim is submitted that you phone Medical Management at 1-833-853-0865 or to update the authorization otherwise, this may result in claim denials.

- Relevant clinical information (e.g., past/proposed treatment plan, surgical procedure, and diagnostic procedures to support the appropriateness and level of service proposed)
- Admission date or proposed surgery date, if the request is for a surgical procedure
- Discharge plans

Utilization Determination Timeframes

Utilization management decision making is based on appropriateness of care and service and the covered benefits of the plan. Wellcare does not reward providers or other individuals for issuing denials of authorization.

Authorization decisions are made as expeditiously as possible. Wellcare utilizes the specific timeframes listed below. In some cases, it may be necessary for an extension to extend the timeframe below. You will be notified if an extension is necessary. Please contact Wellcare if you would like a copy of the policy for utilization management timeframes.

Level of Urgency

| Type | Timeframe |
|---------------------|--|
| Standard | Expediently as the member's health condition required, but no later than 14 calendar days after receipt of request |
| Standard Extension | Up to 14 additional calendar days (not to exceed 28 calendar days from receipt of original request) |
| Expedited | Expediently as the member's health condition requires, but no later than Within 72 hours after receipt of request |
| Expedited Extension | Add 11 days up to 14 additional calendar days (not to exceed 17 calendar days after receipt of original request) |
| Concurrent | As soon as medically indicated; usually within one (1) business day of request depending on the plan's policy |

Standard Organization Determinations

Standard organization determinations are made as expeditiously as the member's health condition requires, but no later than 14 calendar days after we receive the request for service. An extension may be granted for 14 additional calendar days if the member requests an extension, or if we justify the need for additional information and documents that the delay is in the best interest of the member.

Expedited Organization Determinations

Expedited organization determinations are made when the member or their provider believes that waiting for a decision under the standard timeframe could place the member's life, health or ability to regain maximum function in serious jeopardy. The determination will be made as expeditiously as the member's health condition requires, but no later than 72 hours after receiving the member or provider's request. An extension may be granted for 14 additional calendar days if the member requests an extension, or if we justify a need for additional information and document how the delay is in the best interest of the member. Expedited organization determinations may not be requested for cases in which the only issue involves a claim for payment for services that the member has already received. Expedited urgent requests must be called to Wellcare Medicare HMO Phone: 1-833-853-0865 (TTY: 711) Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP): 1-833-853-0866 (TTY: 711).

Concurrent Review

Concurrent review is defined as any review for an extension of a previously approved, ongoing course of treatment over a period of time or number of treatments. Concurrent reviews are typically associated with inpatient care or ongoing ambulatory care. Decisions are made as expeditiously as the member's health condition requires, generally within one (1) business day of receipt.

Retrospective Review

A retrospective review is any review of care or services that have already been provided to a member. This includes acute hospital stays when initial notification is received after the member has been discharged.

The requestor must submit a claim for payment. If the claim is denied, the provider and/or member will also have the ability to file an appeal. Wellcare will complete a medical necessity review when authorization or timely notification to Wellcare was not obtained due to extenuating circumstances. Circumstances may include Unable to Know situations - member was unconscious at presentation, member did not have their Wellcare ID card or indicated other coverage, services authorized by another payer who subsequently determined member was not eligible at the time of service; or Not Enough Time Situations the member requires immediate medical services and prior authorization cannot be completed prior to service delivery. If a clinical review is warranted due to extenuating circumstances, a decision will be made within 30 calendar days following receipt of all necessary information.

Utilization Review Criteria

Wellcare's Medical Director or other health care professional, with appropriate clinical expertise in treating the member's condition or disease, will review all potential adverse determinations and make a decision in accordance with currently accepted medical or health care practices, taking into account special circumstances of each case that may require deviation from NCD, LCD, nationally recognized criteria, or other standards mentioned above. Wellcare's Clinical Policies are posted at www.wellcareok.com. Providers may obtain the criteria used to make a specific adverse determination

by contacting the Medical Management department at 1-833-853-0865. Providers have the opportunity to discuss any adverse decisions with a Wellcare physician or other appropriate reviewer at the time of an adverse determination. The Medical Director may be contacted by calling Wellcare at 1-833-853-0865 and asking for the Medical Director. A Wellcare

Care Manager may also coordinate communication between the Medical Director and the requesting provider.

Utilization management decision making is based on appropriateness of care and service and the existence of coverage. Wellcare does not provide financial incentives and does not reward providers or other individuals for issuing denials of authorizations.

Pharmacy

Pharmacy covered services for Wellcare members vary based on the plan benefits. Information regarding the member's pharmacy coverage can be found via our secure online Provider Portal. Additional resources available on the website include the Wellcare formulary, Pharmacy Benefit Manager Provider Manual, and Medication Request/Exception Request form.

Wellcare formulary is designed to assist contracted healthcare prescribers with selecting the most clinically and cost-effective medications available. The formulary provides instruction on the following:

- Which drugs are covered, including restrictions and limitations;
- The Pharmacy Management Program requirements and procedures;
- An explanation of limits and quotas;
- How prescribing providers can request an exception; and
- How Wellcare conducts generic substitution, therapeutic interchange and step-therapy.

The Wellcare formulary does not:

- Require or prohibit the prescribing or dispensing of any medication.
- Substitute for the professional judgment of the physician or pharmacist.
- Relieve the physician or pharmacist of any obligation to the member.

The Wellcare formulary will be approved initially by the Pharmacy and Therapeutics Committee (P & T), led by the Pharmacist and Medical Director, with support from community based primary care providers and specialists. Once established, the formulary will be maintained by the P & T Committee, using quarterly meetings, to ensure that Wellcare members receive the most appropriate medications. The Wellcare formulary contains those medications that the P & T Committee has chosen based on their

safety and effectiveness. If a physician feels that a certain medication merits addition to the list, the formulary Change Request policy can be used as a method to address the request. The P & T Committee would review the request, along with supporting clinical data, to determine if the drug meets the safety and efficacy standards established by the Committee. Copies of the formulary are available on our website, www.wellcareok.com. Providers may also call Provider Services for hard copies of the formulary.

The majority of prescriptions will be covered based on the Medicare formulary. In addition, Wellcare will assist with the following:

- Transitions of prescription drugs
- Quality Assurance
- Utilization Management (including Prior Authorization Requirements)
- Exceptions and Appeals
- Locating a nearby pharmacy
- Information about formulary changes
- Out-of-Network Coverage

Pharmacy Transition Policy

Under certain circumstances and following CMS requirements, Wellcare can offer a temporary supply of a drug if the drug is not on the formulary or is restricted in some way. To be eligible for a temporary supply, members must meet the requirements below:

- The drug the member has been taking is no longer on the Wellcare formulary or the drug is now restricted in some way
- The member must be in one of the situations described below:
 - For those members who were enrolled with Wellcare last year and are not in a long-term care facility: We will cover a temporary supply of the drug one time only during the first 90 days enrolled in Wellcare of the calendar year. This temporary supply will be for a maximum of a 30-day supply, or less if the prescription is written for fewer days. The prescription must be filled at a network pharmacy.
 - For those members who are new to Wellcare and are not in a long-term care facility: Wellcare will cover a temporary supply of the drug one time only during the first 90 days of the membership in Wellcare. This temporary supply will be for a maximum

of a 30-day supply, or less if the prescription is written for fewer days. The prescription must be filled at a network pharmacy.

- For those who are new Wellcare members and are residents in a long-term care facility: We will cover a temporary supply of the drug during the first 90 days of membership in Wellcare. The first supply will be for a maximum of a 31-day supply, or less if the prescription is written for fewer days.
- For those who have been a member of Wellcare for more than 90 days, are a resident of a long-term care facility and need a supply right away; Wellcare will cover one 31-day supply or less if the prescription is written for fewer days. This is in addition to the above long-term care transition supply. An exception or prior authorization should also be requested at the time the prescription is filled.

Pharmacy Prior Authorization Requirements

Wellcare has a team of doctors and pharmacists to create tools to help provide quality coverage to Wellcare members. The tools include, but are not limited to prior authorization criteria, clinical edits and quantity limits. Some examples include:

- **Age Limits:** Some drugs require a prior authorization if the member's age does not meet the manufacturer, FDA, or clinical recommendations.
- **Quantity Limits:** For certain drugs, Wellcare limits the amount of the drug we will cover per prescription or for a defined period of time.
- **Prior Authorization:** Wellcare requires prior authorization for certain drugs. (Prior Authorization may be required for drugs that are on the formulary or drugs that are not on the formulary and were approved for coverage through our exceptions process.) This means that approval will be required before prescription can be filled. If approval is not obtained, Wellcare may not cover the drug.
- **Generic Substitution:** When there is a generic version of a brand-name drug available, our network pharmacies will automatically give the generic version, unless the brand-name drug was requested. If the brand-name drug is not on the formulary, an exception request may be required for coverage. If the brand-name drug is approved, the member may be responsible for a higher co-pay.

Wellcare can make an exception to our coverage rules, please refer to the Comprehensive Formulary. When requesting a utilization restriction exception, submit a supporting statement along with a completed Request for Medicare Prescription Drug Coverage Determination form which can be found at www.wellcareok.com. In order to ensure your patient receives prompt, you must use the Medicare specific Wellcare form and fax it to the number identified on the form. Generally, Wellcare must make a decision within 72 hours of getting the supporting statement. Providers can request an expedited

(fast) exception if the member's health could be seriously harmed by waiting up to 72 hours for a decision. If the request to expedite is granted, Wellcare must provide a decision no later than 24 hours after receiving the prescriber's or prescribing doctor's supporting statement.

Second Opinion

Members or a healthcare professional with the member's consent may request and receive a second opinion from a qualified professional within the Wellcare network. If there is not an appropriate provider to render the second opinion within the network, the member may obtain the second opinion from an out-of-network provider only upon receiving a prior authorization from the Wellcare Utilization Management Department.

Health Care

Members may see a network provider, who is contracted with Wellcare to provide health care services directly, without prior authorization for:

- Medically necessary maternity care
- Covered reproductive health services
- Preventive care (well care) and general examinations
- Gynecological care
- Emergency care
- Follow-up visits for the above services

If the member's health care provider diagnoses a condition that requires a prior authorization to other specialists or hospitalization, prior authorization must be obtained in accordance with Wellcare's prior authorization requirements.

Emergency Medical Condition

An emergency medical condition is a medical condition manifesting itself by acute symptoms of sufficient severity (including severe pain) that a prudent layperson, who possesses an average knowledge of health and medicine, could reasonably expect the absence of immediate medical attention to result in placing the health of the individual (or, with respect to a pregnant member, the health of the member or their unborn child) in serious jeopardy, serious impairments of bodily functions, or serious dysfunction of any bodily organ or part.

ENCOUNTERS AND CLAIMS

Encounter Reporting

An encounter is a claim which is paid at zero dollars as a result of the provider being pre-paid or capitated for the services, they provided our members. For example, if you are the PCP for a Wellcare Member and receive a monthly capitation amount for services, you must file an encounter (also referred to as a “proxy claim”) on a CMS 1500 for each service provided. Since you will have received a pre-payment in the form of capitation, the encounter or “proxy claim” is paid at zero-dollar amounts. It is mandatory that your office submits encounter data. Wellcare utilizes the encounter reporting to evaluate all aspects of quality and utilization management, and it is required by HFS and by CMS. Encounters do not generate an EOP.

A claim is a request for reimbursement either electronically or by paper for any medical service. A claim must be filed on the proper form, such as CMS 1500 or UB 04. A claim will be paid or denied with an explanation for the denial. For each claim processed, an EOP will be mailed to the provider who submitted the original claim. Claims will generate an EOP. Providers are required to submit either an encounter or a claim for each service that you render to a Wellcare Member.

CLAIMS

In general, Wellcare follows the Center for Medicare and Medicaid Services (CMS) billing requirements for paper, electronic data interchange (EDI), and secure web-submitted claims. Wellcare is required by state and federal regulations to capture specific data regarding services rendered to its members. The provider must adhere to all billing requirements in order to ensure timely processing of claims and to avoid unnecessary upfront rejections or denials on the explanation of payment if not submitted correctly. **Claims will be rejected or denied if not submitted correctly.**

Verification Procedures

All claims filed with Wellcare are subject to verification procedures. These include, but are not limited to, verification of the following:

- All required fields are completed on an original CMS 1500 Claim Form (02/12), CMS 1450 (UB-04) Claim Form, EDI electronic claim format, or claims submitted on our Secure Provider Portal, individually or batch;
- All claim submissions will be subject to 5010 validation procedures based on CMS Industry Standards;
- Claims must contain the CLIA number when CLIA waived or CLIA certified services are provided. Paper claims must include the CLIA certification in Box 23 when CLIA waived or CLIA certified services are billed. For EDI submitted claims, the CLIA certification number must be placed in: X12N 837 (5010 HIPAA version) loop 2300 (single submission) REF segment with X4 qualifier or X12N 837 (5010 HIPAA version) loop 2400 REF segment with X4 qualifier, (both laboratory services for which CLIA certification is required and non-CLIA covered laboratory tests); and
- DME Claims require Referring Provider.

All Diagnosis, Procedure, Modifier, Location (Place of Service), Revenue, Type of Admission, and Source of Admission Codes are valid for:

- Date of Service
- Provider Type and/or provider specialty billing
- Age and/or sex for the date of service billed
- Bill type
- All Diagnosis Codes are to their highest number of digits available.

National Drug Code (NDC) is billed in the appropriate field on all claim forms when applicable. This includes the quantity and type. Type is limited to the list below:

- F2 – International Unit
- GR – Gram
- ME – Milligram
- ML – Milliliter
- UN - Unit

Principal diagnosis billed reflects an allowed principal diagnosis as defined in the volume of ICD-10-CM for the date of service billed.

For a CMS 1500 Claim Form, this criterion looks at all procedure codes billed and the diagnosis they are pointing to. If a procedure points to the diagnosis as primary, and that code is not valid as a primary diagnosis code, that service line will deny.

All inpatient facilities are required to submit a Present on Admission (POA) Indicator. Claims will be denied (or rejected) if the POA indicator is missing. Please reference the CMS Billing Guidelines regarding POA for more information and for excluded facility types. Valid 5010 POA codes are:

- N – No
- U – Unknown
- W – Not Applicable
- Y - Yes

Member is eligible for services under Wellcare during the time period in which services were provided.

Services were provided by a participating provider, or if provided by an “out-of-network” provider authorization has been received to provide services to the eligible member. (Excludes services by an “out-of-network” provider for an emergency medical condition; however, authorization requirements apply for post-stabilization services.)

An authorization has been given for services that require prior authorization by Wellcare.

Third party coverage has been clearly identified and appropriate COB information has been included with the claim submission.

Claims eligible for payment must meet the following requirements:

- The member is effective on the date of service;
- The service provided is a covered benefit under the member's contract on the date of service and prior authorization processes were followed; and
- Payment for services is contingent upon compliance with referral and prior authorization policies and procedures, as well as the billing guidelines outlined in the guide.

Clean Claim Definition

A clean claim is a claim that does not require external investigation or development to obtain information not available on the claim form or on record in Wellcare's systems in order to adjudicate the claim. Clean claims must be filed within the timely filing period.

Non-Clean Claim Definition

Any claim that does not meet the definition of a clean claim is considered a non-clean claim. Non-clean claims typically require external investigation or development in order to obtain all information necessary to adjudicate the claim.

Upfront Rejections vs. Denials

Upfront Rejection

An upfront rejection is defined as an unclean claim that contains invalid or missing data elements required for acceptance of the claim into the claim processing system. These data elements are identified in the Companion Guide located in the Appendix of this Manual. A list of common upfront rejections can be located in Appendix I of this Manual. Upfront rejections will not enter our claims adjudication system, so there will be no Explanation of Payment (EOP) for these claims. The provider will receive a letter or a rejection report if the claim was submitted electronically.

Denial

If all edits pass and the claim is accepted, it will then be entered into the system for processing. A denial is defined as a claim that has passed edits and is entered into the system, however, has been billed with invalid or inappropriate information causing the claim to deny. An EOP will be sent that includes the denial reason. A list of common delays and denials can be found listed below with explanations in Appendix II.

Timely Filing

Participating providers must submit first time claims within 180 days of the date of service. Claims received outside of this timeframe will be denied for untimely submission.

Who Can File Claims?

All providers who have rendered services for Wellcare members can file claims. It is important that providers ensure Wellcare has accurate and complete billing information on file. Please confirm with

the Provider Services department or Provider Partnership Manager that the following information is current in our files:

- Provider Name (as noted on current W-9 form)
- National Provider Identifier (NPI)
- Group National Provider Identifier (NPI) (if applicable)
- Tax Identification Number (TIN)
- Taxonomy code (This is a required field when submitting a claim)
- Physical location address (as noted on current W-9 form)
- Billing name and address (as noted on current W-9 form)

We recommend that providers notify Wellcare 60 days in advance of changes pertaining to billing information. If the billing information change affects the address to which the end of the year 1099 IRS form will be mailed, a new W-9 form will be required. Changes to a provider's TIN and/or address are NOT acceptable when conveyed via a claim form.

Electronic Claims Submission

Providers are encouraged to submit clean claims and encounter data electronically. Wellcare can receive an ANSI X12N 5010 837 professional, institutional transaction. In addition, we can generate an ANSI X12N 835 electronic remittance advice and deliver it securely to providers electronically or in paper format, dependent on provider preference. For more information on electronic claims and encounter data filing and the clearinghouses Wellcare has partnered with, contact:

Wellcare
c/o Centene EDI Department
1-800-225-2573, extension 6075525
or
e-mail: EDIBA@centene.com

Providers who bill electronically are responsible for filing claims within the same filing deadlines as providers filing paper claims. Providers who bill electronically must monitor their error reports and evidence of payments to ensure all submitted claims and encounters appear on the reports. Providers are responsible for correcting any errors and resubmitting the affiliated claims and encounters.

Wellcare has the ability to receive coordination of benefits (COB or secondary) claims electronically. Wellcare follows the 5010 X12 HIPAA Companion Guides for requirements on submission of COB data.

The Wellcare Payer ID is 68069. For a list of the clearinghouses that we currently work with, please visit our website at www.wellcareok.com.

Specific Data Record Requirements

Claims transmitted electronically must contain all of the required data of the X12 5010 Companion Guides. Please contact the clearinghouse you intend to use and ask if they require additional data record requirements.

Electronic Claim Flow Description & Important General Information

In order to send claims electronically to Wellcare, all EDI claims must first be forwarded to one of Wellcare's clearinghouses. This can be completed via a direct submission to a clearinghouse, or through another EDI clearinghouse.

Once the clearinghouse receives the transmitted claims, they are validated against their proprietary specifications and plan specific requirements. Claims not meeting the requirements are immediately rejected and sent back to the sender via a clearinghouse error report. It is very important to review this error report daily to identify any claims that were not transmitted to Wellcare. The name of this report can vary based upon the provider's contract with their intermediate EDI clearinghouse. Accepted claims are passed to Wellcare and the clearinghouse returns an acceptance report to the sender immediately.

Claims forwarded to Wellcare by a clearinghouse are validated against provider and member eligibility records. Claims that do not meet provider and/or member eligibility requirements are upfront rejected and sent back on a daily basis to the clearinghouse. The clearinghouse in turn forwards the upfront rejection back to its trading partner (the intermediate EDI clearinghouse or provider). It is very important to review this report daily. The report shows rejected claims; these claims must be reviewed and corrected timely. Claims passing eligibility requirements are then passed to the claim processing queues.

Providers are responsible for verification of EDI claims receipts. Acknowledgements for accepted or rejected claims received from the clearinghouse must be reviewed and validated against transmittal records daily.

Since the clearinghouse returns acceptance reports directly to the sender, submitted claims not accepted by the clearinghouse are not transmitted to Wellcare.

If you would like assistance in resolving submission issues reflected on either the acceptance or claim status reports, please contact your clearinghouse or vendor Customer Service Department.

Rejected electronic claims may be resubmitted electronically once the error has been corrected. Be sure to submit the rejected claim as an original claim.

Invalid Electronic Claim Record Upfront Rejections/Denials

All claim records sent to Wellcare must first pass the clearinghouse proprietary edits and plan specific edits prior to acceptance. Claim records that do not pass these edits are invalid and will be rejected without being recognized as received by Wellcare. In these cases, the claim must be corrected and re-

submitted within the required filing deadline as previously mentioned in Timely Filing section of this Manual. It is important that you review the acceptance or claim status reports received from the clearinghouse in order to identify and re-submit these claims accurately.

Questions regarding electronically submitted claims should be directed to our EDI BA Support at 1-800-225-2573 Ext. 6075525, or via e-mail at EDIBA@centene.com. If you are prompted to leave a voice mail, you will receive a return call within 24 business hours.

The full Companion Guides can be located on the Executive Office of Health and Human Services (EOHHS) on the state specific website.

Specific Electronic Edit Requirements – 5010 Information

- Institutional Claims – 837lv5010 Edits
- Professional Claims – 837Pv5010 Edits

Corrected EDI Claims

- CLM05-3 Required 7 or 8.
- IN 2300 Loop/REF segment is F8; Ref 02 must input original claim number assigned.
- Failure to include the original claim number will result in upfront rejection of the adjustment (error code 76).

Exclusions

The following inpatient and outpatient claim types are excluded from EDI submission options and must be filed on paper:

- Claim records requiring supportive documentation or attachments i.e., consent forms. (Note: COB claims can be filed electronically)
- Medical records to support billing miscellaneous codes
- Claims for services that are reimbursed based on purchase price i.e., custom DME, prosthetics. Provider is required to submit the invoice with the claim.
- Claims for services requiring clinical review i.e., complicated or unusual procedure. Provider is required to submit medical records with the claim.
- Claim for services requiring documentation and a Certificate of Medical Necessity i.e., oxygen, motorized wheelchairs.

Electronic Billing Inquiries

Please direct inquiries as follows:

| Action | Contact |
|---|--|
| Submitting Claims through clearinghouses Wellcare Payer ID number for all clearinghouses <ul style="list-style-type: none">Medical - 68069Behavioral Health - 68068 | We use Availity as our primary clearinghouse, which provides us with an extensive network of connectivity. You are free to use whatever clearinghouse you currently do as Availity maintains active connections with a large number of clearinghouses. |
| General EDI Questions: | Contact EDI Support at 1-800-225-2573 Ext. 6075525 or via e-mail at EDIBA@Centene.com |
| Claims Transmission Report Questions: | Contact your clearinghouse technical support area. |
| Claim Transmission Questions (Has my claim been received or rejected?): | Contact EDI Support at 1-800-225-2573 Ext. 6075525 or via e-mail at EDIBA@Centene.com |
| Remittance Advice Questions: | Contact Provider Services or the Secure Provider Portal. |
| Provider Payee, UPIN, Tax ID, Payment Address Changes: | Notify Provider Service in writing (W9). |

Important Steps to a Successful Submission of EDI Claims:

1. Select a clearinghouse to utilize.
2. Contact the clearinghouse regarding what data records are required.
3. Verify with Provider Services that the provider is set up in the Wellcare system prior to submitting EDI claims.
4. You will receive two (2) reports from the clearinghouse. ALWAYS review these reports daily. The first report will be a report showing the claims that were accepted by the clearinghouse and are being transmitted to Wellcare, and those claims not meeting the clearinghouse requirements. The second report will be a claim status report showing claims accepted and rejected by Wellcare. ALWAYS review the acceptance and claims stats report for rejected claims. If rejections are noted, correct and resubmit.
5. MOST importantly, all claims must be submitted with providers identifying the appropriate coding. See the CMS 1500 (02/12) and CMS 1450 (UB-04) Claims Forms instructions and claim form for details.

Online Claim Submission

For providers who have internet access and choose not to submit claims via EDI or paper, Wellcare has made it easy and convenient to submit claims directly to Wellcare on the Secure Provider Portal at www.wellcareok.com.

You must request access to our secure site by registering for a username and password. If you have technical support questions, please contact Provider Services.

Once you have access to the secure portal, you may file first time claims individually or submit first time batch claims. You will also have the capability to find, view, and correct any previously processed claims. Detailed instructions for submitting via Secure Web Portal are also stored on our website; you must login to the secure site for access to this manual.

Paper Claim Submission

The mailing address for first time claims, corrected claims and requests for reconsideration:

**Wellcare
Attn: Claims
P.O. Box 3060
Farmington, MO 63640-3822**

Wellcare encourages all providers to submit claims electronically. The Companion Guides for electronic billing are available in the Appendix section of this Manual. Paper submissions are subject to the same edits as electronic and web submissions.

All paper claims sent to the claim's office must first pass specific edits prior to acceptance. Claim records that do not pass these edits are invalid and will be rejected. If a paper claim has been rejected, provider should correct the error and resubmit the paper claim as an original claim. If the paper claim passes the specific edits and is denied after acceptance, the provider should submit the denial letter with the corrected claim.

Acceptable Forms

Wellcare only accepts the original red and white CMS 1500 (02/12) and CMS 1450 (UB-04) paper claims forms. Other claim form types will be upfront rejected and returned to the provider. This includes black and white forms, as well as form with handwriting.

Professional providers and medical suppliers complete the CMS 1500 (02/12) Claim Form and institutional providers complete the CMS 1450 (UB-04) Claim Form. Wellcare does not supply claim forms to providers. Providers should purchase these from a supplier of their choice. All paper claim forms must be typed with either 10 or 12 Times New Roman fonts, and on the required original red and white version to ensure clean acceptance and processing. Black and white forms, handwritten and nonstandard forms will be upfront rejected and returned to provider. To reduce document handling

time, do not use highlights, italics, bold text or staples for multiple page submissions. If you have questions regarding what type of form to complete, contact Provider Services.

Important Steps to Successful Submission of Paper Claims:

1. Complete all required fields on an original, red CMS 1500 (Version 02/12) or CMS 1450 (UB-04) Claim Form. NOTE: Non-red and handwritten claim forms will be rejected back to the provider.
2. Ensure all Diagnosis Codes, Procedure Codes, Modifier, Location (Place of Service); Type of Bill, Type of Admission, and Source of Admission Codes are valid for the date of service.
3. Ensure all Diagnosis and Procedure Codes are appropriate for the age of sex of the member.
4. Ensure all Diagnosis Codes are coded to their highest number of digits available
5. Ensure member is eligible for services during the time period in which services were provided.
6. Ensure that services were provided by a participating provider or that the “out-of-network” provider has received authorization to provide services to the eligible member.
7. Ensure an authorization has been given for services that require prior authorization by Wellcare.

Claims missing the necessary requirements are not considered “clean claims” and will be returned to providers with a written notice describing the reason for return.

Corrected Claims, Requests for Reconsideration or Claim Disputes

All requests for corrected claims, reconsiderations or claim disputes must be received within 180 days from the date of explanation of payment or denial is issued. Prior processing will be upheld for corrected claims or provider claim requests for reconsideration or disputes received outside of the 180 days corrected claim filing limit unless a qualifying circumstance is offered, and appropriate documentation is provided to support the qualifying circumstance. Qualifying circumstances include:

- A catastrophic event that substantially interferes with normal business operation of the provider, or damage or destruction of the provider’s business office or records by a natural disaster, mechanical, administrative delays or errors by Wellcare or the Federal and/or State regulatory body.
- The member was eligible; however, the provider was unaware that the member was eligible for services at the time services were rendered. Consideration is granted in this situation only if all of the following conditions are met:
- The provider’s records document that the member refused or was physically unable to provide their ID Card or information
- The provider can substantiate that they continually pursued reimbursement from the patient until eligibility was discovered

- The provider has not filed a claim for this member prior to the filing of the claim under review

Below are relevant definitions.

- **Corrected claim** – A provider is submitting a correction to the original claim
- **Request for Reconsideration** – Provider disagrees with the original claim outcome (payment amount, denial reason, etc.)
- **Claim Dispute** – Provider disagrees with the outcome of the Request for Reconsideration

Corrected Claims

All requests for corrected claims must be received within 180 calendar days from the date of explanation of payment or denial is issued. Corrected claims must clearly indicate they are corrected in one of the following ways:

- Submit a corrected claim via the secure Provider Portal - Follow the instructions on the portal for submitting a correction.
- Submit a corrected claim electronically via a Clearinghouse
- Institutional Claims (UB): Field CLM05-3=7 and Ref*8 = Original Claim Number
- Professional Claims (CMS): Field CLM05-3=7 and REF*8 = Original Claim Number
- Submit a corrected paper claim to:

**Wellcare
Attn: Corrected Claims
P.O. BOX 3060
Farmington, MO 63640-3822**

- The original claim number must be typed in field 22 (CMS 1500) and in field 64 (UB-04) with the corresponding frequency codes (7 = replacement or corrected; 8 = voided or cancelled) in field 22 of the CMS 1500 and in field 4 of the UB-04 form.
- Corrected claims must be submitted on standard red and white forms. Handwritten corrected claims will be upfront rejected.

Request for Reconsideration

A request for reconsideration is a communication from the provider about a disagreement with the manner in which a claim was processed. All requests for reconsideration must be received within 180 calendar days from the date of explanation of payment or denial is issued. Generally, medical records are not required for a request for reconsideration. However, if the request for reconsideration is related to a

code audit, code edit or authorization denial, medical records must accompany the request for reconsideration. If the medical records are not received, the original denial will be upheld.

Reconsiderations may be submitted in the following ways:

1. Form - Providers may utilize the Request for Reconsideration form found on our website (preferred method).
2. Phone call to Provider Services - This method may be utilized for requests for reconsideration that do not require submission of supporting or additional information. An example of this would be when a provider may believe a particular service should be reimbursed at a particular rate, but the payment amount did not reflect that particular rate.
3. Written Letter - Providers may send a written letter that includes a detailed description of the reason for the request. In order to ensure timely processing, the letter must include sufficient identifying information which includes, at a minimum, the member's name, member's ID number, date of service, total charges, provider name, original EOP, and/or the original claim number found in box 22 on a CMS 1500 form or field 64 on a UB-04 form. The corresponding frequency code should also be included with the original claim number (7 = replacement or corrected; 8 = voided or cancelled) in field 22 of the CMS 1500 and in field 4 of the UB-04 form.

Requests for reconsideration and any applicable attachments must be mailed to:

Wellcare
Attn: Request for Reconsideration
PO BOX 3060
Farmington, MO 63640-3822

Claim Dispute

A claim dispute should be used only when a provider has received an unsatisfactory response to a request for reconsideration.

A claim dispute must be submitted on a claim dispute form found on our website. The claim dispute form must be completed in its entirety. The completed claim dispute form may be mailed to:

Wellcare
Attn: Claim Dispute
PO BOX 4000
Farmington, MO 63640-4400

If the corrected claim, the request for reconsideration or the claim dispute results in an adjusted claim, the provider will receive a revised Explanation of Payment (EOP). If the original decision is upheld, the provider will receive a revised EOP or letter detailing the decision and steps for escalated reconsideration.

Wellcare shall process, and finalize all corrected claims, requests for reconsideration and disputed claims to a paid or denied status in accordance with law and regulation.

Electronic Funds Transfers (EFT) and Electronic Remittance Advices (ERA)

Wellcare partners with specific vendors to provide an innovative web-based solution for Electronic Funds Transfers (EFTs) and Electronic Remittance Advices (ERAs). This service is provided at no cost to providers and allows online enrollment. Providers are able to enroll after they have received their completed contract or submitted a claim. Please visit our website for information about EFT and ERA or contact Provider Services.

Benefits include:

- **Elimination of paper checks** - all deposits transmitted via EFT to the designated bank account
 - Convenient payments & retrieval of remittance information
 - Electronic remittance advices presented online
 - HIPAA 835 electronic remittance files for download directly to a HIPAA-Compliant Practice Management for Patient Accounting System
- **Reduce accounting expenses** – Electronic remittance advices can be imported directly into practice management or patient accounting systems, eliminating the need for manual re-keying
- **Improve cash flow** – Electronic payments can mean faster payments, leading to improvements in cash flow
- **Maintain control over bank accounts** - You keep TOTAL control over the destination of claim payment funds. Multiple practices and accounts are supported
- **Match payments to advices quickly** – You can associate electronic payments with electronic remittance advices quickly and easily
- **Manage multiple Payers** – Reuse enrollment information to connect with multiple Payers Assign different Payers to different bank accounts, as desired

For more information, please visit our provider home page on our website at www.wellcareok.com. If further assistance is needed, please contact our Provider Services department at Wellcare Medicare HMO Phone: 1-833-853-0865 (TTY: 711) Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP) 1-833-853-0866 (TTY: 711)

Risk Adjustment and Correct Coding

Risk adjustment is critical, and a requirement defined in CFR42 (Section 42 of the Code of Federal Regulations) and the Medicare Modernization Act, that will help ensure the long-term success of the Medicare Advantage program. Accurate calculation of risk adjustment requires accuracy, documentation completeness, and specificity in diagnostic coding. Providers should, at all times, document and code according to CMS regulations and follow all applicable coding guidelines CPT, DSM-IV, and HCPCS code sets. Providers should note the following guidelines:

- Code all diagnoses to the highest level of specificity using the 4th and 5th digits, when applicable and defensible through chart audits and medical assessments
- Code all documented conditions that co-exist at the time of the encounter/visit, and require or affect patient care, treatment, or management
- Ensure that medical record documentation is clear, concise, consistent, complete and legible and meets CMS signature guidelines (each encounter must stand alone)
- Submit claims and encounter information according to the requirements specified in your contract or this provider manual
- Alert Wellcare of any erroneous data submitted and follow Wellcare's policies to correct errors as set forth in your contract or this provider manual
- Provide ongoing training to your staff regarding appropriate use of ICD coding for reporting diagnoses

Coding of Claims/ Billing Codes

Wellcare requires claims to be submitted using codes from the current version of ICD-10-CM, ASA, DRG, CPT, and HCPCS Level II for the date the service was rendered. These requirements may be amended to comply with federal and state regulations as necessary. Below are some code related reasons a claim may reject or deny:

- Code billed is missing, invalid, or deleted at the time of services
- Code inappropriate for the age or sex of the member
- Diagnosis code missing the 4th and 5th digit as appropriate
- Procedure code is pointing to a diagnosis that is not appropriate to be billed as primary
- Code billed is inappropriate for the location or specialty billed
- Code billed is a part of a more comprehensive code billed on same date of service

Written descriptions, itemized statements, and invoices may be required for non-specific types of claims or at the request of Wellcare.

Newborn services provided in the hospital will be reimbursed separately from the mother's hospital stay. A separate claim needs to be submitted for the mother, and their newborn.

Billing from independent provider-based Rural Health Clinics (RHC) and Federally Qualified Health Centers (FQHC) for covered RHC/FQHC services furnished to members should be made with specificity regarding diagnosis codes and procedure code / modifier combinations.

Code all documented conditions that coexist at the time of the encounter/visit and require or affect patient care treatment or management. Do not code conditions that were previously treated and no longer exist. However, history codes may be used as secondary codes if the historical condition or family history has an impact on current care or influences treatment.

For more information regarding billing codes, coding, and code auditing/editing, please contact Wellcare Provider Services at 1-833-853-0865.

CODE EDITING

Wellcare uses HIPAA-compliant code auditing software to improve accuracy and efficiency in claims processing, payment, and reporting. The software detects and documents coding errors on provider claims prior to payment by analyzing CPT, HCPCS, ICD-10, modifier and place of service codes against correct coding guidelines. While code auditing software is a useful tool to ensure provider compliance with correct coding, it will not wholly evaluate all clinical patient scenarios. Consequently, Wellcare uses clinical validation by a team of experienced nursing and coding experts to further identify claims for potential billing errors. Clinical validation allows for consideration of exceptions to correct coding principles and may identify where additional reimbursement is warranted. Wellcare may have policies that differ from correct coding principles. Accordingly, exceptions to general correct coding principles may be required to ensure adherence to health plan policies and to facilitate accurate claims reimbursement.

Wellcare may request medical records or other documentation to verify that all procedures and/or services billed are properly supported in accordance with correct coding guidelines.

CPT and HCPCS Coding Structure

The Healthcare Common Procedure Coding System (HCPCS) is a set of health care procedure codes based on the American Medical Association's (AMA) Current Procedural Terminology (CPT). The HCPCS system was designed to standardize coding to ensure accurate claims payment and consists of two levels of standardized coding.

1. **Level I HCPCS Codes (CPT):** This code set is maintained by the AMA. CPT codes are a 5- digit, uniform coding system used by providers to describe medical procedures and services rendered to a patient. These codes are updated (added, revised, and deleted) on an annual basis.
2. **Level II HCPCS Codes:** The Level II set of HCPCS codes is used to describe supplies, products and services that are not included in the CPT code descriptions (durable medical equipment, orthotics and prosthetics, etc.). The Level II set is an alphanumeric coding system which is maintained by CMS. These codes are updated on an annual basis.
3. **Miscellaneous/Unlisted Codes:** These codes are a subset of the Level II HCPCS coding system and are used by a provider or supplier when there is no existing CPT code to accurately represent the services provided. Claims submitted with miscellaneous or unlisted codes are subject to a manual review. To facilitate the manual review, providers are required to submit medical records with the initial claims' submission. If records are not received, the provider will receive a denial indicating that medical records are required. The medical documentation should clearly define the procedure performed including, but not limited to, office notes, operative report, and pathology report and related pricing information. Once received, a registered nurse reviews the medical records to determine if there was a more specific code(s) to accurately describe the service or procedure rendered. Clinical validation also includes identifying and reviewing other procedures and services billed on the claim that may be related to the miscellaneous code. For

example, if the miscellaneous code is determined to be the primary procedure, then other procedures and services that are integral to the successful completion of the primary procedure should be included in the reimbursement value of the primary code.

4. **Temporary National Codes:** These codes are a subset of the Level II HCPCS coding system and are used to code services when no permanent, national code exists. These codes are considered temporary and may only be used until a permanent code is established. These codes consist of G, Q, K, S, H and T code ranges.
5. **Modifiers:** Modifiers are used to indicate additional information about the HCPCS, or CPT code billed. On occasion, certain procedures require more explanation because of special circumstances. For example, modifier -24 is appended to evaluation and management services to indicate that a patient was seen for a new or special circumstance unrelated to a previously billed surgery for which there is a global period.

International Classification of Diseases (ICD-10)

ICD-10 is an alphanumeric system used by providers to classify diagnoses and symptoms. These codes consist of three to seven digits, which allows for a high level of specificity in coding a wide range of health problems.

Revenue Codes

These 4-digit numeric codes are utilized by institutional providers to represent services, procedures, and/or supplies provided in a hospital or facility setting. Claims submitted with revenue codes should indicate a corresponding procedure code.

Edit Sources

The claims editing software contains a comprehensive set of rules addressing coding inaccuracies such as: unbundling, frequency limitations, fragmentation, upcoding, duplication, invalid codes, mutually exclusive procedures and other coding inconsistencies. Each rule is linked to a generally accepted coding principle. Guidance surrounding the most likely clinical scenario is applied. This information is provided by clinical consultants, health plan medical directors, current research, etc.

- The following sources are utilized in determining correct coding guidelines for the software: Centers for Medicare & Medicaid Services (including National Correct Coding Initiative (NCCI) Policy Manual and Claims Processing Manual guidelines as well as current PTP and MUE tables)
- American Medical Association (CPT, HCPCS, and ICD-10 guidelines and publications including CPT manual, AMA website, CPT Assistant, CPT Insider's View, etc.)
- Public domain specialty provider associations (such as American College of Surgeons, American College of Radiology, American Academy of Orthopedic Surgeons, American College of Obstetricians and Gynecologists, etc.).

- State provider manuals, fee schedules, periodic provider updates (bulletins/transmittals)
- CMS coding resources such as National Physician Fee Schedule, Provider Benefit Manual, MLN Matters and Provider Transmittals
- Health Plan policies and provider contract considerations
- In addition to nationally recognized coding guidelines, the software has flexibility to allow business rules that are unique to the needs of individual product lines

Code Editing and the Claims Adjudication Cycle

Code editing is the final step in the claims adjudication process. Once a claim has completed all previous adjudication steps (such as benefits and member/provider eligibility review), the claim is ready for analysis.

As a claim progresses through the code editing cycle, each service line on the claim is processed through the code editing rules engine and evaluated for correct coding. As part of this evaluation, the prospective claim is analyzed against other codes billed on the same claim as well as previously paid claims found in the member/provider history.

Depending upon the code edit applied, the software will make the following recommendations:

Deny: Code editing rule recommends the denial of a claim line. The appropriate explanation code is documented on the provider's explanation of payment along with reconsideration/appeal instructions.

Pend: Code editing recommends that the service line pend for clinical review and validation. This review may result in a pay or deny recommendation. The decision is documented on the provider's explanation of payment along with reconsideration/appeal instructions

Replace and Pay: Code editing recommends the denial of a service line and a new line is added and paid. In this scenario, the original service line is left unchanged on the claim and a new line is added to reflect the software recommendations. For example, an incorrect CPT code is billed for the member's age. The software will deny the original service line billed by the provider and add a new service line with the correct CPT code, resulting in a paid service line. This action does not alter or change the provider's billing, as the original billing remains on the claim.

Code Editing Principles

The below principles do not represent an all-inclusive list of the available code editing principles, but rather an area sampling of edits which are applied to physician and/or outpatient facility claims.

Unbundling

PTP Practitioner and Hospital Edits

CMS has designated certain combinations of codes that are generally not separately reimbursable on the same date of service. These are known as Procedure-to-Procedure (PTP) and/or Column 1/Column II edits. Within the PTP edit category, there are Practitioner edits (applicable to claims submitted by physicians, non-physician practitioners, and ambulatory surgical centers) and Hospital edits (applicable to hospitals, skilled nursing facilities, home health agencies, outpatient physical therapy, speech-language pathology, and comprehensive outpatient rehabilitation facilities).

The procedure code listed in column I is the most comprehensive code; reimbursement for the column II code is subsumed into the payment for the comprehensive code. The column II code is considered an integral component to the successful outcome of the column I code.

While these code pairs should not be billed together under most circumstances, there are circumstances when an NCCI-associated modifier may be appended to the column II code to indicate a significant and separately identifiable or distinct service. When these modifiers are used, prepay clinical validation will be performed to ensure that services are reported appropriately. For more information on the PTP edits, please visit www.cms.gov.

Medically Unlikely Edits (MUEs) for Practitioners, DME Providers and Facilities

An MUE is the maximum units of service that a provider would report under most circumstances for a single beneficiary on a single date of service. These edits are based on CPT/HCPCS code descriptions, anatomic specifications, nature of the service/procedure, nature of the analyte, equipment prescribing information and clinical judgment. Not all HCPCS/CPT codes have an MUE limit.

Code Bundling Rules Not Sourced to CMS NCCI Edit Tables

Many specialty medical organizations and health advisory committees have developed rules around how codes should be used in their area of expertise. These rules are published and are available for use by the public-domain. Procedure code definitions and relative value units are considered when developing these code sets. Rules are specifically designed for professional and outpatient facility claims editing.

Procedure Code Unbundling

Two or more procedure codes are used to report a service when a single, more comprehensive should have been used. The less comprehensive code will be denied.

Mutually Exclusive Editing

These are combinations of procedure codes that may differ in technique or approach but result in the same outcome. The procedures may be impossible to perform anatomically. Procedure codes may also be considered mutually exclusive when an initial or subsequent service is billed on the same date of service. The procedure with the highest RVU is considered the reimbursable code.

Incidental Procedures

These are procedure code combinations that are considered clinically integral to the successful completion of the primary procedure and should not be billed separately.

Global Surgical Period Editing/Medical Visit Editing

CMS publishes rules surrounding payment of an evaluation and management service during the global surgical period of a procedure. The global surgery data is taken from the CMS Medicare Fee Schedule Database (MFSDDB).

Procedures are assigned a 0, 10 or 90-day global surgical period. Procedures assigned a 90-day global surgery period are designated as major procedures. Procedures assigned a 0- or 10-day global surgical period are designated as minor procedures.

Evaluation and Management services for a major procedure (90-day period) that are reported 1-day preoperatively, on the same date of service or during the 90-day post-operative period are not recommended for separate reimbursement.

Evaluation and Management services that are reported with minor surgical procedures on the same date of service or during the 10-day global surgical period are not recommended for separate reimbursement.

Evaluation and Management services for established patients that are reported with surgical procedures that have a 0-day global surgical period are not recommended for reimbursement on the same day of surgery because there is an inherent evaluation and management service included in all surgical procedures.

Global Maternity Editing

Procedures with “MMM”

Global periods for maternity services are classified as “MMM” when an evaluation and management service is billed during the antepartum period (270 days), on the same date of service or during the postpartum period (45 days) are not recommended for separate reimbursement if the procedure code includes antepartum and postpartum care.

Diagnostic Services Bundled to the Inpatient Admission (3-Day Payment Window)

This rule identifies outpatient diagnostic services that are provided to a member within three days prior to and including the date of an inpatient admission. When these services are billed by the same admitting facility or an entity wholly owned or operated by the admitting facility; they are considered bundled into the inpatient admission, and therefore, are not separately reimbursable.

Multiple Code Rebundling

This rule analyzes if a provider billed two or more procedure codes when a single more comprehensive code should have been billed to represent all of the services performed.

Frequency and Lifetime Edits

The CPT and HCPCS manuals define the number of times a single code can be reported. There are also codes that are allowed a limited number of times on a single date of service, over a given period of time or during a member's lifetime. State fee schedules also delineate the number of times a procedure can be billed over a given period of time or during a member's lifetime. Code editing will fire a frequency edit when the procedure code is billed in excess of these guidelines.

Duplicate Edits

Code editing will evaluate prospective claims to determine if there is a previously paid claim for the same member and provider in history that is a duplicate to the prospective claim. The software will also look across different providers to determine if another provider was paid for the same procedure, for the same member on the same date of service. Finally, the software will analyze multiple services within the same range of services performed on the same day. For example, a nurse practitioner and physician bill for office visits for the same member on the same day.

National Coverage Determination Edits

CMS establishes guidelines that identify whether some medical items, services, treatments, diagnostic services or technologies can be paid under Medicare. These rules evaluate diagnosis to procedure code combinations.

Anesthesia Edits

This rule identifies anesthesia services that have been billed with a surgical procedure code instead of an anesthesia procedure code.

Invalid Revenue to Procedure Code Editing

Identifies revenue codes billed with incorrect CPT codes.

Assistant Surgeon

Rule evaluates claims billed as an assistant surgeon that normally do not require the attendance of an assistant surgeon. Modifiers are reviewed as part of the claim's analysis.

Co-Surgeon/Team Surgeon Edits

CMS guidelines define whether or not an assistant, co-surgeon or team surgeon is reimbursable and the percentage of the surgeon's fee that can be paid to the assistant, co or team surgeon.

Add-on and Base Code Edits

Rules look for claims where the add-on CPT code was billed without the primary service CPT code or if the primary service code was denied, then the add-on code is also denied. This rule also looks for circumstances where the primary code was billed in a quantity greater than one, when an add-on code should have been used to describe the additional services rendered.

Bilateral Edits

This rule looks for claims where the modifier -50 has already been billed, but the same procedure code is submitted on a different service line on the same date of service without the modifier -50. This rule is highly customized as many health plans allow this type of billing.

Replacement Edits

These rules recommend that single service lines or multiple service lines are denied and replaced with a more appropriate code. For example, the same provider bills more than one outpatient consultation code for the same member in the member's history. This rule will deny the office consultation code and replace it with a more appropriate evaluation and management service, established patient or subsequent hospital care code. Another example, the rule will evaluate if a provider has billed a new patient evaluation and management code within three years of a previous new patient visit. This rule will replace the second submission with the appropriate established patient visit. This rule uses a crosswalk to determine the appropriate code to add.

Missing Modifier Edits

This rule analyzes service lines to determine if a modifier should have been reported but was omitted. For example, professional providers would not typically bill the global (technical and professional) component of a service when performed in a facility setting. The technical component is typically performed by the facility and not the physician.

Inpatient Facility Claim Editing

Potentially Preventable Readmissions Edit

This edit identifies readmissions within a specified time interval that may be clinically related to a previous admission. For example, a subsequent admission may be plausibly related to the care rendered during or immediately following a prior hospital admission in the case of readmission for a surgical wound infection or lack of post-admission follow up. Admissions to non-acute care facilities (such as skilled nursing facilities) are not considered readmissions and not considered for reimbursement. CMS determines the readmission time interval as 30 days; however, this rule is highly customizable by state rules and provider contracts.

Administrative and Consistency Rules

These rules are not based on clinical content and serve to validate code sets and other data billed on the claim. These types of rules do not interact with historically paid claims or other service lines on the prospective claim. Examples include, but are not limited to:

Procedure code invalid rules: Evaluates claims for invalid procedure and revenue or diagnosis codes

Deleted Codes: Evaluates claims for procedure codes which have been deleted

Modifier to procedure code validation: Identifies invalid modifier to procedure code combinations. This rule analyzes modifiers affecting payment. As an example, modifiers -24, -25, -26, -57, -58 and -59.

Age Rules: Identifies procedures inconsistent with member's age

Gender Procedure: Identifies procedures inconsistent with member's gender

Gender Diagnosis: Identifies diagnosis codes inconsistent with member's gender

Incomplete/invalid diagnosis codes: Identifies diagnosis codes incomplete or invalid

Prepayment Clinical Validation

Clinical validation is intended to identify coding scenarios that historically result in a higher incidence of improper payments. An example of Wellcare's clinical validation services is modifier -25 and -59 review. Some code pairs within the CMS NCCI edit tables are allowed for modifier override when they have a correct coding modifier indicator of "1." Furthermore, public-domain specialty organization edits may also be considered for override when they are billed with these modifiers. When these modifiers are billed, the provider's billing should support a separately identifiable service (from the primary service billed, modifier -25) or a different session, site or organ system, surgery, incision/excision, lesion or separate injury (modifier -59). Wellcare's clinical validation team uses the information on the prospective claim and claims history to determine whether or not it is likely that a modifier was used correctly based on the unique clinical scenario for a member on a given date of service.

The Centers for Medicare and Medicaid Services (CMS) supports this type of prepayment review. The clinical validation team uses nationally published guidelines from CPT and CMS to determine if a modifier was used correctly.

Modifier -59

The NCCI (National Correct Coding Initiative) states the primary purpose of modifier 59 is to indicate that procedures or non-E/M services that are not usually reported together are appropriate under the circumstances. The CPT Manual defines modifier -59 as follows: "Modifier -59: Distinct Procedural Service: Under certain circumstances, it may be necessary to indicate that a procedure or service was distinct or independent from other non-E/M services performed on the same day. Modifier 59 is used to identify procedures/services, other than E/M services, that are not normally reported together, but are appropriate under the circumstances. Documentation must support a different session, different procedure or surgery, different site or organ system, separate incision/excision, separate lesion, or separate injury (or area of injury in extensive injuries) not ordinarily encountered or performed on the same day by the same individual.

Some providers are routinely assigning modifier 59 when billing a combination of codes that will result in a denial due to unbundling. We commonly find misuse of modifier 59 related to the portion of the definition that allows its use to describe "different procedure or surgery". NCCI guidelines state that providers should not use modifier 59 solely because two different procedures/surgeries are performed or because the CPT codes are different procedures. Modifier 59 should only be used if the two

procedures/surgeries are performed at separate anatomic sites, at separate patient encounters or by different practitioners on the same date of service. NCCI defines different anatomic sites to include different organs or different lesions in the same organ. However, it does not include treatment of contiguous structures of the same organ.

Wellcare uses the following guidelines to determine if modifier -59 was used correctly:

- The diagnosis codes or clinical scenario on the claim indicate multiple conditions or sites were treated or are likely to be treated;
- Claim history for the patient indicates that diagnostic testing was performed on multiple body sites or areas which would result in procedures being performed on multiple body areas and sites.
- Claim history supports that each procedure was performed by a different practitioner or during different encounters or those unusual circumstances are present that support modifier 59 were used appropriately.

To avoid incorrect denials providers should assign to the claim all applicable diagnosis and procedure codes used, and all applicable anatomical modifiers designating which areas of the body were treated.

Modifier -25

Both CPT and CMS in the NCCI policy manual specify that by using a modifier 25 the provider is indicating that a “significant, separately identifiable evaluation and management service was provided by the same physician on the same day of the procedure or other service”. Additional CPT guidelines state that the evaluation and management service must be significant and separate from other services provided or above and beyond the usual pre-, intra- and postoperative care associated with the procedure that was performed.

The NCCI policy manual states that “If a procedure has a global period of 000 or 010 days, it is defined as a minor surgical procedure. (Osteopathic manipulative therapy and chiropractic manipulative therapy have global periods of 000.) The decision to perform a minor surgical procedure is included in the value of the minor surgical procedure and should not be reported separately as an E&M service. However, a significant and separately identifiable E&M service unrelated to the decision to perform the minor surgical procedure is separately reportable with modifier 25. The E&M service and minor surgical procedure do not require different diagnoses. If a minor surgical procedure is performed on a new patient, the same rules for reporting E&M services apply. The fact that the patient is “new” to the provider is not sufficient alone to justify reporting an E&M service on the same date of service as a minor surgical procedure. NCCI does contain some edits based on these principles, but the Medicare Carriers and A/B MACs processing practitioner service claims have separate edits.

Wellcare uses the following guidelines to determine whether or not modifier 25 was used appropriately. If any one of the following conditions is met then, the clinical nurse reviewer will recommend reimbursement for the E/M service.

- The E/M service is the first time the provider has seen the patient or evaluated a major condition
- A diagnosis on the claim indicates that a separate medical condition was treated in addition to the procedure that was performed
- The patient's condition is worsening as evidenced by diagnostic procedures being performed on or around the date of services
- Other procedures or services performed for a member on or around the same date of the procedure support that an E/M service would have been required to determine the member's need for additional services.
- To avoid incorrect denials providers should assign all applicable diagnosis codes that support additional E/M services.

Claim Reconsiderations Related to Code Editing

Claims appeals resulting from claim editing are handled per the provider claims dispute process outlined in this manual. When submitting claims appeals, please submit medical records, invoices and all related information to assist with the appeals review.

If you disagree with a code edit and request claim reconsideration, you must submit documentation (medical records) related to the reconsideration. If medical documentation is not received, the original code edit will be upheld.

The reconsideration may include this type of information:

- Statement of why the service is medically necessary
- Medical evidence which supports the proposed treatment
- How the proposed treatment will prevent illness or disability
- How the proposed treatment will alleviate physical, mental or developmental effects of the patient's illness
- How the proposed treatment will assist the patient to maintain functional capacity
- A review of previous treatments and results, including, based on your clinical judgment, why a new approach is necessary
- How the recommended service has been successful in other patients

Viewing Claims Coding Edits

Code Auditing Tool

A web-based code editing reference tool designed to "mirror" how the code editing product(s) evaluate code and code combinations during the editing of claims. The tool is available for providers who are

registered on our secure provider portal. You can access the tool in the Claims Module by clicking “Claim Editing Tool” in our secure provider portal.

This tool offers many benefits:

- PROSPECTIVELY access the appropriate coding and supporting clinical edit clarifications for services BEFORE claims are submitted.
- PROACTIVELY determine the appropriate code/code combination representing the service for accurate billing purposes

The tool will review what was entered and will determine if the code or code combinations are correct based on the age, sex, location, modifier (if applicable), or other code(s) entered.

The Code Editing Assistant is intended for use as a “what if” or hypothetical reference tool. It is meant to apply coding logic only. The tool does not take into consideration historical claims information which may be used to determine if an edit is appropriate

The code editing assistant can be accessed from the provider web portal.

Disclaimer: This tool is used to apply coding logic ONLY. It will not take into account individual fee schedule reimbursement, authorization requirements, or other coverage considerations. Whether a code is reimbursable or covered is separate and outside of the intended use of this tool.

Automated Clinical Payment Policy Edits

Clinical payment policy edits are developed to increase claims processing effectiveness, to decrease the administrative burden of prior authorization, to better ensure payment of only correctly coded and medically necessary claims, and to provide transparency to providers. The purpose of these policies is to provide a guide to medical necessity, which is a component of the guidelines used to assist in making coverage decisions and administering benefits. These policies may be documented as a medical policy or pharmacy policy.

Clinical payment policies are implemented through prepayment claims edits applied within our claims adjudication system. Once adopted by the health plan, these policies are posted on the health plan’s provider portal.

Clinical medical policies can be identified by an alpha-numeric sequence such as CP.MP.xxx in the reference number of the policy. Clinical pharmacy policies can be identified by an alpha-numeric sequence such as CP.PHAR.xxx in the reference number of the policy.

The majority of clinical payment policy edits are applied when a procedure code (CPT/HCPCS) is billed with a diagnosis (es) that does not support medical necessity as defined by the policy. When this occurs, the following explanation (ex) code is applied to the service line billed with the disallowed procedure. This ex-code can be viewed on the provider’s explanation of payment.

- xE: Procedure Code is Disallowed with this Diagnosis Code(s) Per Plan Policy.

Examples

| Policy Name | Clinical Policy Number | Description |
|------------------------|------------------------|--|
| Diagnosis of Vaginitis | CP.MP.97 | To define medical necessity criteria for the diagnostic evaluation of vaginitis in members ≥ 13 years of age |
| Urodynamic Testing | CP.MP.98 | To define medical necessity criteria for commonly used urodynamic studies |
| Bevacizumab (Avastin) | CP.PHAR.93 | To ensure patients follow selection criteria for Avastin use. |

Some clinical payment policy edits may also occur as the result of a single code denial for a service that is not supported by medical necessity. When this occurs, the following explanation (ex) code is applied to the service line billed with the disallowed procedure. This ex-code can be viewed on the provider's explanation of payment.

- xP: Service is denied according to a payment or coverage policy

| Policy Name | Clinical Policy Number | Description |
|---------------------------------|------------------------|--|
| Fractional Exhaled Nitric Oxide | CP.MP.103 | To clarify that testing for fractionated exhaled nitric oxide (FeNO) is investigational for diagnosing and guiding the treatment of asthma, as there is insufficient evidence proving it more than or as effective as existing standards of care. |

Clinical Payment Policy Appeals

Clinical payment policy denials may be appealed on the basis of medical necessity. Providers who disagree with a claim denial based on a clinical payment policy, and who believe that the service rendered was medically necessary and clinically appropriate, may submit a written reconsideration request for the claim denial using the provider claim reconsideration/appeal/dispute or other appropriate process as defined in this provider manual. The appeal should include this type of information:

1. Statement of why the service is medically necessary.
2. Medical evidence which supports the proposed treatment.
3. How the proposed treatment will prevent illness or disability.

4. How the proposed treatment will alleviate physical, mental or developmental effects of the patient's illness.
5. How the proposed treatment will assist the patient to maintain functional capacity.
6. A review of previous treatments and results, including, based on your clinical judgment, why a new approach is necessary.
7. How the recommended service has been successful in other patients

THIRD PARTY LIABILITY

Third party liability refers to any other health insurance plan or carrier (e.g., individual, group, employer-related, self-insured or self-funded, or commercial carrier, automobile insurance and worker's compensation) or program that is or may be liable to pay all or part of the health care expenses of the member.

If third party liability coverage is determined after services are rendered, Wellcare will coordinate with the provider to pay any claims that may have been denied for payment due to third party liability.

BILLING THE MEMBER

Failure to Obtain Authorization

Providers may NOT bill members for services when the provider fails to obtain an authorization and the claim is denied by Wellcare.

No Balance Billing

Providers may not seek payment from Wellcare members for the difference between the billed charges and the contracted rate paid by Wellcare.

Non-Covered Services

Contracted providers may only bill Wellcare members for non-covered services if:

- A request for prior authorization was denied by the plan and the member received a written Notice of Denial of Medical Coverage (form CMS 10003-NDMCP) in advance of receiving the service; or
- The member's Evidence of Coverage clearly states the item or service is never covered by the plan.

Note: the member is not obligated to pay for the service if it is later found that the service was covered by Wellcare at the time it was provided, even if Wellcare did not pay the provider for the service because the provider did not comply with Wellcare requirements.

Qualified Medicare Beneficiaries (QMB) Billing

Wellcare works to ensure that the Medicare members are never inappropriately held financially liable for the care they receive.

Billing of Qualified Medicare Beneficiaries (QMBs) Is Prohibited by Federal Law

Medicare providers and suppliers may not bill Wellcare members enrolled in the QMB program for Medicare cost-sharing. Federal law bars Medicare providers and suppliers from billing an individual enrolled in the QMB program for Medicare Part A and Part B cost-sharing under any circumstances. Medicare beneficiaries enrolled in the QMB program have no legal obligation to pay Medicare Part A or B deductibles, coinsurance, or copays for any Medicare-covered items and services. Providers and suppliers may bill State Medicaid programs for these costs, but States can limit Medicare cost-sharing payments under certain circumstances. For information about obtaining payment for Medicare cost-sharing, contact the Medicaid agency in the States in which you practice.

Providers and suppliers may also verify a patient's QMB status through State online Medicaid eligibility systems or other documentation, including Medicaid identification cards and documents issued by the State proving the patient is enrolled in the QMB program.

MEMBER RIGHTS AND RESPONSIBILITIES

Member Rights

Providers must comply with the rights of members as set forth below.

1. To participate with providers in making decisions about their health care. This includes working on any treatment plans and making care decisions. The member should know any possible risks, problems related to recovery, and the likelihood of success. The member shall not have any treatment without consent freely given by the member or the member's legally authorized surrogate decision-maker. The member must be informed of their care options
2. To know who is approving and who is performing the procedures or treatment. All likely treatments and the nature of the problem should be explained clearly
3. To receive the benefits for which the member has coverage
4. To be treated with respect and dignity
5. To privacy of their personal health information, consistent with state and federal laws, and Wellcare policies
6. To receive information or make recommendations, including changes, about Wellcare's organization and services, the Wellcare network of providers, and member rights and responsibilities
7. To candidly discuss with their providers appropriate and medically necessary care for their condition, including new uses of technology, regardless of cost or benefit coverage. This includes information from the member's primary care physician about what might be wrong (to the level known), treatment and any known likely results. The provider must tell the member about treatments that may or may not be covered by the plan, regardless of the cost. The member has a right to know about any costs they will need to pay. This should be told to the member in a way that the member can understand. When it is not appropriate to give the member information for medical reasons, the information can be given to a legally authorized person. The provider will ask for the member's approval for treatment unless there is an emergency and the member's life, and health are in serious danger
8. To make recommendations regarding the Wellcare member's rights, responsibilities and policies
9. To voice complaints or appeals about: Wellcare, any benefit or coverage decisions Wellcare makes, Wellcare coverage, or the care provided
10. To participate with practitioners in making decisions about their care and the right to refuse treatment for any condition, illness or disease without jeopardizing future treatment, and be informed by the provider(s) of the medical consequences

11. To see their medical records
12. To be kept informed of covered and non-covered services, program changes, how to access services, primary care physician assignment, providers, advance directive information, referrals and authorizations, benefit denials, member rights and responsibilities, and other Wellcare rules and guidelines. Wellcare will notify members at least before the effective date of the modifications. Such notices shall include the following:
 - Any changes in clinical review criteria
 - A statement of the effect of such changes on the personal liability of the member for the cost of any such changes
13. To have access to a current list of network providers. Additionally, a member may access information on network providers' education, training, and practice
14. To select a health plan or switch health plans, within the guidelines, without any threats or harassment
15. To adequate access to qualified medical practitioners and treatment or services regardless of age, race, creed, sex, sexual orientation, national origin or religion
16. To access medically necessary urgent and emergency services 24 hours a day and seven days a week
17. To receive information in a different format in compliance with the Americans with Disabilities Act, if the member has a disability
18. To refuse treatment to the extent the law allows. The member is responsible for their actions if treatment is refused or if the provider's instructions are not followed. The member should discuss all concerns about treatment with their primary care physician or other provider. The primary care physician or other provider must discuss different treatment plans with the member. The member must make the final decision
19. To select a primary care physician within the network. The member has the right to change their primary care physician or request information on network providers close to their home or work.
20. To know the name and job title of people providing care to the member. The member also has the right to know which physician is their primary care physician
21. To have access to an interpreter when the member does not speak or understand the language of the area

22. To a second opinion by a network physician, at no cost to the member, if the member believes that the network provider is not authorizing the requested care, or if the member wants more information about their treatment
23. To execute an advance directive for health care decisions. An advance directive will assist the primary care provider and other providers to understand the member's wishes about the member's health care. The advance directive will not take away the member's right to make their own decisions. Examples of advance directives include:
 - Living Will
 - Health Care Power of Attorney
 - "Do Not Resuscitate" Orders

Members also have the right to refuse to make advance directives. Members may not be discriminated against for not having an advance directive

Member Responsibilities

1. To read their Wellcare contract in its entirety.
2. To treat all health care professionals and staff with courtesy and respect.
3. To give accurate and complete information about present conditions, past illnesses, hospitalizations, medications, and other matters about their health. The member should make it known whether they clearly understand their care and what is expected of them. The member needs to ask questions of their provider to understand the care they are receiving.
4. To review and understand the information they receive about Wellcare. The member needs to know the proper use of covered services.
5. To show their I.D. card and keep scheduled appointments with their provider and call the provider's office during office hours whenever possible if the member has a delay or cancellation.
6. To know the name of their assigned primary care physician. The member should establish a relationship with their primary care physician. The member may change their primary care physician verbally or in writing by contacting the Wellcare Member Services Department.
7. To read and understand to the best of their ability all materials concerning their health benefits or to ask for assistance if they need it.
8. To understand their health problems and participate, along with their health care providers in developing mutually agreed upon treatment goals to the degree possible.

9. To supply, to the extent possible, information that Wellcare and/or their providers need in order to provide care.
10. To follow the treatment plans and instructions for care that they have agreed on with their health care providers.
11. To understand their health problems and tell their health care providers if they do not understand their treatment plan or what is expected of them. The member should work with their primary care physician to develop mutually agreed upon treatment goals. If the member does not follow the treatment plan, the member has the right to be advised of the likely results of their decision.
12. To follow all health benefit plan guidelines, provisions, policies and procedures.
13. To use any emergency room only when they think they have a medical emergency. For all other care, the member should call their primary care physician.
14. To give all information about any other medical coverage they have at the time of enrollment. If, at any time, the member gains other medical coverage besides Wellcare coverage, the member must provide this information to Wellcare.
15. To pay their monthly premium, all deductible amounts, copayment amounts, or cost-sharing percentages at the time of service.

PROVIDER RIGHTS AND RESPONSIBILITIES

Provider Rights

1. To be treated by their patients, who are Wellcare members, and other healthcare workers with dignity and respect.
2. To receive accurate and complete information and medical histories for members' care.
3. To have their patients, who are Wellcare members, act in a way that supports the care given to other patients and that helps keep the doctor's office, hospital, or other offices running smoothly.
4. To expect other network providers to act as partners in members' treatment plans.
5. To expect members to follow their health care instructions and directions, such as taking the right amount of medication at the right times.
6. To make a complaint or file an appeal against Wellcare and/or a member.
7. To file a grievance on behalf of a member, with the member's consent.
8. To have access to information about Wellcare quality improvement programs, including program goals, processes, and outcomes that relate to member care and services.
9. To contact Provider Services with any questions, comments, or problems.
10. To collaborate with other health care professionals who are involved in the care of members.
11. To not be excluded, penalized, or terminated from participating with Wellcare for having developed or accumulated a substantial number of patients in Wellcare with high-cost medical conditions.
12. To collect member cost shares at the time of the service.

Provider Responsibilities

Providers must comply with each of the items listed below.

1. To help or advocate for members to make decisions within their scope of practice about their relevant and/or medically necessary care and treatment, including the right to:
 - Recommend new or experimental treatments.
 - Provide information regarding the nature of treatment options.
 - Provide information about the availability of alternative treatment options, therapies, consultations, or tests, including those that may be self-administered.

- Be informed of risks and consequences associated with each treatment option or choosing to forego treatment as well as the benefits of such treatment options.
2. To treat members with fairness, dignity, and respect.
 3. To not discriminate against members on the basis of race, color, national origin, limited language proficiency, religion, age, health status, existence of a pre-existing mental or physical disability/condition including pregnancy and/or hospitalization, the expectation for frequent or high-cost care.
 4. To maintain the confidentiality of members' personal health information, including medical records and histories, and adhere to state and federal laws and regulations regarding confidentiality.
 5. To give members a notice that clearly explains their privacy rights and responsibilities as it relates to the provider's practice and scope of service.
 6. To provide members with an accounting of the use and disclosure of their personal health information in accordance with HIPAA.
 7. To allow members to request restriction on the use and disclosure of their personal health information.
 8. To provide members, upon request, access to inspect and receive a copy of their personal health information, including medical records.
 9. To provide clear and complete information to members - in a language they can understand - about their health condition and treatment, regardless of cost or benefit coverage, and allow member participation in the decision-making process.
 10. To tell a member if the proposed medical care or treatment is part of a research experiment and give the member the right to refuse experimental treatment.
 11. To allow a member who refuses or requests to stop treatment the right to do so, as long as the member understands that by refusing or stopping treatment the condition may worsen or be fatal.
 12. To respect members' advance directives and include these documents in their medical record.
 13. To allow members to appoint a parent/guardian, family member, or other representative if they can't fully participate in their treatment decisions.
 14. To allow members to obtain a second opinion, and answer members' questions about how to access health care services appropriately.
 15. To follow all state and federal laws and regulations related to patient care and rights.

16. To participate in Wellcare data collection initiatives, such as HEDIS and other contractual or regulatory programs.
17. To review clinical practice guidelines distributed by Wellcare.
18. To comply with the Wellcare Medical Management program as outlined herein.
19. To disclose overpayments or improper payments to Wellcare.
20. To provide members, upon request, with information regarding the provider's professional qualifications, such as specialty, education, residency, and board certification status.
21. To obtain and report to Wellcare information regarding other insurance coverage the member has or may have.
22. To give Wellcare timely, written notice if provider is leaving/closing a practice.
23. To contact Wellcare to verify member eligibility and benefits, if appropriate.
24. To invite member participation in understanding any medical or behavioral health problems that the member may have and to develop mutually agreed upon treatment goals, to the extent possible.
25. To provide members with information regarding office location, hours of operation, accessibility, and translation services.
26. To object to providing relevant or medically necessary services on the basis of the provider's moral or religious beliefs or other similar grounds.
27. To provide hours of operation to Wellcare members which are no less than those offered to other Medicare patients.

Interference with Health Care Professionals' Advice

Wellcare has a responsibility to uphold the prohibition against interference with health care professionals' advice. We may not prohibit or otherwise restrict a health care professional, acting within the lawful scope of practice, from advising, or advocating on behalf of, a Wellcare member:

- The member's health status, medical care, or treatment options (including any alternative treatments that may be self-administered), including the provision of sufficient information to provide an opportunity for the patient to decide among all relevant treatment options
- The risks, benefits, and consequences of treatment or non-treatment
- The opportunity for the individual to refuse treatment and to express preferences about future treatment decisions. For more information, please visit the Medicare Managed Care Manual. This

information is currently located in Section Interference with Health Care Professionals' Advice to Enrollees Prohibited (Rev. 24, 06-06-03)

CULTURAL COMPETENCY

Wellcare views Cultural Competency as the measure of a person or organization's willingness and ability to learn about, understand and provide excellent customer service across all segments of the population. It is the active implementation of a system wide philosophy that values differences among individuals and is responsive to diversity at all levels in the community and within an organization and at all service levels the organization engages in outside of the organization. A sincere and successful Cultural Competency program is evolutionary and ever-changing to address the continual changes occurring within communities and families. In the context of health care delivery, Cultural Competency is the promotion of sensitivity to the needs of patients and incorporates cultural considerations that include, but are not limited to the following: race, ethnicity, primary language, age, geographic location, gender identity, sexual orientation, English proficiency, physical abilities/limitations, spiritual beliefs and practices, economic status, family roles, literacy, diverse populations. It accommodates the patient's culturally based attitudes, beliefs and needs within the framework of access to health care services and the development of diagnostic and treatment plans and communication methods in order to fully support the delivery of competent care to the patient. It is also the development and continued promotion of skills and practices important in clinical practice, cross-cultural interactions and systems practices among providers and staff to ensure that services are delivered in a culturally competent manner.

Wellcare is committed to the development, strengthening and sustaining of healthy provider/member relationships. Members are entitled to dignified, appropriate care. Provider services should meet the unique needs of every enrollee regardless of race, ethnicity, culture, language proficiency, or disability. In all interactions, providers are expected to act in a manner that is sensitive to the ways in which the member experiences the world. When healthcare services are delivered without regard for cultural differences, members are at risk for sub-optimal care.

As part of Wellcare's Cultural Competency Program, providers must ensure that:

- Facilitate member access to Cultural and Linguistic Services, including Informing members of their right to access free, quality medical interpreters, and signers, accessible transportation, and TDD/TTY services
- Document member requests for language services and/or refusal of professional language services in the medical record
- Participate in cultural competency education and training at least annually and ensure that office staff routinely interacting with members have also been given the opportunity to participate in, and have participated in, cultural competency training;
- Provide medical care with consideration of the members' primary language, race, ethnicity and culture
- Ensure that office staff routinely interacting with members have been given the opportunity, and have participated in, cultural competency training

- Ensure that treatment plans are developed with consideration of the member's race, country of origin, primary language, social class, religion, mental or physical abilities, heritage, acculturation, age, gender, sexual orientation, and other characteristics that may influence the member's perspective on health care
- Ensure an appropriate mechanism is established to fulfill the provider's obligations under the Americans with Disabilities Act including that all facilities providing services to members must be accessible to persons with disabilities. Additionally, no member with a disability may be excluded from participation in or be denied the benefits of services, programs or activities of a public facility, or be subjected to discrimination by any such facility.

Wellcare considers this mainstreaming of members an important component of the delivery of care and expects providers to treat members without regard to race, color, creed, sex, religion, age, national origin ancestry, marital status, sexual orientation, health status, income status, program membership, physical or behavioral disabilities except where medically indicated. Examples of prohibited practices include:

- Denying a member a covered service or availability of a facility
- Providing an Wellcare member a covered service that is different or in a different manner, or at a different time or at a different location than to other "public" or private pay members (examples: separate waiting rooms, delayed appointment times)

Wellcare provides Cultural Competency related educational opportunities for providers per its secure provider portal. Providers are able to participate in training opportunities administered by the State or nationally recognized organizations found at www.wellcareok.com. Providers are also encouraged to participate in training provided by other organizations. For additional information regarding resources and trainings, visit:

- On the Office of Minority Health's website, you will find "A Physician's Practical Guide to Culturally Competent Care." By taking this course online, you can earn up to nine CME credits, or nine contact hours for free. The course may be found at: <https://cccm.thinkculturalhealth.hhs.gov/>
- Think Cultural Health's website includes classes, guides and tools to assist you in providing culturally competent care. The website is: <http://www.thinkculturalhealth.hhs.gov/>
- The Health Care Literacy website which offers a toolkit as a way for primary care practices to assess their services for health literacy considerations, raise awareness of their entire staff, and work on specific areas. The toolkit can be found at <http://www.ahrg.gov/professionals/quality-patient-safety/quality-resources/tools/literacy-toolkit/index.html>

Language Services

In accordance with Title VI of the Civil Rights Act, Prohibition against national Origin Discriminations, the President's Executive Order 131166, section 1557 of the Patient Protection and Affordable care Act,

Wellcare and its providers must make language assistance available to persons with Limited English Proficiency (LEP) at all points of contact during all hours of operation.

Language services are available at no cost to Wellcare members and providers without unreasonable delay at all medical points of contact. The member has the right to file a complaint or grievance if cultural and linguistic needs are not met.

Language services include

- Telephonic interpretation
- Oral translation (reading of English material in a member's preferred language)
- Face to Face non-English interpretation
- American Sign language
- Auxiliary aids including alternate formats such as large print and braille
- Written translations for materials that are critical for obtaining health insurance coverage and access to health care services in non-English prevalent languages

Information is deemed to be critical for obtaining health insurance coverage or access to health care services if the material is required by law or regulation to provide the document to an individual.

To obtain language services for a member, contact Wellcare provider services. For Face to Face and American Sign Language requests, contact Wellcare provider services as soon as possible, or at least 5 business days before the appointment. All providers (Medical, Behavioral, Pharmacy, etc.) can request language services by calling our Provider Customer Contact Center at: 1-833-853-0865 or TTY 711.

Provider Accessibility Initiative

Wellcare is committed to providing equal access to quality health care and services that are physically and programmatically accessible for our members with disabilities. In May of 2017, our parent company, Centene, launched a Provider Accessibility Initiative (PAI) to increase the percentage of Centene's providers that meet minimum federal and state disability access standards. One of the goals of the PAI is to improve the accuracy, completeness, and transparency of provider self-reported disability access data in Provider Directories so that members with disabilities have the most accurate, accessible, and up-to-date information possible related to a provider's disability access. To accomplish this, providers are asked to complete a self-report of disability access that will be verified by Western Sky through an onsite Accessibility Site Review (ASR).

- Wellcare's expectation, as communicated through the provider contract, is full compliance with all federal and state disability access laws and regulations (including, but not limited to, the Medicaid/CHIP Managed Care final rule provisions noted above, the Americans with Disabilities Act, Section 504 of the Rehabilitation Act, and Section 1557 of the Affordable Care Act). "Minimum accessibility," as defined in the ASR Tool, is not to be confused with, nor is intended to replace, the obligation of full compliance with all federal and state disability access laws and regulations, which remains the legal responsibility of Wellcare providers.

Americans with Disabilities Act

Provider Accessibility Initiative (PAI) is committed to providing equal access to quality health care and services that are physically and programmatically accessible for members living with disabilities and their companions. "Physical access" also known as "architectural access" refers to a person with a disability's ability to access buildings, structures, and the environment. "Programmatic access" refers to a person with a disability's ability to access goods, services, activities, and equipment. The goal of PAI is to increase percentage of practitioner locations within our network that meet minimum federal and state disability access standards.

PAI covers people with physical, mental, cognitive, or intellectual limitations such as difficulty walking, balancing, climbing, seeing, hearing, reading, understanding, or remembering.

As Wellcare moves closer to full inclusion of people with disabilities through policy and practice integration, provider directory accessibility information display, and architecture barrier removal, it is important to understand that disability is just one aspect of a person's full complex life and each person should be seen as an individual, not a disability. The key to creating an acceptable environment for providing health for people living with disabilities is to treat each individual with respect and equality.

- Do not be overly friendly or condescending toward individuals with disabilities
- Use appropriate greetings, such as shaking hands
- Challenge derogatory language and jokes
- Take ownership for making everyone feel welcome and accepted

When providing assistance:

- First, ask if help is needed
- Be sure to understand what is needed and offer only what is needed
- Don't take over; just help
- Speak directly to the person rather than through someone else, such as a sign language interpreter
- Don't be afraid to make a mistake.
 - Made a mistake? Apologize, correct, learn, and move on
- Use common sense and a positive attitude
- Always think of the person first
- Be generous with yourself
- Unsure of what to do or say? Ask!

Important Points to Remember: Word Choice

- Avoid words with negative connotations like "handicapped", "afflicted", "crippled", "victim", "sufferer", etc.
- Do not refer to individuals by their disability. A person is not a condition
- Emphasize "person first" terminology:
 - Handicapped A PERSON with a disability
 - Deaf A PERSON who is deaf
 - Mute A PERSON without speech
 - Confined/Wheelchair-Bound A PERSON who uses a wheelchair
- If you happen to not have a disability at this time in your life, that DOES NOT make you "normal" or "able-bodied". It makes you "non-disabled".

Wellcare strives to assist providers in meeting the requirements in Title II and Title III of the ADA and Section 504 which requires that medical care providers provide individuals:

- Full and equal access to healthcare services and facilities; and
- Reasonable modifications to policies, practices, and procedures when necessary to make healthcare available to individuals with disabilities, unless the modifications would fundamentally alter the nature of the services

The term "disability" means, with respect to an individual -

A physical or mental condition that limits a person's movement, senses, or activities. These limitations may be cognitive, developmental, intellectual, mental, physical, sensory, or some combination of these. Disability is any substantial limitation of a person's life activities and may be present from birth or may

occur during a person's lifetime. Any individual meeting any of these conditions is considered to be an individual with a disability for purposes of coverage under the Americans with Disabilities Act.

Programmatic access to healthcare means that policies and practices that are part of the delivery of healthcare do not hinder the ability of members with disabilities to receive the same quality of care as other persons.

Policies for Communication and Access to Information:

1. Provisions for intake forms to be completed by persons who are blind or with a low visual disability with the same confidentiality afforded other members
 - a. Use of large print forms, electronic or online web-based forms, or in-person staff assistance in a private location
2. Provision for a presence of sign language interpreters to enable full communication with deaf or hard of hearing members who use sign language
 - a. Professionalism and confidentiality require healthcare providers to take responsibility for the communication
3. Provision for making auditory information (e.g., automated messages) available via alternative means
 - a. Written communication or secure web-based methods may be used as possible substitutes
4. Provision for communicating with deaf or hard of hearing members by telephone
 - a. Use of telephone relay services (TRS), a TDD, or use of secure electronic means

The Section 508 Accessibility Standards are a federal law that requires agencies to provide people with disabilities equal access to electronic information and data comparable to those who do not have disabilities. Providers have a responsibility to ensure compliance with Section 508 website requirements.

Policies for Scheduling and Waiting:

1. Policies that allow scheduling additional time for the duration of appointments for members with disabilities who may require it
 - a. Members may require more time than the standard because of multiple complexities. More time may be needed to conduct the examination or for communication through an interpreter as well as other communication issues.
2. Policies to enable members who may not be able to tolerate waiting in a reception area to be seen immediately upon arrival
 - a. Members with cognitive, intellectual, or some psychiatric disability may be unable to wait in a crowded reception area without becoming agitated or anxious
3. Policies to allow flexibility in appointment times for members who use paratransit
 - a. Members may arrive late at appointments because of delays or other problems with paratransit scheduling or reliability
4. Policies to enable compliance with federal law that guarantees access to provider offices for people with disabilities who use service animals

- a. Members with service animals expect the animal to accompany them into the waiting and examination rooms. This is protected under the Americans with Disabilities Act. This policy statement simply prepares staff to respond accordingly.

Policies for Conducting the Examination

1. Training of healthcare providers in operation of accessible equipment
 - a. Staff must know how to operate accessible equipment, such as adjustable height exam tables and scales so they can be regularly and easily utilized.

Policies for Follow-up or Referral

1. Current or potential members including people with disabilities should only be referred to another provider for established medical reasons or specialized expertise.
 - a. Referral results in a delay of treatment and subject members to additional time, expense, and reduces member choice of providers.
2. Knowledge and/or attention to the accessibility of laboratories, testing facilities, specialists, or other healthcare delivery venues to which members are referred.
 - a. Members may be unable to comply with medical referrals if referred location is not accessible and/or not prepared to provide the recommended service

General Requirements

General prohibitions against discrimination.

- No qualified individual with a disability shall, on the basis of disability, be excluded from participation in or be denied the benefits of the services, programs, or activities of a public entity, or be subjected to discrimination by any public entity.
- A public entity, in providing any aid, benefit, or service, may not, directly or through contractual, licensing, or other arrangements, on the basis of disability --
- Deny a qualified individual with a disability the opportunity to participate in or benefit from the aid, benefit, or service;
- Afford a qualified individual with a disability an opportunity to participate in or benefit from the aid, benefit, or service that is not equal to that afforded others;
- Provide a qualified individual with a disability with an aid, benefit, or service that is not as effective in affording equal opportunity to obtain the same result, to gain the same benefit, or to reach the same level of achievement as that provided to others;

- Provide different or separate aids, benefits, or services to individuals with disabilities or to any class of individuals with disabilities than is provided to others unless such action is necessary to provide qualified individuals with disabilities with aids, benefits, or services that are as effective as those provided to others;
- Aid or perpetuate discrimination against a qualified individual with a disability by providing significant assistance to an agency, organization, or person that discriminates on the basis of disability in providing any aid, benefit, or service to beneficiaries of the public entity's program;
- Deny a qualified individual with a disability the opportunity to participate as a member of planning or advisory boards;
- Otherwise limit a qualified individual with a disability in the enjoyment of any right, privilege, Wellcare, or opportunity enjoyed by others receiving the aid, benefit, or service.
- A public entity may not deny a qualified individual with a disability the opportunity to participate in services, programs, or activities that are not separate or different, despite the existence of permissibly separate or different programs or activities.
- A public entity may not, directly or through contractual or other arrangements, utilize criteria or methods of administration:
- That have the effect of subjecting qualified individuals with disabilities to discrimination on the basis of disability;
- That have the purpose or effect of defeating or
- substantially impairing accomplishment of the objectives of the public entity's program with respect to individuals with disabilities; or
- That perpetuate the discrimination of another public entity if both public entities are subject to common administrative control and, are agencies of the same State.
- A public entity may not, in determining the site or location of a facility, make selections --
- That have the effect of excluding individuals with disabilities from, denying them the benefits of, or otherwise subjecting them to discrimination; or
- That have the purpose or effect of defeating or substantially impairing the accomplishment of the objectives of the service, program, or activity with respect to individuals with disabilities.
- A public entity, in the selection of procurement contractors, may not use criteria that subject qualified individuals with disabilities to discrimination on the basis of disability.

- A public entity may not administer a licensing or certification program in a manner that subjects qualified individuals with disabilities to discrimination on the basis of disability, nor may a public entity establish requirements for the programs or activities of licensees or certified entities that subject qualified individuals with disabilities to discrimination on the basis of disability. The programs or activities of entities that are licensed or certified by a public entity are not, themselves, covered by this part.
- A public entity shall make reasonable modifications in policies, practices, or procedures when the modifications are necessary to avoid discrimination on the basis of disability, unless the public entity can demonstrate that making the modifications would fundamentally alter the nature of the service, program, or activity.
- A public entity shall not impose or apply eligibility criteria that screen out or tend to screen out an individual with a disability or any class of individuals with disabilities from fully and equally enjoying any service, program, or activity, unless such criteria can be shown to be necessary for the provision of the service, program, or activity being offered.
- Nothing in this part prohibits a public entity from providing benefits, services, or advantages to individuals with disabilities, or to a particular class of individuals with disabilities beyond those required by this part.
- A public entity shall administer services, programs, and activities in the most integrated setting appropriate to the needs of qualified individuals with disabilities.
- Nothing in this part shall be construed to require an individual with a disability to accept an accommodation, aid, service, opportunity, or benefit provided under the ADA or this part which such individual chooses not to accept.
- Nothing in the Act or this part authorizes the representative or guardian of an individual with a disability to decline food, water, medical treatment, or medical services for that individual.
- A public entity may not place a surcharge on a particular individual with a disability or any group of individuals with disabilities to cover the costs of measures, such as the provision of auxiliary aids or program accessibility, that are required to provide that individual or group with the nondiscriminatory treatment required by the Act or this part.
- A public entity shall not exclude or otherwise deny equal services, programs, or activities to an individual or entity because of the known disability of an individual with whom the individual or entity is known to have a relationship or association.

MEMBER GRIEVANCES AND APPEALS

Grievances

A grievance is an expression of dissatisfaction with any aspect of the operations, activities, or behavior of a plan or its delegated entity in the provision of health care or prescription drug services or benefits, regardless of whether remedial action is requested. Members must follow the grievance process as listed below when a member is dissatisfied with the manner in which Wellcare, or a delegated entity provides healthcare services. Grievances may include, but are not limited to:

- An enrollee's involuntary disenrollment by the plan
- Enrollee believes they were misdiagnosed
- Enrollee believes treatment was not appropriated
- Enrollee believes they received, or did not receive, care that adversely impacted or had the potential to adversely impact their health
- A change in premiums or cost sharing arrangements from one contract year to the next
- Lack of quality of the care received
- Plan benefit design
- Difficulty contacting the plan via phone
- The appeals process
- The plan's decision not to expedite a coverage or appeal request
- General dissatisfaction about a co-payment amount, but not a dispute about the amount the enrollee paid or is billed
- General issue about a drug not being on the formulary or listed as an excluded drug
- Calculation of True Out-of-Pocket (TrOOP) costs
- Lack of quality of the care received
- Interpersonal aspects of care

Members or their representative may submit a grievance verbally, in writing, via phone, mail, facsimile, electronic mail, or in person within 60 calendar days after the event. If the grievance meets the necessary criteria, a resolution is delivered to the member as expeditiously as the member's case

requires, based on health status, but no later than 24 hours for expedited grievances and 30 calendar days for standard grievances. Extensions of up to 14 calendar days can be granted for standard grievances if the enrollee requests the extension or if Wellcare justifies the need for additional information and the delay is in the best interest of the member.

Appeals

Members or their representatives may file a formal appeal if they are dissatisfied with a medical care or drug coverage decision made by Wellcare. Appeals must be submitted within 60 days of the decision. Expedited reconsiderations/redeterminations will be made on medical care or drug coverage not yet received if standard deadlines could cause serious harm to the member's health. Standard appeals must be made in writing to the address below. Expedited appeals may also be made in writing or verbally by calling Member Services. Members may request an appeal via the secure web portal if available.

Member Grievance and Appeals Address

Written grievances and appeals must be mailed or faxed to:

Wellcare
Attn: Medicare Grievances and Authorization Appeals (Medicare Operations)
7700 Forsyth Blvd
St. Louis, MO 63105
FAX: 1-844-273-2671

For process or status questions, members or their representatives can contact Member Services at Wellcare Medicare HMO Phone: 1-833-853-0865 (TTY: 711) Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP): 1-833-853-0866 (TTY: 711).

PROVIDER COMPLAINT AND APPEALS PROCESS

Wellcare Complaint

A Complaint is a verbal or written expression by a provider which indicates dissatisfaction with Wellcare's policies, procedure, or any aspect of Wellcare's functions. Wellcare logs and tracks all complaints/grievances whether received verbally or in writing. A provider has 30 calendar days from the date of the incident, such as the original Explanation of Payment date, to file a complaint. After a complete review of the complaint/grievance, Wellcare shall provide a written notice to the provider within 30 calendar days from the received date of Wellcare's decision. If the complaint is related to claims payment, the provider must follow the process for claim reconsideration or claim dispute as noted in the Claims section of this Provider Manual prior to filing a Complaint.

Providers who wish to file a verbal or written complaint or appeal can do so by the following:

Verbally contact the Provider Services at HMO: 1-833-853-0865 (TTY: 711) or HMO SNP: 1-833-853-0865 (TTY: 711).

To file a written complaint:

Wellcare
Attn: Complaints Dept.
7700 Forsyth Blvd
St Louis, MO 63105

To file a written appeal:

Wellcare
Attn: Appeals
7700 Forsyth Blvd
St Louis, MO 63105

Authorization and Coverage Appeals

An Appeal is the mechanism which allows providers the right to appeal actions of Wellcare such as a prior authorization denial, or if the provider is aggrieved by any rule, policy or procedure or decision made by Wellcare. A provider has 30 calendar days from Wellcare's notice of action to file the appeal. Wellcare shall acknowledge receipt of each appeal within 5 business days after receiving an appeal. Wellcare shall resolve each appeal and provide written notice of the appeal resolution, as expeditiously as the member's health condition requires, but shall not exceed 60 calendar days from the date Wellcare receives the appeal. Wellcare may extend the timeframe for resolution of the appeal up to 30 calendar days if the member requests the extension or Wellcare demonstrates that there is need for additional information and how the delay is in the member's best interest. For any extension not requested by the member, Wellcare shall provide written notice to the member for the delay.

Expedited appeals may be filed with Wellcare if the member's provider determines that the time expended in a standard resolution could seriously jeopardize the member's life or health or ability to attain, maintain, or regain maximum function. No punitive action will be taken against a provider that requests an expedited resolution or supports a member's appeal. In instances where the member's request for an expedited appeal is denied, the appeal must be transferred to the timeframe for standard resolution of appeals.

Decisions for expedited appeals are issued as expeditiously as the member's health condition requires, not exceeding 72 hours from the initial receipt of the appeal. Wellcare may extend this timeframe by up to an additional 14 calendar days if the member requests the extension or if Wellcare provides satisfactory evidence that a delay in rendering the decision is in the member's best interest.

Providers may also invoke any remedies as determined in the Participating Provider Agreement.

QUALITY IMPROVEMENT PLAN

Overview

Wellcare's culture, systems and processes are structured around its mission to improve the health of all enrolled members. The Quality Assessment and Performance Improvement (QAPI) Program utilizes a systematic approach to quality improvement initiatives using reliable and valid methods of monitoring, analysis, evaluation and improvement in the delivery of healthcare provided to all members, including those with special needs. This system incorporates a continuous cycle for assessing the level of care and service for members through initiatives including preventive health, acute and chronic care, behavioral health, over- and under-utilization, continuity and coordination of care, patient safety, and administrative and network services. This includes the implementation of appropriate interventions and designation of adequate resources to support the interventions. Wellcare requires all practitioners and providers to cooperate with all QI activities, as well as to allow Wellcare to use practitioner and/or provider performance data to ensure success of the QI program.

Wellcare will arrange for the delivery of appropriate care with the primary goal being to improve the health status of its members. Where the member's condition is not amenable to improvement, Wellcare will implement measures to prevent any further decline in condition or deterioration of health status or provide for comfort measures as appropriate and in consultation with the member. This will include the identification of members at risk of developing conditions, the implementation of appropriate interventions and designation of adequate resources to support the interventions. Whenever possible, the Wellcare QAPI Program supports these processes and activities that are designed to achieve demonstrable and sustainable improvement in the health status of its members.

QAPI Program Structure

The Wellcare Board of Directors (BOD) has the ultimate oversight for the care and service provided to members. The BOD oversees the QAPI Program and has established various committees and ad-hoc committees to monitor and support the QAPI Program.

The Quality Improvement Committee (QIC) is a standing management committee of Centene Corporation that has oversight of the Quality Improvement Program and related policies and procedures. The QIC reports to and assists the BOD in fulfilling its oversight responsibility of the QI program. The purpose of the QIC is to:

- Ensure that the Implementation of the QI program is responsive to and supports improving outcomes and member satisfaction
- Collects, Analyzes and reports quality data in compliance with regulatory mandates and with accreditation standards

This is accomplished through a comprehensive, plan-wide system of ongoing, objective, and systematic monitoring; the identification, evaluation, and resolution of process problems; the identification of

opportunities to improve member outcomes; and the education of members, providers and staff regarding the QI, Medical Management (MM), and Credentialing and Re-credentialing programs.

The following sub-committees report directly to the QIC:

- Peer Review/Credentialing Committee
- Customer Service Quality Improvement Workgroup
- Pharmacy and Therapeutics Committee
- Patient Safety Workgroup
- Medicare Continuity and Coordination of Care Workgroup
- Utilization Management Advisory Committee
- Delegation Oversight Committee
- SNP MOC Work Group

Practitioner Involvement

Wellcare recognizes the integral role that practitioner involvement plays in the success of its QAPI Program. Practitioner involvement in various levels of the process is highly encouraged through provider representation. Wellcare promotes PCP, behavioral health, specialty, and OB/GYN representation on key quality committees such as, but not limited to, Utilization Management Advisory Committee, Credentials Committee, Continuity and Coordination of Care workgroup and select ad-hoc committees.

Quality Assessment and Performance Improvement Program Scope and Goals

The scope of the QAPI Program is comprehensive and addresses both the level of clinical care and the level of service provided to Wellcare members. The Wellcare QAPI Program incorporates all demographic groups and ages, benefit packages, care settings, providers, and services in quality improvement activities. This includes services for the following: preventive care, primary care, specialty care, acute care, short-term care, long-term care, ancillary services, and operations, among others.

Wellcare's primary QAPI Program goal is to improve members' health status through a variety of meaningful quality improvement activities implemented across all care settings and aimed at improving the care and services delivered.

To that end, the Wellcare QAPI Program monitors the following:

- Acute and chronic care management

- Behavioral health care
- Compliance with member confidentiality laws and regulations
- Compliance with preventive health guidelines and practice guidelines
- Continuity and coordination of care
- Delegated entity oversight
- Department entity oversight
- Department performance and service
- Employee and provider cultural competency
- Fraud and abuse detection and prevention
- Information management
- Marketing practices
- Member enrollment and disenrollment
- Member grievance system
- Member experience
- Member services
- Network performance
- Organizational structure
- Patient safety (including hospitals, ambulatory care centers and office-based surgery sites to endorse and adopt procedures for verifying correct patient, the correct procedure, and the correct surgical site that meets or exceeds those set forth in the Universal Protocol TM developed by The Joint Commission)
- Primary care provider changes
- Pharmacy
- Provider and plan accessibility

- Provider availability
- Provider complaint system
- Provider network adequacy and capacity
- Provider satisfaction
- Provider services
- Quality management
- Records management
- Selection and retention of providers (credentialing and re-credentialing)
- Utilization management, including under and over utilization
- A full description of the QAPI program is posted on Wellcare's website at www.wellcareok.com. Paper copies are available upon request by contacting Wellcare's QI Department.

Practice Guidelines

Wellcare, whenever possible, adopts preventive and clinical practice guidelines (CPG) from recognized sources, for the provision of acute, chronic and behavioral health services relevant to the populations served. Guidelines will be presented to the Quality Improvement Committee (QIC) for appropriate physician review and adoption. Guidelines will be updated at least every two years or upon significant new scientific evidence or changes in national standards.

Wellcare adopts clinical practice guidelines for at least two non-preventive acute or chronic medical conditions. Wellcare also adopts at least two behavioral health conditions (preventive or non-preventive) relevant to the population. At least two of the adopted CPGs directly correspond with two disease management programs offered by Wellcare. Guidelines will be based on health needs of population and/or opportunities for improvement as identified through the QAPI program.

Clinical Practice guidelines (CPG) may include, but are not limited to:

- Asthma Guidelines
- Diabetes Care Guidelines
- Sickle Cell Guidelines

Wellcare also adopts applicable preventive health guidelines. Preventive health guidelines may include, but are not limited to:

- Adult Preventive Health Guidelines
- Immunization Guidelines

Copies of these guidelines are available on our website at www.wellcareok.com.

All guidelines are reviewed annually for updating and/or when new scientific evidence or national standards are published.

Wellcare's QAPI program assures that Practice Guidelines meet the following:

- Adopted guidelines are approved by the UMAC committee and then sent to the QIC for Approval
- Adopted guidelines are evidence-based and include preventive health services
- Guidelines are reviewed on an annual basis and updated accordingly
- Guidelines are disseminated to Providers in a timely manner via the following appropriate communication settings:
 - Provider orientations and other group sessions
 - Provider e-newsletters
 - Online via the Provider Portal
 - Targeted mailings

Guidelines are posted on Wellcare's website or paper copies are available upon request by contacting Wellcare's QI Department.

Patient Safety and Quality of Care

Patient Safety is a key focus of the Wellcare QAPI Program. Monitoring and promoting patient safety are integrated throughout many activities across the plan but primarily through identification of potential and/or actual quality of care events. A potential quality of care issue is any alleged act or behavior that may be detrimental to the quality or safety of patient care, is not compliant with evidence-based standard practices of care or that signals a potential sentinel event, up to and including death of a member. Wellcare employees (including utilization management staff, member services staff, provider services, complaint coordinators, etc.), panel practitioners, facilities or ancillary providers, members or member representatives, Medical Directors or the BOD may advise the Quality Improvement (QI) Department of potential quality of care issues. Adverse events may also be identified through claims-based reporting and analyses. A potential quality of care issues requires investigation of the factors surrounding the event in order to make a determination of their severity and need for corrective action up to and including review by the Peer Review Committee and/or the Credentialing

Committee as appropriate. Potential quality of care issues received in the QI Department are tracked and monitored for trends in occurrence, regardless of their outcome or severity level.

Performance Improvement Process

The Wellcare QIC reviews and adopts an annual QAPI Program and Work Plan based on managed care appropriate industry standards. The QIC adopts traditional quality/risk/utilization management approaches to identify problems, issues and trends with the objective of developing improvement opportunities. Most often, initiatives are selected based on data that indicates the need for improvement in a particular clinical or non-clinical area and includes targeted interventions that have the greatest potential for improving health outcomes or service standards.

Performance improvement projects focus studies and other QI initiatives are designed and implemented in accordance with principles of sound research design and appropriate statistical analysis. Results of these studies are used to evaluate the appropriateness and level of care and services delivered against established standards and guidelines for the provision of that care or service. Each QI initiative is also designed to allow Wellcare to monitor improvement over time.

Annually, Wellcare develops a Quality Program Improvement Work Plan for the upcoming year. The QPI Work Plan serves as a working document to guide quality improvement efforts on a continuous basis. The Work Plan integrates QIC activities, reporting and studies from all areas of the organization (clinical and service) and includes timelines for completion and reporting to the QIC as well as requirements for external reporting. Studies and other performance measurement activities and issues to be tracked over time are scheduled in the QAPI Work Plan.

Wellcare communicates activities and outcomes of its QAPI Program to both members and providers through avenues such as the member newsletter, provider newsletter and the Wellcare website.

At any time, Wellcare providers may request additional information and paper copies on the health plan programs including a description of the QAPI Program and a report on Wellcare's progress in meeting the QAPI Program goals by contacting the Quality Improvement department.

Additionally, Wellcare develops and implements chronic care improvement programs and quality improvement projects required by CMS. Wellcare encourages all providers to participate in these initiatives.

Office Site Surveys

Wellcare conducts site visits to the provider's office to investigate member complaints related to physical accessibility, physical appearance and adequacy of exam room and waiting room space. Site visits can also be conducted as part of the credentialing process, or as part of standard audits to ensure standards are being met. Standards are determined based on NCQA guidelines, State and Federal regulations. Site visits conducted by Wellcare Representatives may include:

- Staff information, including CPR certification

- Access for the disabled
- Licensure
- Office policies/general information, in particular, verifying that a confidentiality policy is in place and maintained
- Cultural competency training
- Physical accessibility
- Physical appearance
- Adequacy of waiting and examining room space
- Scheduling/appointment availability, including office protocols/policies (Access, Office Hours, Wait Time, Preventive Health Appointment)
- Availability of emergency equipment, including in-stock unexpired emergency medications
- Clinical lab (CLIA) standards
- Medication administration/dispensing/storage of drug samples and prescription pads
- Adequacy of medical records keeping practices

At the conclusion of an office site survey, the results will be reviewed with you or a designated Member of your staff. You may make a copy of the survey for your records. If there are deficiencies, you may be asked to submit a corrective action plan.

MEDICARE STAR RATINGS

The Centers for Medicare & Medicaid Services (CMS) uses a five-star quality rating system to measure Medicare beneficiaries' experience with their health plans and the health care system. This rating system applies to Medicare Advantage plans that cover both health services and prescription drugs (MA-PD).

The ratings are posted on the CMS consumer website, www.medicare.gov, to help beneficiaries when choosing an MA and MA-PD plan offered in their area. The Star Rating program is designed to promote improvement in quality and recognize providers for demonstrating an increase in performance measures over a defined period of time.

CMS's Star Rating Program is based on measures in 9 different domains

Part C

1. Staying healthy: screenings, tests and vaccines
2. Managing chronic (long-term) conditions
3. Member experience with the health plan
4. Member complaints, problems getting services and improvement in the health plan's performance
5. Health plan customer service

Part D

1. Drug Plan Customer Service
2. Member Complaints and Changes in the Drug Plan's Performance
3. Member Experience with the Drug Plan
4. Drug Safety and Accuracy of Drug Pricing

How Can Providers Help Improve Star Ratings?

- Continue to encourage patients to obtain preventive screenings annually or as recommended including but not limited to:
- Breast and/or Colon Cancer Screening
- Annual Flu Vaccine
- Adult BMI Assessment

- Continue to monitor and assess the health and well-being of patients with known chronic conditions including but not limited to:
- Diabetes Care
- Retinal Eye Exam
- Kidney Disease Monitoring (via urine protein testing or ACE/ARB therapy)
- Routine monitoring to ensure HbA1c control (<9)
- Ensure members remain adherent to their diabetic medications and receive necessary statin therapy
- Controlling High Blood Pressure (<140/90)
- Ensure members remain adherent to their hypertension medications (RAS antagonists)
- Statin Therapy for patients with cardiovascular disease
- Ensure members remain adherent to their cholesterol medications (statin therapy)
- Timely Osteoporosis Management for women who have had a fracture through one of the following (within six months of the fracture):
- Bone mineral density test
- Medication therapy to treat osteoporosis
- Rheumatoid Arthritis Management through anti-rheumatic medication therapy
- Continue to talk to your patients and document interventions regarding topics such as: improving or maintaining their mental and physical health; issues with bladder control and fall prevention
- Create office practices to identify noncompliant patients at the time of their appointment
- Submit complete and correct encounters/claims with appropriate codes and properly document medical chart for all members
- Review the gap in care files listing members with open gaps which is available on our secure portal
- Follow up with patients within 14 days post hospitalization; complete post hospitalization medication reconciliation
- Identify opportunities for you or your office to have an impact on member gaps in care

Healthcare Effectiveness Data and Information Set (HEDIS)

HEDIS is a set of standardized performance measures developed by the National Committee for Quality Assurance (NCQA). CMS utilizes HEDIS rates to evaluate the effectiveness of a managed care plan's ability to demonstrate an improvement in preventive health outreach to its members.

As Federal and State governments move toward a health care industry that is driven by quality, HEDIS rates are becoming more and more important, not only to the health plan, but to the individual provider.

HEDIS Rate Calculations

HEDIS rates are calculated in two ways: administrative data or hybrid data. Administrative data consists of claim and encounter data submitted to the health plan. Measures typically calculated using administrative data include Breast Cancer Screening (routine mammography) and use of Disease Modifying Anti-Rheumatic Drugs for Members with Rheumatoid Arthritis, Osteoporosis Management in Women Who Had a Fracture, Access to PCP Services, and Utilization of Acute and Mental Health Services.

Hybrid data consists of both administrative data and a sample of medical record data. Hybrid data requires review of a random sample of medical records to extract data regarding services rendered but not reported to the health plan through claims or encounter data. Accurate and timely claims and encounter data and submission using appropriate CPT II, ICD-10 and HCPCS codes can reduce the necessity of medical record reviews. Examples of HEDIS measures typically requiring medical record review include: Adult BMI Assessment, Comprehensive Diabetes Care (screenings and results including HbA1c, nephropathy, dilated retinal eye exams, and blood pressures), Colorectal Cancer Screening (colonoscopy, sigmoidoscopy, FOBT, CT, Colonography, or FIT-DNA test). Medication Review Post Hospitalization and Controlling Blood Pressure (blood pressure results <140/90 for members with high blood pressure).

Who conducts Medical Record Reviews (MRR) for HEDIS?

Wellcare may contract with an independent national Medical Record Review (MRR) vendor to conduct the HEDIS MRR on its behalf. Medical record review audits for HEDIS can occur anytime throughout the year but are usually conducted March through May each year. Prompt cooperation with the MRR process is greatly needed and appreciated.

As a reminder, sharing of protected health information (PHI) that is used or disclosed for purposes of treatment, payment or health care operations is permitted by HIPAA Privacy Rules (45 CFR 164.506) and does not require consent or authorization from the member. The MRR vendor will sign a HIPAA compliant Business Associate Agreement with Wellcare that allows them to collect PHI on our behalf.

How can Providers improve their HEDIS scores?

- Understand the specifications established for each HEDIS measure.

- Submit claims and encounter data for each and every service rendered. All providers must bill (or submit encounter data) for services delivered, regardless of their contract status with Wellcare. Claims and encounter data is the most efficient way to report HEDIS.
- Submit claims and encounter data correctly, accurately, and on time. If services rendered are not filed or billed accurately, they cannot be captured and included in the scoring calculation. Accurate and timely submission of claims and encounter data will reduce the number of medical record reviews required for HEDIS rate calculation.
- Ensure chart documentation reflects all services provided. Keep accurate chart/medical record documentation of each member service and document conversation/services.
- Submit claims and encounter data using CPT codes related to HEDIS measures such as diabetes, eye exam, and blood pressure.

If you have any questions, comments, or concerns related to the annual HEDIS project or the medical record reviews, please contact the Quality Improvement Department.

Consumer Assessment of Healthcare Provider Systems (CAHPS) Survey

The CAHPS survey is a member satisfaction survey that is included as a part of the Star rating system. It is a standardized survey administered annually to members by CMS certified survey vendor. The survey provides information on the experiences of members with health plan and practitioner services and gives a general indication of how well practitioners and the plan is meeting the members' expectations. Member responses to the CAHPS survey are used in various aspects of the Star rating program including monitoring of practitioner access and availability. CAHPS survey material that may reflect on the service of providers includes:

- Whether the member received an annual flu vaccine
- Whether members perceive they are getting needed care, tests, or treatment needed including specialist appointments and prescriptions
- Whether the member's personal doctor's office followed up to give the member test results
- Appointment availability and appointment wait times
- Whether the member's personal doctor is informed and up to date on care received from specialist

Medicare Health Outcomes Survey (HOS)

The Medicare HOS is a patient-reported outcomes measure used in the Medicare Star rating program. The goal of the Medicare HOS is to gather data to help target quality improvement. The HOS assesses practitioners and Medicare Advantage Organization's (MAO) ability to maintain or improve the physical

and mental health of its Medicare members over time. Wellcare HOS questions that may reflect on the service of providers includes:

- Whether the member perceives their physical or mental health is maintained or improving
- Look for opportunities to discuss and address concerns regarding the following:
 - Mobility: Address potential needs for assistive devices
 - Physical Activity: Discuss starting, increasing, or maintaining patients' level of physical activity
 - Mental Health: Address social interactions and other behavioral health needs that may require further follow-up if provider has discussed fall risks and bladder control with the member by considering the following:
 - Fall Risk Prevention: Educate patients on fall risk prevention by addressing any needs for assistive devices and reviewing any potential high-risk medications that could increase their fall risk
 - Bladder Control: Assess the need for bladder control education and potential treatment

REGULATORY MATTERS

Medical Records

Wellcare requires all providers (physician, hospital and ancillary) to maintain sound medical record keeping practices that are consistent with Wellcare's medical records guidelines. Wellcare requires that records be maintained in compliance with all HIPAA regulations and other federal and state laws. Records must be kept in a legible, current, detailed, organized and comprehensive manner that permits effective patient care and quality review. Whether using paper or electronic record keeping systems, medical records need to be identifiable by the patient's name and be accessible. To ensure the member's privacy, medical records should be kept in a secure location. Wellcare requires providers to maintain all records for members for at least 10 years after the final date of service, unless a longer period is required by applicable state or federal law. Medical records must be accessible at the site of the member's PCP or other provider.

Required Information

To be considered a complete and comprehensive medical record, the member's medical record (file) should include, at a minimum: provider notes regarding examinations, office visits, referrals made, tests ordered, and results of diagnostic tests ordered (i.e. X-rays, laboratory tests). Medical records should be accessible at the site of the member's participating primary care physician or provider. All medical services received by the member, including inpatient, ambulatory, ancillary, and emergency care, should be documented and prepared in accordance with all applicable state rules and regulations, and signed by the medical professional rendering the services.

Providers must maintain complete medical records for members in accordance with the standards set forth below.

- Member's name, and/or medical record number must be on all chart pages.
- Personal/biographical data is present (i.e., employer, home telephone number, spouse, next of kin, legal guardianship, primary language, etc.).
- Prominent notation of any spoken language translation or communication assistance must be included.
- All entries must be legible and maintained in detail.
- All entries must be dated and signed or dictated by the provider rendering the care.
- Significant illnesses and/or medical conditions are documented on the problem list and all past and current diagnoses.
- Medication, allergies, and adverse reactions are prominently documented in a uniform location in the medical record; if no known allergies, NKA or NKDA are documented.

- An up-to-date immunization record is established for pediatric members or an appropriate history is made in chart for adults.
- Evidence that preventive screening and services are offered in accordance with Wellcare practice guidelines.
- Appropriate subjective and objective information pertinent to the member's presenting complaints is documented in the history and physical.
- Past medical history (for members seen three or more times) is easily identified and includes any serious accidents, operations and/or illnesses, discharge summaries, and ER encounters; for children and adolescents (18 years and younger) past medical history relating to prenatal care, birth, any operations and/or childhood illnesses.
- Working diagnosis is consistent with findings.
- Treatment plan is appropriate for diagnosis.
- Documented treatment prescribed, therapy prescribed, and drug administered or dispensed including instructions to the member.
- Documentation of prenatal risk assessment for pregnant members or infant risk assessment for newborns.
- Signed and dated required consent forms are included.
- Unresolved problems from previous visits are addressed in subsequent visits.
- There is review under- or over utilization of consultants.
- If a consultation is requested, there's a note from the consultant in the record.
- Consultation, laboratory and imaging reports filed in the chart are initialed by the practitioner who ordered them, to signify review. (Review and signature by professionals other than the ordering practitioner do not meet this requirement). If the reports are presented electronically or by some other method, there is also representation of review by the ordering practitioner. Consultation and abnormal laboratory and imaging study results have an explicit notation in the record of follow-up plans.
- Laboratory and other studies ordered as appropriate are documented.
- Abnormal lab and imaging study results have explicit notations in the record for follow up plans; all entries should be initialed by the primary care provider (PCP) to signify review.

- Referrals to specialists and ancillary providers are documented including follow up of outcomes and summaries of treatment rendered elsewhere including family planning services, preventive services and services for the treatment of sexually transmitted diseases.
- Health teaching and/or counseling is documented.
- For members 12 years and over, appropriate notations concerning use of tobacco, alcohol and substance use (for members seen three or more times substance abuse history should be queried).
- Documentation of failure to keep an appointment.
- Encounter forms or notes have a notation, when indicated, regarding follow-up care calls or visits. The specific time of return should be noted as weeks, months or as needed.
- Evidence that the member is not placed at inappropriate risk by a diagnostic or therapeutic problem.
- Confidentiality of member information and records are protected.
- Evidence that an advance directive has been offered to adults 18 years of age and older.

Medical Records Release

All member medical records are confidential and must not be released without the written authorization of the member or their parent/legal guardian, in accordance with state and federal law and regulation. When the release of medical records is appropriate, the extent of that release should be based upon medical necessity or on a need-to-know basis.

All release of specific clinical or medical records for Substance Use Disorders must meet Federal guidelines at 42 CFR part 2 and any applicable State Laws.

Compliance Audits for Medical Record Documentation

Wellcare may audit record-keeping practices and individual member medical records in conjunction with ongoing Quality Improvement Program activities, utilizing the standards listed above. Providers scoring less than 80% on medical record audits may be placed under a corrective action plan, subject to additional medical record reviews or referred to Wellcare's Quality Improvement Committee (QIC) for recommendations.

Wellcare encourages providers to request medical records that document care previously provided to members that are new to their panel. This will assist in assuring the member receives continuous care, as well as helping determine the most appropriate course of treatment for the patient.

Medical Records Transfer for New Members

All PCPs are required to document in the member's medical record attempts to obtain historical medical records for all newly assigned Wellcare members. If the member or member's parent/legal guardian is unable to remember where they obtained medical care, or they are unable to provide addresses of the previous providers, then this should also be noted in the medical record.

Medical Records Audits

Wellcare will conduct random medical record audits as part of its QAPI Program to monitor compliance with the medical record documentation standards noted above. The coordination of care and services provided to members, including over/under utilization of services, as well as the outcome of such services, is also subject to review and assessment during a medical record audit. Wellcare will provide written notice prior to conducting a medical record review.

Access to Records and Audits from Wellcare

Subject only to applicable state and federal confidentiality or privacy laws, provider shall permit Wellcare from or its designated representative access to provider's records, at provider's place of business in this state during normal business hours, or remote access of such records, in order to audit, inspect, review, perform chart reviews, and duplicate such records. If the audit needs to be performed on site, Wellcare from or its designated representative will provide at least thirty (30) business days prior written notice to request access to records for the purpose of an on-site audit. The audit shall be scheduled at mutually agreed upon times, but not more than sixty (60) days following such written notice.

Electronic Medical Record (EMR) Access

Providers will grant Wellcare access to providers Electronic Medical Record (EMR) system in order to effectively case manage members and capture medical record data for risk adjustment and quality reporting. There will be no other fees charged to Wellcare for this access.

Federal and State Laws Governing the Release of Information

The release of certain information is governed by a myriad of Federal and/or State laws.

These laws often place restrictions on how specific types of information may be disclosed, including, but not limited to, mental health, alcohol /substance abuse treatment and communicable disease records.

For example, the federal Health Insurance Portability and Accountability Act (HIPAA) requires that covered entities, such as health plans and providers, release protected health information only when permitted under the law, such as for treatment, payment and operations activities, including care management and coordination.

However, a different set of federal rules place more stringent restrictions on the use and disclosure of alcohol and substance abuse treatment records (42 CFR Part 2 or "Part 2"). These records generally may not be released without consent from the individual whose information is subject to the release.

Still other laws at the State level place further restrictions on the release of certain information, such as mental health, communicable disease, etc.

For more information about any of these laws, refer to the following:

- HIPAA - please visit the Centers for Medicare & Medicaid Services (CMS) website at: www.cms.hhs.gov and then select “Regulations and Guidance” and “HIPAA – General Information”
- Part 2 regulations - please visit the Substance Abuse and Mental Health Services Administration (within the U.S. Department of Health and Human Services) at: www.samhsa.gov
- State laws - consult applicable statutes to determine how they may impact the release of information on patients whose care you provide.

Contracted providers within the Wellcare network are independently obligated to know, understand and comply with these laws.

Wellcare takes privacy and confidentiality seriously. We have established processes, policies and procedures to comply with HIPAA and other applicable federal and/or State confidentiality and privacy laws.

Please contact the Wellcare Compliance Officer by phone at 1-866-595-8133 or in writing (refer to address below) with any questions about our privacy practices.

Wellcare
Attn: Compliance Officer
7700 Forsyth Boulevard
Clayton, MO 63105

Section 1557 of the Patient Protection and Affordable Care Act

Section 1557 is the nondiscrimination provision of the Affordable Care Act (ACA). The law prohibits discrimination on the basis of race, color, national origin, sex, age, or disability in certain health programs or activities. Section 1557 builds on long-standing and familiar Federal civil rights laws: Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973 and the Age Discrimination Act of 1975. Section 1557 extends nondiscrimination protections to individuals participating in:

- Any health program or activity any part of which received funding from HHS
- Any health program or activity that HHS itself administers
- Health Insurance Marketplaces and all plans offered by issuers that participate in those Marketplaces.

For more information, please visit www.hhs.gov/civil-rights/section-1557.

Health Insurance Portability and Accountability Act

To improve the efficiency and effectiveness of the healthcare system, the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191, includes administrative simplification provisions that require national standards for electronic healthcare transactions and code sets, unique health identifiers and security, as well as federal privacy protections for individually identifiable health information. The Office for Civil Rights administers and enforces the Privacy Rule and the Security Rule.

Other HIPAA Administrative Simplification Rules are administered and enforced by the Centers for Medicare and Medicaid Services (CMS), and include:

- Transactions and code sets standards
- Employer identifier standard
- National Provider Identifier standard

The Enforcement Rule provides standards for the enforcement of all the Administrative Simplification Rules. A summary of the HIPAA Administrative Simplification Rules can be found at www.CMS.gov/Regulations-and-Guidance.

Privacy Regulations

The Privacy rules regulate who has access to a member's personally identifiable health information (PHI) whether in written, verbal or electronic form. In addition, this regulation affords individuals the right to keep their PHI confidential, and in some instances, from being disclosed.

In compliance with the privacy regulations, Wellcare has provided each Wellcare member with a privacy notice, which describes how Wellcare can use or share a member's health records and how the member can get access to the information. In addition, the Member Privacy Notice informs the member of their healthcare privacy rights and explains how these rights can be exercised. Copies of Wellcare's Member Privacy Notices can be found at www.wellcareok.com.

1. As a provider, if you have any questions about Wellcare's privacy practices, contact the Wellcare Compliance Officer at 1-833-853-0865.
2. Members should be directed to Wellcare's Member Services department with any questions about the privacy regulations. Member Services can be reached at Wellcare Medicare HMO Phone: 1-833-853-0865 or Wellcare Dual Medicare (HMO DSNP) & Wellcare Dual Medicare Essentials (HMO DSNP): 1-833-853-0866

The Security Rule

The HIPAA Security Rule establishes national standards to protect individuals' electronic personal health information that is created, received, used, or maintained by Wellcare. The Security Rule requires

appropriate administrative, physical and technical safeguards to ensure the confidentiality, integrity and security of electronic protected health information. The Security Rule is located at 45 CFR Part 160, and Subparts A and C of Part 164.

The Breach Notification Rule

On January 25, 2013, the Office for Civil Rights (OCR) of the United States Department of Health and Human Services (HHS) published in the Federal Register a final omnibus rule that revises certain rules promulgated under the Health Insurance Portability and Accountability Act of 1996 (HIPAA). These revised rules were issued pursuant to changes enacted by Congress in the Health Information Technology for Economic and Clinical Health (HITECH) Act and the Genetic Information Nondiscrimination (GINA) Act of 2008. Effective March 23, 2013, the Final Rule implements section 13402 of the HITECH Act by requiring various notifications following a breach of unsecured protected health information.

The Final Rule eliminates the significant risk of harm standard from the Interim Rule for determining whether a breach has occurred. Covered entities and business associates must ensure compliance with regulatory definitions relating to breach notifications.

Transactions and Code Sets Regulations

Transactions are activities involving the transfer of healthcare information for specific purposes. Under HIPAA, if Wellcare or a healthcare provider engages in one of the identified transactions, they must comply with the standard for it, which includes using a standard code set to identify diagnoses and procedures. The Standards for Electronic Transactions and Code Sets published August 17, 2000, and since modified, adopted standards for several transactions, including claims and encounter information, payment and claims status. Any healthcare provider that conducts a standard transaction also must comply with the Privacy Rule.

Version 5010 refers to the revised set of HIPAA electronic transaction standards adopted to replace the current standards. Every standard has been updated, including claims, eligibility and referral authorizations.

All HIPAA covered entities must be using version 5010 as of January 1, 2012. Any electronic transaction for which a standard has been adopted must have been submitted using version 5010 on or after January 1, 2012.

HIPAA Required Code Sets

The HIPAA Code Sets regulation requires that all codes utilized in electronic transactions are standardized, utilizing national standard coding. Only national standard codes can be used for electronic claims and/or authorization of services.

Nationally recognized code sets include:

1. Health Care Common Procedure Coding System (HCPCS) - This code set, established by the CMS, primarily represents items and supplies and non-physician services not covered by the American Medical Association CPT-4 codes, which can be purchased from the American Medical Association (AMA) at 1-800-621-8335.
2. Current Procedure Terminology (CPT) codes- The CPT codes are used to describe medical procedures, and this code set is maintained by the American Medical Association. For more information on the CPT codes, please contact the AMA.
3. International Classification of Diseases, 9th revision, Clinical Modification ICD-9-CM Volumes 1 and 2 (diagnosis codes) - These are maintained by the National Center for Health Statistics and Centers for Disease Control (CDC) within the Department of Health and Human Services (HHS).
4. International Classification of Diseases, 9th revision, Clinical Modification ICD-9-CM Volume 3 (procedures) - Those are maintained by CMS.
5. International Classification of Diseases, 10th revision, Clinical Modification ICD-10-CM- This is the new diagnosis coding system that was developed as a replacement for ICD-9-CM, Volume 1 and 2. International Classification of Diseases, 10th revision, Procedure Coding System ICD-10-PCS is the new procedure coding system that was developed as a replacement for ICD-9-CM, Volume 3, and two parts:
 - Part 1: ICD-10-CM for diagnosis coding. ICD-10-CM is for use in all U.S. Health care settings. Diagnosis coding under ICD-10-CM uses three (3) to seven (7) digits instead of the three (3) to five (5) digits used with ICD-9-CM, but the format of the code sets is similar.
 - Part 2: ICD-10-PCS for inpatient procedure coding. ICD-10-PCS is for use in U.S. Inpatient hospital settings only. ICD-10-PCS uses seven (7) alphanumeric digits instead of the three (3) or four (4) numeric digits used under ICD-9-CM procedure coding. National Drug Code (NDC) - The NDC is a code that identifies the vendor (manufacturer), product and package size of all medications recognized by the Federal Drug Administration (FDA). To access the complete NDC code set, see www.fda.gov/Drugs/InformationOnDrugs/ucm142438.htm.

HIPAA Regulated Transactions

Below are the 10 electronic standardized transactions that are mandated by the HIPAA legislation.

1. Transaction name
2. HIPAA transaction number
3. Claims and encounters
4. Enrollment and disenrollment
5. Health plan eligibility solicitations and response

6. Payment and remittance advice
7. Premium payment
8. Claim status solicitation and response
9. Coordination of benefits
10. Referral and authorization

Though it is standard operating process, Wellcare does not currently utilize all standard transaction sets. Functionality equivalent to that which is offered by these transaction sets is made available to Wellcare's members and providers via various alternative capabilities such as online tools. Wellcare currently offers an alternative through the Secure Provider Portal, for the following transactions:

- ASC X12 270 Eligibility Status Inquiry
- ASC X12 271 Eligibility Status Response
- ASC X12 276 Claim Status Inquiry
- ASC X12 277 Claim Status Response
- ASC X12 278 Referral Certification and Response

For more information on conducting these transactions electronically, contact the EDI Department at 1-800-225-2573, ext. 6075525 or by email at EDIBA@centene.com.

National Provider Identifier

The National Provider Identifier (NPI) is a HIPAA Administrative Simplification Standard. The NPI is a unique identification number for covered healthcare providers. Covered healthcare providers and all health plans and healthcare Clearinghouses must use the NPIs in the administrative and financial transactions adopted under HIPAA. The NPI is a 10-position, intelligence-free numeric identifier (10-digit number). This means that the numbers do not carry other information about healthcare providers such as the state in which they live or their medical specialty. The NPI must be used in lieu of legacy provider identifiers in all electronic HIPAA standards transactions. However, some LTSS providers are considered "Atypical Providers" because they render non-health or non-medical services to Wellcare members. These providers bill using their Atypical ID (LTSS #) in the Non-NPI Provider ID field of the claim form.

As outlined in the Federal regulation, covered providers must also share their NPI with other providers, health plans, clearinghouses and any entity that may need it for billing purposes.

Please contact the Wellcare Compliance Officer by phone at 1-866-595-8133 or in writing (refer to address below) with any questions about our privacy practices.

Wellcare
Attn: Compliance Officer
7700 Forsyth Boulevard
Clayton, MO 63105

Fraud, Waste and Abuse

Wellcare takes the detection, investigation, and prosecution of fraud and abuse very seriously, and has a Fraud, Waste and Abuse (FWA) program that complies with the federal and state laws. Wellcare, in conjunction with its parent company, Centene, operates a Fraud, Waste and Abuse unit. Wellcare routinely conducts audits to ensure compliance with billing regulations. To better understand this system, please review the Claims section and Billing the Member section of this manual. The Centene Special Investigation Unit (SIU) performs retrospective audits which, in some cases, may result in taking actions against providers who commit Fraud, Waste and/or Abuse. These actions include but are not limited to:

- Remedial education and training to prevent the billing irregularity;
- More stringent utilization review
- Recoupment of previously paid monies
- Termination of provider agreement or other contractual arrangement
- Civil and/or criminal prosecution
- Any other remedies available to rectify

Some of the most common FWA practices include:

- Unbundling of codes
- Up-coding services
- Add-on codes billed without primary CPT
- Diagnosis and/or procedure code not consistent with the member's age/gender
- Use of exclusion codes
- Excessive use of units
- Misuse of benefits
- Claims for services not rendered

If you suspect or witness a provider inappropriately billing or a member receiving inappropriate services, please call our anonymous and confidential FWA hotline at 1-866-685-8664. Wellcare takes all reports of potential Fraud, Waste and Abuse very seriously and investigates all reported issues.

OIG/GSA Exclusion and CMS Preclusion List – As a provider in our Medicare network, you are required to check the exclusion lists prior to hiring or contracting and monthly thereafter as outlined below for all your staff, volunteers, temporary employees, consultants, Board of Directors, and any contractors that would meet the requirements as outlined in The Act §1862(e)(1)(B), 42 C.F.R. §§ 422.503(b)(4)(vi)(F), 422.752(a)(8), 423.504(b)(4)(vi)(F), 423.752(a)(6), 1001.1901

Providers' implementation of Fraud, Waste, and Abuse safeguards to identify excluded providers and entities.

Medicare payment may not be made for items or services furnished or prescribed by a precluded or excluded provider or entity. Plans shall not use federal funds to pay for services, equipment or drugs prescribed or provided by a provider, supplier, employee or First Tier, Downstream or Related entities (FDR) precluded by CMS and/or excluded by the Department of Health and Human Services (DHHS) Office of the Inspector General (OIG) or the General Services Administration (GSA). Wellcare will review the CMS Preclusion List, the DHHS OIG List of Excluded Individuals and Entities (LEIE list) and the GSA Excluded Parties List (EPLS) prior to hiring or contracting of any new employee, temporary employee, volunteer, consultant, governing body member, or FDR, and monthly thereafter. If anyone is identified, providers are required to notify Wellcare immediately so that if needed Wellcare can take appropriate action. Providers may contact the Wellcare Compliance Officer at 1-833-853-0865 FWA Program Compliance Authority and Responsibility

The Wellcare Vice President of Compliance and Regulatory Affairs has overall responsibility and authority for carrying out the provisions of the compliance program. Wellcare is committed to identifying, investigating, sanctioning and prosecuting suspected Fraud, Waste and Abuse.

The Wellcare provider network must cooperate fully in making personnel and/or subcontractor personnel available in person for interviews, consultation, grand jury proceedings, pre-trial conferences, hearings, trials and in any other process, including investigations.

To report suspected Fraud, Waste and Abuse call, 1-866-685-8664.

Post-Processing Claims Audit

A post-processing claims audit consists of a review of clinical documentation and claims submissions to determine whether the payment made was consistent with the services rendered. To start the audit, Wellcare's auditors request medical records for a defined review period. Providers have 30 days to respond to the request; if no response is received, a second and final request for medical records is forwarded to the provider. If the provider fails to respond to the second and final request for medical records, or if services for which claims have been paid are not documented in the medical record, Wellcare will recover all amounts paid for the services in question.

Wellcare auditors review cases for common FWA practices including:

- Unbundling of codes
- Up-coding services
- Add-on codes billed without primary CPT
- Diagnosis and/or procedure code not consistent with the member's age/gender
- Use of exclusion codes
- Excessive use of units
- Misuse of benefits
- Claims for services not rendered

Wellcare's auditors consider state and federal laws and regulations, provider contracts, billing histories, and fee schedules in making determinations of claims payment appropriateness. If necessary, a clinician of like specialty may also review specific cases to determine if billing is appropriate. Auditors issue an audit results letter to each provider upon completion of the audit, which includes a claims report identifying all records reviewed during the audit. If the auditor determines that clinical documentation does not support the claims payment in some or all circumstances, Wellcare will seek recovery of all overpayments. Depending on the number of services provided during the review period, Wellcare may calculate the overpayment using an extrapolation methodology. Extrapolation is the use of statistical sampling to calculate and project overpayment amounts. It is used by Medicare Program Safeguard Contractors, CMS Recovery Audit Contractors, and Medicaid Fraud Control Units in calculating overpayments, and is recommended by the OIG in its Provider Self-Disclosure Protocol (63 Fed. Reg. 58,399; Oct. 30, 1998).

False Claims Act

The False Claims Act establishes liability when any person or entity improperly receives from or avoids payment to the Federal government. The Act prohibits:

- Knowingly presenting, or causing to be presented a false claim for payment or approval
- Knowingly making, using, or causing to be made or used, a false record or statement material to a false or fraudulent claim
- Conspiring to commit any violation of the False Claims Act
- Falsely certifying the type or amount of property to be used by the Government
- Certifying receipt of property on a document without completely knowing that the information is true
- Knowingly buying Government property from an unauthorized officer of the Government

- Knowingly making, using, or causing to be made or used a false record to avoid, or decrease an obligation to pay or transmit property to the Government

For more information regarding the False Claims act, please visit www.cms.hhs.gov.

Physician Incentive Programs

On an annual basis and in accordance with Federal Regulations, Wellcare must disclose to the Centers for Medicare and Medicaid Services, any Physician Incentive Programs that could potentially influence a physician's care decisions. The information that must be disclosed includes the following:

- Effective date of the Physician Incentive Program
- Whether services not covered by Wellcare are covered under Physician Incentive Program
- Type of Incentive Arrangement i.e., withhold, bonus, capitation
- If Incentive Arrangement involves withhold or bonus, what percentage of withhold or bonus
- Amount and type of stop-loss protection
- Patient panel size
- Description of the pooling method, if applicable
- For capitation arrangements, provide the amount of the capitation payment that is broken down by percentage for primary care, referral and other services
- The calculation of substantial financial risk (SFR)
- Whether Wellcare does or does not have a Physician Incentive Program
- The name, address and other contact information of the person at Wellcare who may be contacted with questions regarding Physician Incentive Programs

Physician Incentive Programs may not include any direct or indirect payments to providers and/or provider groups who create inducements to limit or reduce the provision of necessary services. In addition, Physician Incentive Programs that place providers and/or provider groups at significant financial risk may not operate unless there is adequate stop-loss protection, member satisfaction surveys and satisfaction of disclosure requirements satisfying the Physician Incentive Program regulations.

Substantial financial risk (SFR) occurs when the incentive arrangement places the provider and/or provider group at risk beyond the risk threshold which is the maximum risk if the risk is based upon the use or cost of referral services. The risk threshold is set at 25% and does not include amounts based

solely on factors other than a provider and/or provider group's referral levels. Bonuses, capitation, and referrals may be considered incentive arrangements that result in SFR.

If you have questions regarding the Physician Incentive Program Regulations, please contact your Provider Partnership Manager.

First-Tier and Downstream Providers

Through written agreement, Wellcare may delegate certain functions or responsibilities in accordance with CMS regulations 42 CFR § 422.504 to First-Tier, downstream, and delegated entities. These functions and responsibilities include but are not limited to contract administration and management, claims submission, claims payment, credentialing and re-credentialing, network management, and provider training. Wellcare oversees and is accountable for these responsibilities specified in the written agreement and will impose sanctions or revoke delegation if the entities' performance is inadequate. Wellcare will ensure written agreements which specify these responsibilities by Wellcare, and the delegated entity are clear and concise. Agreements will be kept on file by Wellcare for reference.

Member Notifications

Medicare providers are required in certain circumstances to provide notifications to their patients about various aspects of their care. This section outlines some of the most common member notifications required by Medicare providers. For a comprehensive list of notification requirements, please review Chapter 30 (Financial Liability Protections) of the Medicare Claims Processing Manual, available on www.cms.gov.

Notice of Medicare Non-Coverage (NOMNC)

Scope

The expedited determination process is available to beneficiaries in Original Medicare whose Medicare covered services are being terminated in the following settings. All beneficiaries receiving services in these settings must receive a Notice of Medicare Non-Coverage (NOMNC) before their services end:

- Home Health Agencies (HHAs)
- Comprehensive Outpatient Rehabilitation Services (CORFs)
- Hospice
- Skilled Nursing Facilities (SNFs)-- Includes services covered under a Part A stay, as well as Part B services provided under consolidated billing (i.e., physical therapy, occupational therapy, and speech therapy). A NOMNC must be delivered by the SNF at the end of a Part A stay or when all of Part B therapies are ending.

Provider Delivery of the NOMNC

Providers must deliver the NOMNC to all beneficiaries eligible for the expedited determination process per §260.2. A NOMNC must be delivered even if the beneficiary agrees with the termination of services. Medicare providers are responsible for the delivery of the NOMNC.

Required Delivery Timeframes

The NOMNC should be delivered to the beneficiary at least two calendar days before Medicare covered services end or the second to last day of service if care is not being provided daily.

Detailed Explanation of Non-Coverage

The Detailed Explanation of Non-Coverage (DENC)

Medicare providers are responsible for the delivery of the DENC to beneficiaries who request an expedited determination by the QIO. The DENC must contain the following information:

- The facts specific to the beneficiary's discharge and provider's determination that coverage should end.
- A specific and detailed explanation of why services are both no longer reasonable and necessary or no longer covered.
- A description of, and citations to, the Medicare coverage rule, instruction, or other policies applicable to the review.

The delivery must occur in person by close of business of the day the QIO notifies the provider that the beneficiary has requested an expedited determination. A provider may also choose to deliver the DENC with the NOMNC.

Required Notification to Members for Observation Services

Scope

In compliance with the Federal Notice of Observation Treatment and Implication for Care Eligibility Act (NOTICE ACT) effective August 6, 2015, contracted hospitals and critical access hospitals must deliver the Medicare Outpatient Observation Notice (MOON) to any Member who receives observation services as an outpatient for more than 24 hours. The MOON is a standardized notice to a Member informing that the Member is an outpatient receiving observation services and not an inpatient of the hospital or critical access hospital and the implications of such status. The MOON must be delivered no later than 36 hours after observation services are initiated, or if sooner upon release. The OMB approved Medicare Outpatient Observation Notice and accompanying form instructions can be found at www.cms.gov/Medicare/Medicare-General-Information/BNI/index.html

Notice of Hospital Discharge Appeal Rights

Hospitals must issue the **Important Message** within two calendar days of admission, obtain signature of the patient or the signature of their authorized representative, and provide a signed follow-up copy to the patient as far in advance of discharge as possible, but not more than two calendar days before discharge.

Provider-Preventable Conditions

CMS guidelines regarding Hospital Acquired Conditions, Never Events, and other Provider-Preventable Conditions (collectively, PPCs). Under Section 42 CFR 447.26 (implemented July 1, 2012), these PPCs are non-payable for Medicaid and Medicare. Additional PPCs may be added by individual states.

Never Events are defined as a surgical or other invasive procedure to treat a medical condition when the practitioner erroneously performs:

- A different procedure altogether
- The correct procedure but on the wrong body part
- The correct procedure on the wrong patient

[Hospital Acquired Conditions](#) are additional non-payable conditions listed on the CMS website and include such events as an air embolism, falls, and catheter-associated urinary tract infection.

Healthcare providers may not bill, attempt to collect from, or accept any payment from Wellcare or the member for PPCs or hospitalizations and other services related to these non-covered procedures.

APPENDIX

Appendix I: Common Causes for Upfront Claim Rejections

Common causes for upfront rejections include but are not limited to:

- Unreadable Information - The ink is faded, too light, or too bold (bleeding into other characters or beyond the box), or the font is too small
- Member Date of Birth is missing.
- Member Name or Identification Number is missing.
- Provider Name, Taxpayer Identification Number (TIN), or National Practitioner Identification (NPI) Number is missing.
- Attending Provider information missing from Loop 2310A on Institutional claims when CLM05-1 (Bill Type) is 11, 12, 21, 22, or 72 or missing from box 48 on the paper UB claim form.
- Date of Service is not prior to the received date of the claim (future date of service).
- Date of Service or Date Span is missing from required fields. Example: "Statement From" or "Service From" dates.
- Type of Bill is invalid.
- Diagnosis Code is missing, invalid, or incomplete.
- Service Line Detail is missing.
- Date of Service is prior to member's effective date.
- Admission Type is missing (Inpatient Facility Claims – UB-04, field 14).
- Patient Status is missing (Inpatient Facility Claims – UB-04, field 17).
- Occurrence Code/Date is missing or invalid.
- Revenue Code is missing or invalid.
- CPT/Procedure Code is missing or invalid.
- A missing CLIA Number in Box 23 or a CMS 1500 for CLIA or CLIA waived service
- Incorrect Form Type used.

Appendix II: Common Cause of Claims Processing Delays and Denials

- Procedure or Modifier Codes entered are invalid or missing.
- This includes GN, GO, or GP modifier for therapy services.
- Diagnosis Code is missing the 4th or 5th digit.
- DRG code is missing or invalid.
- Explanation of Benefits (EOB) from the primary insurer is missing or incomplete.
- Third Party Liability (TPL) information is missing or incomplete.
- Member ID is invalid.
- Place of Service Code is invalid.
- Provider TIN and NPI do not match.
- Revenue Code is invalid.
- Dates of Service span do not match the listed days/units.
- Tax Identification Number (TIN) is invalid.

Appendix III: Common EOP Denial Codes and Descriptions

See the bottom of your paper EOP for the updated and complete description of all explanation codes associated with your claims. Electronic Explanations of Payment will use standard HIPAA denial codes.

| EX Code | Definition |
|---------|--|
| 0B | ADJUST: CLAIM TO BE REPROCESSED CORRECTED UNDER NEW CLAIM NUMBER |
| 0I | ADJUSTMENT: ADJUSTED PER CORRECTED BILLING FROM PROVIDER |
| 1D | DENY: DISCHARGE STATUS INVALID FOR TYPE OF BILL |
| 52 | DENY - PAYMENT INCLUDED IN ALLOWANCE FOR ANOTHER PROCEDURE |
| 57 | DENY - AUTHORIZATION LIMITATION EXCEEDED |
| 64 | DENY - PROCEDURE INCONSISTENT WITH DIAGNOSIS |
| 65 | DENY-MISSING OR INVALID INFORMATION |
| 71 | DENY-MEMBER NOT ELIGIBLE ON DATE OF SERVICE |
| 76 | DENY - MAXIMUM BENEFIT HAS BEEN PAID |
| 78 | DENY: INVALID OR MISSING PLACE OF SERVICE LOCATION |
| 82 | DENY-NON-COVERED SERVICES |
| 83 | DENY - DUPLICATE OF PREVIOUS SUBMITTED CLAIM |
| A1 | APC - OCE LINE-ITEM REJECTION |
| A2 | APC - OCE LINE-ITEM DENIAL |
| A4 | APC - OCE CLAIM LEVEL RETURN TO PROVIDER (RTP) |
| A5 | APC - OCE CLAIM LEVEL REJECTION |
| AN | DENY - SERVICE DENIED FOR NO AUTHORIZATION ON FILE |
| BT | DENY: TYPE OF BILL INVALID |
| C5 | DENY: CODE REPLACED BASED ON CODE AUDITING |
| dh | DENY - NON-EMERGENCY OUT OF AREA SERVICES ARE NOT COVERED |

| | |
|----|--|
| DZ | DENY: RESUBMIT WITH CORRECTED COUNT |
| EB | DENIED BY MEDICAL SERVICES |
| EC | DENY: DIAGNOSIS CANNOT BE USED AS PRIMARY DIAGNOSIS, PLEASE RESUBMIT |
| Es | INVALID OR MISSING REQUIRED ESRD OR HHA \CLAIMS DATA |
| FT | INVALID FORM TYPE FOR PROCEDURE(S) SUBMITTED |
| Hn | HHA GROUPER INVALID OR NO TREATMENT AUTHORIZATION CODE PROVIDED |
| Jq | ORIGINAL CHECK NOT CASHED-PAY TO/ADDRESS VERIFICATION NEEDED |
| MR | MODIFIER REQUIRED FOR PROCEDURE |
| NN | MODIFIER NOT REQUIRED FOR THIS PROCEDURE |
| NV | DENY: PLEASE RESUBMIT WITH INVOICE FOR SERVICES RENDERED |
| PM | DENY - INVALID PROCEDURE MODIFIER COMBINATION SUBMITTED |
| QR | DENY: ADJUSTMENT WAS NOT RECEIVED WITHIN TIMELY FILING LIMIT |
| S9 | DENY - CODE BILLED IS NOT COVERED FOR PROVIDER TYPE |
| TF | DENY - FILING LIMIT EXCEEDED |
| x2 | SERVICE(S) OR SUPPLIES DURING GLOBAL SURGICAL PERIOD |
| x3 | PROCEDURE CODE UNBUNDLED FROM GLOBAL PROCEDURE CODE |
| x8 | MODIFIER INVALID FOR PROCEDURE OR MODIFIER NOT REPORTED |
| ya | DENY: DENIED AFTER REVIEW OF PATIENT S CLAIM HISTORY |
| ye | CLAIM CANNOT BE PROCESSED WITHOUT MEDICAL RECORDS |
| YO | DENY: ADD ON CODE BILLED WITHOUT PRIMARY PROCEDURE |
| ZW | AFTER REVIEW, PREV DECISION UPHELD, SEE PROV HANDBOOK FOR APPEAL PROCESS |

Appendix IV: Instructions for Supplemental Information

(CMS- 1500 02/12) FORM, SHADED FIELD 24A-G

The following types of supplemental information are accepted in a shaded claim line of the CMS 1500 (02/12) Claim Form field 24-A-G:

- National Drug Code (NDC)
- Narrative description of unspecified/miscellaneous/unlisted codes
- Contract Rate

The following qualifiers are to be used when reporting these services:

- ZZ Narrative description of unspecified/miscellaneous/unlisted codes
- N4 National Drug Code (NDC)
- CTR Contract Rate

If required to report other supplemental information not listed above, follow payer instructions for the use of a qualifier for the information being reported. When reporting a service that does not have a qualifier, enter two blank spaces before entering the information.

To enter supplemental information, begin at 24A by entering the qualifier and then the information. Do not enter a space between the qualifier and the number/code/information. Do not enter hyphens or spaces within the number/code.

More than one supplemental item can be reported in the shaded lines of item number 24. Enter the first qualifier and number/code/information at 24A. After the first item, enter three blank spaces and then the next qualifier and number/code/information.

For reporting dollar amounts in the shaded area, always enter the dollar amount, a decimal point, and the cents. Use 00 for cents if the amount is a whole number. Do not use commas. Do not enter dollars signs (ex. 1000.00; 123.45).

Additional Information for Reporting NDC:

When adding supplemental information for NDC, enter the information in the following order:

- Qualifier
- NDC Code

- One space
- Unit/basis of measurement qualifier
- F2- International Unit
- ME – Milligram
- UN – Unit
- GR – Gram
- ML - Milliliter
- Quantity
- The number of digits for the quantity is limited to eight digits before the decimal and three digits after the decimal (ex. 99999999.999).
- When entering a whole number, do not use a decimal (ex. 2).
- Do not use commas.

Unspecified/Miscellaneous/Unlisted Codes

| 24. A. DATE(S) OF SERVICE | | | | | | B. PLACE OF SERVICE | | C. | D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) | | | | E. | F. | G. | H. | I. | J. |
|---|----|----|----|----|----|---------------------|-----------|----------|---|--|--|--|----|------------|---------------|------------------|-----|-------|
| From | | To | | | | | | | | | | | | | | | | |
| MM | DD | YY | MM | DD | YY | EMG | CPT/HCPCS | MODIFIER | | | | | | \$ CHARGES | DAYS OR UNITS | EPST Family Part | ID. | QUAL. |
| ZZLaparoscopic Ventral Hernia Repair Op Note Attached | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | NPI | |

| 24. A. DATE(S) OF SERVICE | | | | | | B. PLACE OF SERVICE | C. EMG | D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) | | | | E. DIAGNOSIS POINTER | F. \$ CHARGES | G. DAYS OR UNITS | H. PSY Family Plan | I. ID. QUAL. | J. RENDERING PROVIDER ID. # | | |
|---------------------------|----|----|----|----|----|---------------------|--------|---|-------|--|--|-------------------------|---------------|------------------------|--------------------------|-----------------|--------------------------------|----|-------------|
| From | | To | | | | | | | | | | | | | | | | | |
| MM | DD | YY | MM | DD | YY | | | CPT/HCPCS MODIFIER | | | | | | | | | | | |
| N459148001665 UN1 | | | | | | | | | | | | | | | | | | | |
| 10 | 01 | 05 | 10 | 01 | 05 | 11 | | | J0400 | | | | 1 | 250 | 00 | 40 | N | G2 | 12345678901 |
| | | | | | | | | | | | | | | | | | NPI | | 0123456789 |

Appendix V: Common HIPAA Compliant EDI Rejection Codes

These codes on the follow page are the Standard National Rejection Codes for EDI submissions. All errors indicated for the code must be corrected before the claim is resubmitted.

| ERROR ID | ERROR_DESC |
|----------|---|
| 01 | Invalid Mbr DOB |
| 02 | Invalid Mbr |
| 06 | Invalid Prv |
| 07 | Invalid Mbr DOB & Prv |
| 08 | Invalid Mbr & Prv |
| 09 | Mbr not valid at DOS |
| 10 | Invalid Mbr DOB; Mbr not valid at DOS |
| 12 | Prv not valid at DOS |
| 13 | Invalid Mbr DOB; Prv not valid at DOS |
| 14 | Invalid Mbr; Prv not valid at DOS |
| 15 | Mbr not valid at DOS; Invalid Prv |
| 16 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Prv |
| 17 | Invalid Diag |
| 18 | Invalid Mbr DOB; Invalid Diag |
| 19 | Invalid Mbr; Invalid Diag |
| 21 | Mbr not valid at DOS; Prv not valid at DOS |
| 22 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS |
| 23 | Invalid Prv; Invalid Diag |
| 24 | Invalid Mbr DOB; Invalid Prv; Invalid Diag |
| 25 | Invalid Mbr; Invalid Prv; Invalid Diag |
| 26 | Mbr not valid at DOS; Invalid Diag |

| | |
|----|---|
| 27 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Diag |
| 29 | Prv not valid at DOS; Invalid Diag |
| 30 | Invalid Mbr DOB; Prv not valid at DOS; Invalid Diag |
| 31 | Invalid Mbr; Prv not valid at DOS; Invalid Diag |
| 32 | Mbr not valid at DOS; Prv not valid; Invalid Diag |
| 33 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid; Invalid Diag |
| 34 | Invalid Proc |
| 35 | Invalid DOB; Invalid Proc |
| 36 | Invalid Mbr; Invalid Proc |
| 37 | Invalid or future date |
| 37 | Invalid or future date |
| 38 | Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag |
| 39 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag |
| 40 | Invalid Prv; Invalid Proc |
| 41 | Invalid Prv; Invalid Proc; Invalid Mbr DOB |
| 42 | Invalid Mbr; Invalid Prv; Invalid Proc |
| 43 | Mbr not valid at DOS; Invalid Proc |
| 44 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Proc |
| 46 | Prv not valid at DOS; Invalid Proc |
| 48 | Invalid Mbr; Prv not valid at DOS, Invalid Proc |
| 49 | Invalid Proc; Invalid Prv; Mbr not valid at DOS |
| 51 | Invalid Diag; Invalid Proc |

| | |
|----|---|
| 52 | Invalid Mbr DOB; Invalid Diag; Invalid Proc |
| 53 | Invalid Mbr; Invalid Diag; Invalid Proc |
| 55 | Mbr not valid at DOS; Prv not valid at DOS, Invalid Proc |
| 57 | Invalid Prv; Invalid Diag; Invalid Proc |
| 58 | Invalid Mbr DOB; Invalid Prv; Invalid Diag; Invalid Proc |
| 59 | Invalid Mbr; Invalid Prv; Invalid Diag; Invalid Proc |
| 60 | Mbr not valid at DOS; Invalid Diag; Invalid Proc |
| 61 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Diag; Invalid Proc |
| 63 | Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 64 | Invalid Mbr DOB; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 65 | Invalid Mbr; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 66 | Mbr not valid at DOS; Invalid Prv; Invalid Diag; Invalid Proc |
| 67 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Prv; Invalid Diag; Invalid Proc |
| 72 | Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 73 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 74 | Reject. DOS prior to 6/1/2006; OR Invalid DOS |
| 75 | Invalid Unit |
| 76 | Original claim number required |
| 77 | INVALID CLAIM TYPE |
| 81 | Invalid Unit; Invalid Prv |
| 83 | Invalid Unit; Invalid Mbr & Prv |
| 89 | Invalid Prv; Mbr not valid at DOS; Invalid DOS |

| | |
|----|--|
| A2 | DIAGNOSIS POINTER INVALID |
| A3 | CLAIM EXCEEDED THE MAXIMUM 97 SERVICE LINE LIMIT |
| B1 | Rendering and Billing NPI are not tied on state file |
| B2 | Not enrolled with MHS and/or State with rendering NPI/TIN on DOS. Enroll with MHS and resubmit claim |
| B5 | Missing/incomplete/invalid CLIA certification number |
| H1 | ICD9 is mandated for this date of service. |
| H2 | Incorrect use of the ICD9/ICD10 codes. |
| HP | ICD10 is mandated for this date of service. |
| ZZ | Claim not processed |

Appendix VI: Claim Form Instructions

Billing Guide for a CMS 1500 and CMS 1450 (UB-04) Claim Form.

Required (R) fields must be completed on all claims. Conditional (C) fields must be completed if the information applies to the situation or the service provided

Note: Claims with missing or invalid Required (R) field information will be rejected or denied

Completing A CMS 1500 Claim Form

Updated format (Form 1500 (02-12)) can be accepted as of Jan. 1, 2014 and is required after October 1, 2014.

Please see the following example of a CMS 1500 form.

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE (NUCC) 02/12

[illegible]

PLEASE PRINT OR TYPE

APPROVED OMB-0938-1197 FORM 1500 (02-12)

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|---|---|-------------------------|
| 1 | INSURANCE PROGRAM IDENTIFICATION | Check only the type of health coverage applicable to the claim. This field indicated the payer to whom the claim is being filed. Enter "X" in the box noted "Other" | R |
| 1a | INSURED'S I.D. NUMBER | The 9-digit identification number on the member's I.D. Card | R |
| 2 | PATIENT'S NAME (Last Name, First Name, Middle Initial) | Enter the patient's name as it appears on the member's I.D. card. Do not use nicknames. | R |
| 3 | PATIENT'S BIRTH DATE/SEX | Enter the patient's 8-digit date of (MM/DD/YYYY) and mark the appropriate box to indicate the patient's sex/gender. M= Male F= Female | R |
| 4 | INSURED'S NAME | Enter the patient's name as it appears on the member's I.D. Card | C |
| 5 | PATIENT'S ADDRESS (Number, Street, City, State, Zip Code) Telephone (include area code) | Enter the patient's complete address and telephone number including area code on the appropriate line. First line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101). Second line – In the designated block, enter the city and state. Third line – Enter the zip code and phone number. When entering a 9-digit zip code (zip+4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (803)5551414). | C |
| 6 | PATIENT'S RELATION TO INSURED | Always mark to indicate self. | C |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|---|---|-------------------------|
| 7 | INSURED'S ADDRESS (Number, Street, City, State, Zip Code) Telephone (include area code) | <p>Enter the patient's complete address and telephone number including area code on the appropriate line.</p> <p>First line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Second line – In the designated block, enter the city and state.</p> <p>Third line – Enter the zip code and phone number. When entering a 9-digit zip code (zip+4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (803)5551414).</p> <p>Note: Patient's Telephone does not exist in the electronic 837 Professional 4010A1.</p> | C |
| 8 | RESERVED FOR NUCC USE | | Not Required |
| 9 | OTHER INSURED'S NAME (Last Name, First Name, Middle Initial) | Refers to someone other than the patient. REQUIRED if patient is covered by another insurance plan. Enter the complete name of the insured. | C |
| 9a | *OTHER INSURED'S POLICY OR GROUP NUMBER | REQUIRED if field 9 is completed. Enter the policy or group number of the other insurance plan. | C |
| 9b | RESERVED FOR NUCC USE | | Not Required |
| 9c | RESERVED FOR NUCC USE | | Not Required |
| 9d | INSURANCE PLAN NAME OR PROGRAM NAME | REQUIRED if field 9 is completed. Enter the other insured's (name of person listed in field 9) insurance plan or program name. | |

| | | | C |
|-----------|--|---|-------------------------|
| Field # | Field Description | Instructions or Comments | Required or Conditional |
| 10a, b, c | IS PATIENT'S CONDITION RELATED TO | Enter a Yes or No for each category/line (a, b, and c). Do not enter a Yes and No in the same category/line. When marked Yes, primary insurance information must then be shown in Item Number 11. | R |
| 10d | CLAIM CODES (Designated by NUCC) | When reporting more than one code, enter three blank spaces and then the next code. | C |
| 11 | INSURED POLICY OR FECA NUMBER | REQUIRED when other insurance is available. Enter the policy, group, or FECA number of the other insurance. If Item Number 10abc is marked Y, this field should be populated. | C |
| 11a | INSURED'S DATE OF BIRTH / SEX | Enter the 8-digit date of birth (MM DD YYYY) of the insured and an X to indicate the sex (gender) of the insured. Only one box can be marked. If gender is unknown, leave blank. | C |
| 11b | OTHER CLAIM ID (Designated by NUCC) | The following qualifier and accompanying identifier have been designated for use: Y4 Property Casualty Claim Number FOR WORKERS' COMPENSATION OR PROPERTY & CASUALTY: Required if known. Enter the claim number assigned by the payer. | C |
| 11c | INSURANCE PLAN NAME OR PROGRAM NUMBER | Enter name of the insurance health plan or program. | C |
| 11d | IS THERE ANOTHER HEALTH BENEFIT PLAN | Mark Yes or No. If Yes, complete field's 9a-d and 11c. | R |
| 12 | PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE | Enter "Signature on File", "SOF", or the actual legal signature. The provider must have the member's or legal guardian's signature on file or obtain their legal signature in this box for the release of information necessary to process and/or adjudicate the claim. | C |

| | | | |
|----|---|----------------------------------|--------------|
| 13 | INSURED'S OR AUTHORIZED PERSONS SIGNATURE | Obtain signature if appropriate. | Not Required |
|----|---|----------------------------------|--------------|

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|---|--|-------------------------|
| 14 | DATE OF CURRENT: ILLNESS (First symptom) OR INJURY (Accident) OR Pregnancy (LMP) | Enter the 6-digit (MM DD YY) or 8-digit (MM DD YYYY) date of the first date of the present illness, injury, or pregnancy. For pregnancy, use the date of the last menstrual period (LMP) as the first date. Enter the applicable qualifier to identify which date is being reported. 431 Onset of Current Symptoms or Illness 484 Last Menstrual Period | C |
| 15 | IF PATIENT HAS SAME OR SIMILAR ILLNESS. GIVE FIRST DATE | Enter another date related to the patient's condition or treatment. Enter the date in the 6-digit (MM DD YY) or 8-digit (MM DD YYYY) format. | C |
| 16 | DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION | | C |
| 17 | NAME OF REFERRING PHYSICIAN OR OTHER SOURCE | Enter the name of the referring physician or professional (first name, middle initial, last name, and credentials). | C |
| 17a | ID NUMBER OF REFERRING PHYSICIAN | Required if field 17 is completed. Use ZZ qualifier for Taxonomy code | C |
| 17b | NPI NUMBER OF REFERRING PHYSICIAN | Required if field 17 is completed. If unable to obtain referring NPI, servicing NPI may be used. | C |
| 18 | HOSPITALIZATION DATES RELATED TO CURRENT SERVICES | | C |

| 19 | RESERVED FOR LOCAL USE – NEW FORM: ADDITIONAL CLAIM INFORMATION | | C |
|---------|---|--|--|
| Field # | Field Description | Instruction or Comments | Required or Conditional |
| 20 | OUTSIDE LAB / CHARGES | | C |
| 21 | DIAGNOSIS OR NATURE OF ILLNESS OR INJURY. (RELATE ITEMS A-L to ITEM 24E BY LINE). NEW FORM ALLOWS UP TO 12 DIAGNOSES, AND ICD INDICATOR | Enter the codes to identify the patient's diagnosis and/or condition. List no more than 12 ICD-9-CM or ICD-10-CM diagnosis codes. Relate lines A - L to the lines of service in 24E by the letter of the line. Use the highest level of specificity. Do not provide narrative description in this field. Note: Claims missing or with invalid diagnosis codes will be rejected or denied for payment. | R |
| 22 | RESUBMISSION CODE / ORIGINAL REF.NO. | For re-submissions or adjustments, enter the original claim number of the original claim. New form – for resubmissions only: 7 – Replacement of Prior Claim 8 – Void/Cancel Prior Claim | C |
| 23 | PRIOR AUTHORIZATION NUMBER or CLIA NUMBER | Enter the authorization or referral number. Refer to the Provider Manual for information on services requiring referral and/or prior authorization. CLIA number for CLIA waived or CLIA certified laboratory services | If auth = C If CLIA = R (If both, always submit the CLIA number) |

| | |
|---------------------------|--|
| 24a-j General Information | <p>Box 24 contains six claim lines. Each claim line is split horizontally into shaded and un-shaded areas. Within each un-shaded area of a claim line there are 10 individual fields labeled A-J. Within each shaded area of a claim line there are four individual fields labeled 24A-24G, 24H, 24J, and 24Jb. Fields 24A through 24G are a continuous field for the entry of supplemental information. Instructions are provided for shaded and un-shaded fields.</p> <p>The shaded area for a claim line is to accommodate the submission of supplemental information, EPSDT qualifier, and Provider Number.</p> <p>Shaded boxes 24 a-g is for line-item supplemental information and provides a continuous line that accepts up to 61 characters. Refer to the instructions listed below for information on how to complete.</p> <p>The un-shaded area of a claim line is for the entry of claim line-item detail.</p> |
|---------------------------|--|

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|-----------------|---|---|-------------------------|
| 24 A-G Shaded | SUPPLEMENTAL INFORMATION | <p>The shaded top portion of each service claim line is used to report supplemental information for:</p> <p>NDC</p> <p>Narrative description of unspecified codes</p> <p>Contract Rate</p> <p>For detailed instructions and qualifiers refer to Appendix IV of this guide.</p> | C |
| 24A Unshaded | DATE(S) OF SERVICE | Enter the date the service listed in field 24D was performed (MM/DD/YYYY). If there is only one date, enter that date in the "From" field. The "To" field may be left blank or populated with the "From" date. If identical services (identical CPT/HCPC code(s)) were performed each date must be entered on a separate line. | R |
| 24B Unshaded | PLACE OF SERVICE | Enter the appropriate 2-digit CMS Standard Place of Service (POS) Code. A list of current POS Codes may be found on the CMS website. | R |
| 24C Unshaded | EMG | Enter Y (Yes) or N (No) to indicate if the service was an emergency. | Not Required |
| 24D Unshaded | PROCEDURES, SERVICES OR SUPPLIES CPT/HCPCS MODIFIER | <p>Enter the 5-digit CPT or HCPC code and 2-character modifier, if applicable. Only one CPT or HCPC and up to four modifiers may be entered per claim line. Codes entered must be valid for date of service. Missing or invalid codes will be denied for payment.</p> <p>Only the first modifier entered is used for pricing the claim. Failure to use modifiers in the correct position or combination with the Procedure Code, or invalid use of modifiers, will result in a rejected, denied, or incorrectly paid claim.</p> | R |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|------------------|----------------------------|---|-------------------------|
| 24 E Unshaded | DIAGNOSIS CODE | In 24E, enter the diagnosis code reference letter (pointer) as shown in Item Number 21 to relate the date of service and the procedures performed to the primary diagnosis. When multiple services are performed, the primary reference letter for each service should be listed first, other applicable services should follow. The reference letter(s) should be A – L or multiple letters as applicable. ICD-9-CM (or ICD-10-CM, once mandated) diagnosis codes must be entered in Item Number 21 only. Do not enter them in 24E. Do not use commas between the diagnosis pointer numbers. Diagnosis Codes must be valid ICD-9/10 Codes for the date of service, or the claim will be rejected/denied. | R |
| 24 F Unshaded | CHARGES | Enter the charge amount for the claim line-item service billed. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199,999.99). Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line. | R |
| 24 G Unshaded | DAYS OR UNITS | Enter quantity (days, visits, units). If only one service provided, enter a numeric value of one. | R |
| 24 H Shaded | EPSDT (Family Planning) | Leave blank or enter “Y” if the services were performed as a result of an EPSDT referral. | C |
| 24 H Unshaded | EPSDT (Family Planning) | Enter the appropriate qualifier for EPSDT visit. | C |
| 24 I Shaded | ID QUALIFIER | Use ZZ qualifier for Taxonomy Use 1D qualifier for ID, if an Atypical Provider. | R |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------------|---------------------------------|--|-------------------------|
| 24 J Shaded | NON-NPI PROVIDER ID# | <p><u>Typical</u> _____ <u>Providers:</u></p> <p>Enter the Provider taxonomy code that corresponds to the qualifier entered in field 24I shaded. Use ZZ qualifier for Taxonomy Code.</p> <p><u>Atypical</u> _____ <u>Providers:</u></p> <p>Enter the Provider ID number.</p> | R |
| 24 J Unshaded | NPI PROVIDER ID | <p>Typical Providers ONLY: Enter the 10-character NPI ID of the provider who rendered services. If the provider is billing as a member of a group, the rendering individual provider's 10-character NPI ID may be entered. Enter the billing NPI if services are not provided by an individual (e.g., DME, Independent Lab, Home Health, RHC/FQHC General Medical Exam, etc.).</p> | R |
| 25 | FEDERAL TAX I.D. NUMBER SSN/EIN | Enter the provider or supplier 9-digit Federal Tax ID number and mark the box labeled EIN | R |
| 26 | PATIENT'S ACCOUNT NO. | Enter the provider's billing account number | C |
| 27 | ACCEPT ASSIGNMENT? | Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a member using state funds indicates the provider accepts assignment. Refer to the back of the CMS 1500 (02-12) Claim Form for the section pertaining to Payments | C |

| | | | |
|----|---------------|---|---|
| 28 | TOTAL CHARGES | Enter the total charges for all claim line items billed – claim lines 24F. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line. | R |
|----|---------------|---|---|

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|---|---|-------------------------|
| 29 | AMOUNT PAID | <p>REQUIRED when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing when Wellcare is listed as secondary or tertiary.</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line.</p> | C |
| 30 | BALANCE DUE | <p>REQUIRED when field 29 is completed.</p> <p>Enter the balance due (total charges minus the amount of payment received from the primary payer).</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199999.99). Do not use commas. Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line.</p> | C |
| 31 | SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS | <p>If there is a signature waiver on file, you may stamp, print, or computer-generate the signature; otherwise, the practitioner or practitioner's authorized representative MUST sign the form. If signature is missing or invalid the claim will be returned unprocessed.</p> <p>Note: Does not exist in the electronic 837P.</p> | R |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|---------------------------------------|--|-------------------------|
| 32 | SERVICE FACILITY LOCATION INFORMATION | <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the name and physical location. (P.O. Box numbers are not acceptable here.)</p> <p>First line – Enter the business/facility/practice name.</p> <p>Second line– Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Third line – In the designated block, enter the city and state.</p> <p>Fourth line – Enter the zip code and phone number. When entering a 9-digit zip code (zip+4 codes), include the hyphen.</p> | C |
| 32a | NPI – SERVICES RENDERED | <p>Typical Providers ONLY: REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Enter the 10-character NPI ID of the facility where services were rendered.</p> | c |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-----------------------------|---|-------------------------|
| 32b | OTHER PROVIDER ID | <p>REQUIRED if the location where services were rendered is different from the billing address listed in field 33.</p> <p>Typical Providers</p> <p>Enter the 2-character qualifier ZZ followed by the Taxonomy Code (no spaces).</p> <p>Atypical Providers</p> <p>Enter the 2-character qualifier 1D (no spaces).</p> | C |
| 33 | BILLING PROVIDER INFO & PH# | <p>Enter the billing provider's complete name, address (include the zip + 4 code), and phone number.</p> <p>First line -Enter the business/facility/practice name.</p> <p>Second line -Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101).</p> <p>Third line -In the designated block, enter the city and state.</p> <p>Fourth line- Enter the zip code and phone number. When entering a 9-digit zip code (zip+ 4 code), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (555)555-5555).</p> <p>NOTE: The 9-digit zip code (zip + 4 code) is a requirement for paper and EDI claim submission</p> | R |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------------|--|-------------------------|
| 33a | GROUP BILLING NPI | Typical Providers ONLY: REQUIRED if the location where services were rendered is different from the billing address listed in field 33. Enter the 10-character NPI ID. | R |
| 33b | GROUP BILLING OTHERS ID | Enter as designated below the Billing Group taxonomy code. Typical Providers: Enter the Provider Taxonomy Code. Use ZZ qualifier. Atypical Providers: Enter the Provider ID number. | R |

Completing a UB-04 Claim Form

A UB-04 is the only acceptable claim form for submitting inpatient or outpatient Hospital claim charges for reimbursement by Wellcare. In addition, a UB-04 is required for Comprehensive Outpatient Rehabilitation Facilities (CORF), Home Health Agencies, nursing home admissions, inpatient hospice services, and dialysis services. Incomplete or inaccurate information will result in the claim/encounter being rejected for correction.

UB-04 Hospital Outpatient Claims/Ambulatory Surgery

The following information applies to outpatient and ambulatory surgery claims:

- Professional fees must be billed on a CMS 1500 claim form.
- Include the appropriate CPT code next to each revenue code.
- Please refer to your provider contract with Wellcare or research the Uniform Billing Editor for Revenue Codes that do not require a CPT Code.

Below is an example of a UB-04 form

| | | | | | | | |
|----------------------------------|----------------|-----------------------------|---------------------------------------|-----------------------------------|-------------------|--|--------|
| 1 | | 2 | | 3a PAT. CNTL. # b. MED. REC. # | | 4 TYPE OF BILL | |
| | | | | 5 FED. TAX NO. | | 6 STATEMENT COVERS PERIOD FROM THROUGH | |
| 8 PATIENT NAME | | 9 PATIENT ADDRESS | | | | | |
| b | | b | | c | | d | |
| 10 BIRTHDATE | 11 SEX | 12 DATE | ADMISSION 13 HR 14 TYPE 15 SPC 16 DHR | 17 STAT | 18 | 19 | 20 |
| | | | | | | | |
| 31 OCCURRENCE DATE | | 32 OCCURRENCE DATE | | 33 OCCURRENCE DATE | | 34 OCCURRENCE DATE | |
| | | | | | | | |
| 35 CODE | | 36 CODE | | 37 CODE | | 38 CODE | |
| | | | | | | | |
| 39 VALUE CODES AMOUNT | | 40 VALUE CODES AMOUNT | | 41 VALUE CODES AMOUNT | | 42 VALUE CODES AMOUNT | |
| a | | a | | a | | a | |
| b | | b | | b | | b | |
| c | | c | | c | | c | |
| d | | d | | d | | d | |
| 43 REV. CD. | 44 DESCRIPTION | 45 HCPCS / RATE / HPPS CODE | 46 SERV. DATE | 47 SERV. UNITS | 48 TOTAL CHARGES | 49 NON-COVERED CHARGES | 50 |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | | | | | | | |
| 14 | | | | | | | |
| 15 | | | | | | | |
| 16 | | | | | | | |
| 17 | | | | | | | |
| 18 | | | | | | | |
| 19 | | | | | | | |
| 20 | | | | | | | |
| 21 | | | | | | | |
| 22 | | | | | | | |
| PAGE OF | | CREATION DATE | | TOTALS | | | |
| 51 PRYER NAME | | 52 HEALTH PLAN ID | | 53 REL. INFO | 54 PRIOR PAYMENTS | 55 EST. AMOUNT DUE | 56 NPI |
| | | | | | | | |
| 58 INSURED'S NAME | | 59 P-REL | 60 INSURED'S UNIQUE ID | 61 GROUP NAME | | 62 INSURANCE GROUP NO. | |
| | | | | | | | |
| 63 TREATMENT AUTHORIZATION CODES | | 64 DOCUMENT CONTROL NUMBER | | 65 EMPLOYER NAME | | | |
| | | | | | | | |
| 66 | | 67 | | 68 | | 69 | |
| 67 | | 68 | | 69 | | 70 | |
| 71 | | 72 | | 73 | | 74 | |
| 75 | | 76 | | 77 | | 78 | |
| 79 | | 80 | | 81 | | 82 | |
| 83 | | 84 | | 85 | | 86 | |
| 87 | | 88 | | 89 | | 90 | |
| 91 | | 92 | | 93 | | 94 | |
| 95 | | 96 | | 97 | | 98 | |
| 99 | | 100 | | 101 | | 102 | |

UB-04 CMS-1450

APPROVED OMB NO. 0938-0997

NUBC[®] National Uniform Billing Corporation

THE CERTIFICATIONS ON THE REVERSE APPLY TO THIS BILL AND ARE MADE A PART HEREOF.

| FIELD # | Field Description | Instruction or Comments | Required or Conditional |
|---------|-----------------------|---|-------------------------|
| 1 | UNLABELED FIELD | <p>LINE 1: Enter the complete provider's name.</p> <p>LINE 2: Enter the complete mailing address.</p> <p>LINE 3: Enter the City, State, and Zip +4 codes (include hyphen). NOTE: The 9-digit zip (zip +4 codes) is a requirement for paper and EDI claims.</p> <p>LINE 4: Enter the area code and phone number.</p> | R |
| 2 | UNLABELED FIELD | Enter the Pay- to Name and Address | Not Required |
| 3a | PATIENT CONTROL NO. | Enter the facility patient account/control number. | Not Required |
| 3b | MEDICAL RECORD NUMBER | Enter the facility patient medical or health record number. | R |

| Field # | Field Description | Instructions or Comments | Required Conditional | or |
|---------|---|--|-------------------------|----|
| 4 | TYPE OF BILL | <p>Enter the appropriate Type of Bill (TOB) Code as specified by the NUBC UB-04 Uniform Billing Manual minus the leading "0" (zero). A leading "0" is not needed. Digits should be reflected as follows:</p> <p>1st Digit – Indicating the type of facility.</p> <p>2nd Digit – Indicating the type of care.</p> <p>3rd Digit- Indicating the bill sequence (Frequency code).</p> | R | |
| 5 | FED. TAX NO | Enter the 9-digit number assigned by the federal government for tax reporting purposes. | R | |
| 6 | STATEMENT COVERS PERIOD FROM/THROUGH | Enter begin and end, or admission and discharge dates, for the services billed. Inpatient and outpatient observation stays must be billed using the admission date and discharge date. Outpatient therapy, chemotherapy, laboratory, pathology, radiology, and dialysis may be billed using a date span. All other outpatient services must be billed using the actual date of service (MMDDYY). | R | |
| 7 | UNLABELED FIELD | Not used | Not Required | |

| FIELD # | Field Description | Instruction or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 8a-8b | PATIENT NAME | 8a – Enter the first 9 digits of the identification number on the member's I.D. card | Not Required |
| | | <p>8b – enter the patient's last name, first name, and middle initial as it appears on the ID card. Use a comma or space to separate the last and first names.</p> <p><u>Titles:</u> (Mr., Mrs., etc.) should not be reported in this field.</p> <p><u>Prefix:</u> No space should be left after the prefix of a name (e.g., McKendrick. H)</p> <p><u>Hyphenated names:</u> Both names should be capitalized and separated by a hyphen (no space)</p> <p><u>Suffix:</u> a space should separate a last name and suffix.</p> <p>Enter the patient's complete mailing address of the patient.</p> | R |
| 9 | PATIENT ADDRESS | <p>Enter the patient's complete mailing address of the patient.</p> <p>Line a: Street address</p> <p>Line b: City</p> <p>Line c: State</p> <p>Line d: Zip code</p> <p>Line e: country Code (NOT REQUIRED)</p> | R (except line 9e) |
| 10 | BIRTHDATE | Enter the patient's date of birth (MMDDYYYY) | R |
| 11 | SEX | Enter the patient's sex. Only M or F is accepted. | R |
| 12 | ADMISSION DATE | <p>Enter the date of admission for inpatient claims and date of service for outpatient claims.</p> <p>Enter the time using 2-digit military time (00-23) for</p> | R |

| | | | |
|--|--|---|--|
| | | the time of inpatient admission or time of treatment for outpatient services. | |
|--|--|---|--|

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 13 | ADMISSION HOUR | 0012:00 midnight to 12:59 12-12:00 noon to 12:59 01-01:00 to 01:59 13-01:00 to 01:59 02-02:00 to 02:59 14-02:00 to 02:59 03-03:00 to 03:39 15-03:00 to 03:59 04-04:00 to 04:59 16-04:00 to 04:59 05-05:00:00 to 05:59 17-05:00:00 to 05:59 06-06:00 to 06:59 18-06:00 to 06:59 07-07:00 to 07:59 19-07:00 to 07:59 08-08:00 to 08:59 20-08:00 to 08:59 09-09:00 to 09:59 21-09:00 to 09:59 10-10:00 to 10:59 22-10:00 to 10:59 11-11:00 to 11:59 23-11:00 to 11:59 | R |
| 14 | ADMISSION TYPE | Require for inpatient and outpatient admissions (Enter the 1-digit code indicating the of the admission using the appropriate following codes: 1 Emergency 2 Urgent 3 Elective 4 Newborn | R |

| | | | | |
|--|--|---|--------|--|
| | | 5 | Trauma | |
|--|--|---|--------|--|

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 15 | ADMISSION SOURCE | <p>Required for inpatient and outpatient admissions. Enter the 1-digit code indicating the source of the admission or outpatient service using one of the following codes.</p> <p>For Type of admission 1,2,3, or 5:</p> <p>1 Physician Referral</p> <p>2 Clinic Referral</p> <p>3 Health Maintenance Referral (HMO)</p> <p>4 Transfer from a hospital</p> <p>5 Transfer from Skilled Nursing Facility</p> <p>6 Transfer from another health care facility</p> <p>7 Emergency Room</p> <p>8 Court/Law Enforcement</p> <p>9 Information not available</p> <p>For Type of admission 4 (newborn):</p> <p>1 Normal Delivery</p> <p>2 Premature Delivery</p> <p>3 Sick Baby</p> <p>4 Extramural Birth</p> <p>5 Information not available</p> | R |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------|--|-------------------------|
| 16 | DISCHARGE HOUR | <p>Enter the time using 2-digit military times (00-23) for the time of the inpatient or outpatient discharge.</p> <p>0012:00 midnight to 12:59 12-12:00 noon to 12:59</p> <p>01-01:00 to 01:59 13-01:00 to 01:59</p> <p>02-02:00 to 02:59 14-02:00 to 02:59</p> <p>03-03:00 to 03:39 15-03:00 to 03:59</p> <p>04-04:00 to 04:59 16-04:00 to 04:59</p> <p>05-05:00:00 to 05:59 17-05:00:00 to 05:59</p> <p>06-06:00 to 06:59 18-06:00 to 06:59</p> <p>07-07:00 to 07:59 19-07:00 to 07:59</p> <p>08-08:00 to 08:59 20-08:00 to 08:59</p> <p>09-09:00 to 09:59 21-09:00 to 09:59</p> <p>10-10:00 to 10:59 22-10:00 to 10:59</p> <p>11-11:00 to 11:59 23-11:00 to 11:59</p> | C |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 17 | PATIENT STATUS | <p>REQUIRED for inpatient and outpatient claims. Enter the 2-digit disposition of the patient as of the “through” date for the billing period listed in field 6 using one of the following codes:</p> <p>01 Routine Discharge</p> <p>02 Discharged to another short-term general hospital</p> <p>03 Discharged to SNF</p> <p>04 Discharged to ICF</p> <p>05 Discharged to another type of institution</p> <p>06 Discharged to care of home health service Organization</p> <p>07 Left against medical advice</p> <p>08 Discharged/transferred to home under care of a Home IV provider</p> <p>09 Admitted as an inpatient to this hospital (only for use on Medicare outpatient hospital claims)</p> <p>20 Expired or did not recover</p> <p>30 Still patient (To be used only when the client has been in the facility for 30 consecutive days if payment is based on DRG)</p> <p>40 Expired at home (hospice use only)</p> <p>41 Expired in a medical facility (hospice use only)</p> <p>42 Expired—place unknown (hospice use only)</p> <p>43 Discharged/Transferred to a federal hospital (such as a Veteran’s Administration [VA] hospital)</p> <p>50 Hospice—Home</p> | R |

| | | | |
|-----------------------|---|--|--------------|
| Field 17 continued | | <p>51 Hospice—Medical Facility</p> <p>61 Discharged/ Transferred within this institution to a hospital-based Medicare approved swing bed</p> <p>62 Discharged/ Transferred to an Inpatient rehabilitation facility (IRF), including rehabilitation distinct part units of a hospital</p> <p>63 Discharged/ Transferred to a Medicare certified long-term care hospital (LTCH)</p> <p>64 Discharged/ Transferred to a nursing facility certified under Medicaid but not certified under Medicare</p> <p>65 Discharged/ Transferred to a Psychiatric hospital or psychiatric distinct part unit of a hospital</p> <p>66 Discharged/transferred to a critical access hospital (CAH)</p> | |
| 18-28 | CONDITION CODES | <p>REQUIRED when applicable. Condition codes are used to identify conditions relating to the bill that may affect payer processing.</p> <p>Each field (18-24) allows entry of a 2-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> | C |
| 29 | ACCIDENT STATE | | Not Required |
| 30 | UNLABELED FIELD | NOT USED | Not required |
| 31-34 a- b | OCCURRENCE CODE and OCCURE NCE DATE | <p>Occurrence Code: REQUIRED when applicable. Occurrence Codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (31-34a) allows for entry of a 2-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> | C |

| | | | |
|--|--|---|--|
| | | Occurrence Date: REQUIRED when applicable or when a corresponding Occurrence Code is present on the same line (31a-34a). Enter the date for the associated Occurrence Code in MMDDYYYY format. | |
|--|--|---|--|

| Field # | Field Description | Instructions or Comments | Require or Conditional |
|--------------|--|--|------------------------|
| 35-36 a-b | OCCURRENCE SPAN CODE and OCCURRENCE DATE | <p>Occurrence Span Code: REQUIRED when applicable. Occurrence Codes are used to identify events relating to the bill that may affect payer processing. Each field (31-34a) allows for entry of a 2-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> <p>Occurrence Span Date: REQUIRED when applicable or when a corresponding Occurrence Span code is present on the same line (35a-36a). Enter the date for the associated Occurrence Code in MMDDYYYY format.</p> | C |
| 37 | (UNLABELED FIELD) | REQUIRED for re-submissions or adjustments. Enter the DCN (Document Control Number) of the original claim. | C |
| 38 | RESPONSIBLE PARTY NAME AND ADDRESS | | Not Required |
| 39-41 a-d | VALUE CODES CODES and AMOUNTS | <p>Code: REQUIRED when applicable. Value codes are used to identify events relating to the bill that may affect payer processing. Each field (39-41) allows for entry of a 2-character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>Up to 12 codes can be entered. All “a” fields must be completed before using “b” fields, all “b” fields before using “c” fields, and all “c” fields before using “d” fields.</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04 Uniform Billing Manual.</p> <p>Amount: REQUIRED when applicable or when a Value Code is entered. Enter the dollar amount for the associated value code. Dollar amounts to the left of the vertical line should be right justified. Up to eight characters are allowed (i.e., 199,999.99). Do not enter a dollar sign (\$) or a decimal. A decimal is implied. If the</p> | C |

| | | dollar amount is a whole number (i.e., 10.00), enter 00 in the area to the right of the vertical line. | |
|-------------------------------------|---------------------|--|-------------------------|
| Field # | Field Description | Instructions or Comments | Required or Conditional |
| General Information Fields 42-47 | SERVICE LINE DETAIL | The following UB-04 fields – 42-47: Have a total of 22 service lines for claim detail information. Fields 42, 43, 45, 47, 48 include separate instructions for the completion of lines 1-22 and line 23. | |
| 42 Line 1-22 | REV CD | Enter the appropriate revenue codes itemizing accommodations, services, and items furnished to the patient. Refer to the NUBC UB-04 Uniform Billing Manual for a complete listing of revenue codes and instructions. Enter accommodation revenue codes first followed by ancillary revenue codes. Enter codes in ascending numerical value. | R |
| 42 Line 23 | Rev CD | Enter 0001 for total charges. | R |
| 43 Line 1-22 | DESCRIPTION | Enter a brief description that corresponds to the revenue code entered in the service line of field 42. | R |
| 43 Line 23 | PAGE ____ OF ____ | Enter the number of pages. Indicate the page sequence in the “PAGE” field and the total number of pages in the “OF” field. If only one claim form is submitted, enter a “1” in both | C |

| | | | |
|--|--|---|--|
| | | fields (i.e., PAGE "1" OF "1"). (Limited to 4 pages per claim) | |
|--|--|---|--|

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|-----------------|-------------------|---|-------------------------|
| 44 | HCPCS/RATES | <p>REQUIRED for outpatient claims when an appropriate CPT/HCPCS Code exists for the service line revenue code billed. The field allows up to 9 characters. Only one CPT/HCPC and up to two modifiers are accepted. When entering a CPT/HCPCS with a modifier(s) do not use spaces, commas, dashes, or the like between the CPT/HCPC and modifier(s).</p> <p>Refer to the NUBC UB-04 Uniform Billing Manual for a complete listing of revenue codes and instructions.</p> <p>Please refer to your current provider contract.</p> | C |
| 45 Line 1-22 | SERVICE DATE | REQUIRED on all outpatient claims. Enter the date of service for each service line billed. (MMDDYY) Multiple dates of service may not be combined for outpatient claims | C |
| 45 Line 23 | CREATION DATE | Enter the date the bill was created or prepared for submission on all pages submitted (MMDDYY). | R |
| 46 | SERVICE UNITS | Enter the number of units, days, or visits for the service. A value of at least "1" must be entered. For inpatient room charges, enter the number of days for each accommodation listed. | R |
| 47 Line 1-22 | TOTAL CHARGES | Enter the total charge for each service line. | R |
| 47 Line 23 | TOTALS | Enter the total charges for all service lines. | R |

| | | | |
|-----------------|-----------------------------------|---|--------------------------------|
| 48 Line 1-22 | NON-COVERED CHARGES | Enter the non-covered charges included in field 47 for the Revenue Code listed in field 42 of the service line. Do not list negative amounts. | C |
| 48 Line 23 | TOTALS | Enter the total non-covered charges for all service lines. | C |
| Field # | Field Description | Instruction or Comments | Required or Conditional |
| 49 | (UNLABELED FIELD) | Not Used | Not Required |
| 50 A-C | PAYER | <p>Enter the name of each Payer from which reimbursement is being sought in the order of the Payer liability.</p> <p>Line A refers to the primary payer; B, secondary; and C, tertiary</p> | R |
| 51 A-C | HEALTH PLAN IDENTIFICATION NUMBER | | Not Required |
| 52 A-C | REL INFO | <p>REQUIRED for each line (A, B, C) completed in field 50. Release of Information Certification Indicator. Enter "Y" (yes) or "N" (no).</p> <p>Providers are expected to have necessary release information on file. It is expected that all released invoices contain 'Y'.</p> | R |
| 53 | ASG. BEN. | Enter "Y" (yes) or 'N' (no) to indicate a signed form is on file authorizing payment by the payer directly to | R |

| | | | |
|----|---|---|--------------|
| | | the provider for services. | |
| 54 | PRIOR PAYMENTS | Enter the amount received from the primary payer on the appropriate line when Wellcare is listed as secondary or tertiary. | C |
| 55 | EST. AMOUNT DUE | | Not Required |
| 56 | NATIONAL PROVIDER IDENTIFIER OR PROVIDER ID | Required: Enter providers 10-character NPI ID. | R |
| 57 | OTHER PROVIDER ID | a. Enter the numeric provider identification number. Enter the TPI number (non -NPI number) of the billing provider. | R |
| 58 | INSURED'S NAME | b. For each line (A, B, C) completed in field 50, enter the name of the person who carries the insurance for the patient. In most cases this will be the patient's name. Enter the name as last name, first name, middle initial. | R |
| 59 | PATIENT RELATIONSHIP | | Not Required |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|-------------------------------|--|-------------------------|
| 60 | INSURED'S UNIQUE ID | REQUIRED: Enter the patient's Insurance ID exactly as it appears on the patient's ID card. Enter the Insurance ID in the order of liability listed in field 50. | R |
| 61 | GROUP NAME | | Not Required |
| 62 | INSURANCE GROUP NO. | | Not Required |
| 63 | TREATMENT AUTHORIZATION CODES | Enter the Prior Authorization or referral when services require pre-certification. | C |
| 64 | DOCUMENT CONTROL NUMBER | <p>Enter the 12-character original claim number of the paid/denied claim when submitting a replacement or void on the corresponding A, B, C line reflecting Wellcare Health Plan from field 50.</p> <p>Applies to claim submitted with a Type of Bill (field 4) Frequency of "7" (Replacement of Prior Claim) or Type of Bill Frequency of "8" (Void/Cancel of Prior Claim).</p> <p>* Please refer to reconsider/corrected claims section.</p> | C |
| 65 | EMPLOYER NAME | | Not Required |
| 66 | DX VERSION QUALIFIER | | Not Required |
| 67 | PRINCIPAL DIAGNOSIS CODE | Enter the principal/primary diagnosis or condition using the appropriate release/update of ICD-9/10-CM Volume 1& 3 for the date of service. | R |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|-----------|--------------------------------|---|-------------------------|
| 67 A-Q | OTHER DIAGNOSIS CODE | <p>Enter additional diagnosis or conditions that coexist at the time of admission or that develop subsequent to the admission and have an effect on the treatment or care received using the appropriate release/update of ICD-9/10-CM Volume 1& 3 for the date of service.</p> <p>Diagnosis codes submitted must be valid ICD-9/10 Codes for the date of service and carried out to its highest level of specificity – 4th or “5” digit. “E” and most “V” codes are NOT acceptable as a primary diagnosis.</p> <p>Note: Claims with incomplete or invalid diagnosis codes will be denied.</p> | C |
| 68 | PRESENT ON ADMISSION INDICATOR | | R |
| 69 | ADMITTING DIAGNOSIS CODE | <p>Enter the diagnosis or condition provided at the time of admission as stated by the physician using the appropriate release/update of ICD-9/10-CM Volume 1& 3 for the date of service.</p> <p>Diagnosis Codes submitted must be valid ICD-9/10 Codes for the date of service and carried out to its highest level of specificity – 4th or “5” digit. “E” codes and most “V” are NOT acceptable as a primary diagnosis.</p> <p>Note: Claims with missing or invalid diagnosis codes will be denied.</p> | R |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------------|-------------------------------|---|-------------------------|
| 70 | PATIENT REASON CODE | <p>Enter the ICD-9/10-CM Code that reflects the patient's reason for visit at the time of outpatient registration. Field 70a requires entry, fields 70b-70c are conditional.</p> <p>Diagnosis Codes submitted must be valid ICD-9/10 Codes for the date of service and carried out to its highest digit – 4th or "5". "E" codes and most "V" codes are NOT acceptable as a primary diagnosis.</p> <p>NOTE: Claims with missing or invalid diagnosis codes will be denied.</p> | R |
| 71 | PPS/DRG CODE | | Not Required |
| 72 a, b, c | EXTERNAL CAUSE CODE | | Not Required |
| 73 | UNLABELED | | Not Required |
| 74 | PRINCIPAL PROCEDURE CODE/DATE | <p>CODE: Enter the ICD-9/10 Procedure Code that identifies the principal/primary procedure performed. Do not enter the decimal between the 2nd or 3rd digits of code, it is implied.</p> <p>DATE: Enter the date the principal procedure was performed (MMDDYY).</p> | C |

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|-----------|------------------------------|---|-------------------------|
| 74 a-e | OTHER PROCEDURE CODE DATE | <p>REQUIRED on inpatient claims when a procedure is performed during the date span of the bill.</p> <p>CODE: Enter the ICD-9 procedure code(s) that identify significant a procedure(s) performed other than the principal/primary procedure. Up to five ICD-9 Procedure Codes may be entered. Do not enter the decimal between the 2nd or 3rd digits of code, it is implied.</p> <p>DATE: Enter the date the principal procedure was performed (MMDDYY).</p> | C |
| 75 | UNLABELED | | Not Required |
| 76 | ATTENDING PHYSICIAN | <p>Enter the NPI and name of the physician in charge of the patient care.</p> <p>NPI: Enter the attending physician 10-character NPI ID</p> <p>Taxonomy Code: Enter valid taxonomy code.</p> <p>QUAL: Enter one of the following qualifiers and ID number:</p> <p>0B – State License #.</p> <p>1G – Provider UPIN.</p> <p>G2 – Provider Commercial #.</p> <p>B3 – Taxonomy Code.</p> | R |

| | | | |
|--|--|---|--|
| | | <p>LAST: Enter the attending physician's last name.</p> <p>FIRST: Enter the attending physician's first name.</p> | |
|--|--|---|--|

| Field # | Field Description | Instructions or Comments | Required or Conditional |
|---------|---------------------|--|-------------------------|
| 77 | OPERATING PHYSICIAN | <p>REQUIRED when a surgical procedure is performed.</p> <p>Enter the NPI and name of the physician in charge of the patient care.</p> <p>NPI: Enter the attending physician 10-character NPI ID</p> <p>Taxonomy Code: Enter valid taxonomy code.</p> <p>QUAL: Enter one of the following qualifiers and ID number:</p> <p>OB – State License #.</p> <p>1G – Provider UPIN.</p> <p>G2 – Provider Commercial #.</p> <p>B3 – Taxonomy Code.</p> <p>LAST: Enter the attending physician's last name.</p> <p>FIRST: Enter the attending physician's first name.</p> | C |
| | | <p>Enter the Provider Type qualifier, NPI, and name of the physician in charge of the patient care.</p> <p>(Blank Field): Enter one of the following Provider Type Qualifiers:</p> <p>DN – Referring Provider</p> <p>ZZ – Other Operating MD</p> <p>82 – Rendering Provider</p> | |

| | | | |
|---------|---------------------|--|-------------------------|
| 78 & 79 | OTHER PHYSICIAN | NPI: Enter the other physician 10-character NPI ID. QUAL: Enter one of the following qualifiers and ID number: OB - State license number 1G - Provider UPIN number G2 - Provider commercial number | C |
| 80 | REMARKS | | Not Required |
| Field # | Field Description | Instructions or Comments | Required or Conditional |
| 81 | CC | A: Taxonomy of billing provider. Use B3 qualifier. | R |
| 82 | Attending Physician | Enter name or 7-digit Provider number of ordering physician | R |

Appendix VII: Billing Tips and Reminders

Adult Day Health Care

- Must be billed on a CMS 1500 Claim Form
- Must be billed in location 99

Ambulance

- Must be billed on a CMS 1500 Claim Form.
- Appropriate modifiers must be billed with the Transportation Codes

Ambulatory Surgery Center (ASC)

- Ambulatory surgery centers must submit charges using the CMS 1500 Claim Form
- Must be billed in place of service 24
- Invoice must be billed with Corneal Transplants
- Most surgical extractions are billable only under the ASC

Anesthesia

- Bill total number of minutes in field 24G of the CMS 1500 Claim Form and must be submitted with the appropriate modifier.
- Failure to bill total number of minutes may result in incorrect reimbursement or claim denial
- Appropriate modifiers must be utilized

APC Billing Rules

- Critical Access Hospitals (CAHs) are required to bill with 13x-14x codes.
- The bill type for APC claims is limited to 13xs-14x range.
- Late charge claims are not allowed. Only replacement claims. Claims with late charges will be denied and must be resubmitted.
- Claims spanning two calendar years will be required to be submitted by the provider as one claim.
- CMS Maximum Unit Edits (MUEs) will be applied per line, per claim.
 - Claim lines exceeding the MUE value will be denied.
- Observation: Providers are required to bill HCPCS G0378 along with the revenue code. The Observation G code will allow the case rate.
- Ambulance Claims: Need to be submitted on a CMS 1500 form. Any Ambulance claim submitted on a UB will be denied.
- Revenue codes and HCPCS codes are required for APC claims.

Comprehensive Day Rehab

- Must be billed on a CMS 1500 Claim Form
- Must be billed in location 61
- Acceptable modifiers

Deliveries

- Use appropriate value codes as well as birth weight when billing for delivery services.

DME/Supplies/Prosthetics and Orthotics

- Must be billed with an appropriate modifier
- Purchase only services must be billed with modifier NU
- Rental services must be billed with modifier RR

Hearing Aids

- Must be billed with the appropriate modifier LT or RT

Home Health

- Must be billed on a UB 04

- Bill type must be 3XX
- Must be billed in location 12
- Both Rev and CPT codes are required
- Each visit must be billed individually on separate service line

Long Term Acute Care Facilities (LTACs)

- Long Term Acute Care Facilities (LTACs) must submit Functional Status Indicators on claim submissions.

Maternity Services

- Providers must utilize correct coding for Maternity Services.
- Services provided to members prior to their Wellcare effective date should be correctly coded and submitted to the payer responsible.
- Services provided to the member on or after their Wellcare effective date should be correctly coded and submitted to Wellcare.

Modifiers

- Appropriate Use of – 25, 26, TC, 50, GN, GO, GP
- **25 Modifier** - should be used when a significant and separately identifiable E&M service is performed by the same physician on the same day of another procedure (e.g., 99381 and 99211-25. Modifier 25 is subject to the code edit and audit process. Appending a modifier 25 is not a guarantee of automatic payment and may require the submission of medical records. Well-Child and sick visit performed on the same day by the same physician). *NOTE: 25 modifiers are not appended to non-E&M procedure codes, e.g., lab.
- **26 Modifier** – should never be appended to an office visit CPT code.

Use 26 modifier to indicate that the professional component of a test or study is performed using the 70000 (radiology) or 80000 (pathology) series of CPT codes. Inappropriate use may result in a claim denial/rejection.

- **TC Modifier** – used to indicate the technical component of a test or study is performed
- **50 Modifier** – indicates a procedure performed on a bilateral anatomical site
 - Procedure must be billed on a single claim line with the 50 modifier and quantity of one.
 - RT and LT modifiers or quantities greater than one should not be billed when using modifier 50

- **GN, GO, GP Modifiers** – therapy modifiers required for speech, occupational, and physical therapy

Supplies

- Physicians may bill for supplies and materials in addition to an office visit if these supplies are over and above those usually included with the office visit.
- Supplies such as gowns, drapes, gloves, specula, pelvic supplies, urine cups, swabs, jelly, etc., are included in the office visit and may not be billed separately. Providers may not bill for any reusable supplies.

Outpatient Hospital Laboratory Services

- Bill Type 141 – Must be utilized when a non-inpatient or non-outpatient hospital member's specimen is submitted for analysis to the Hospital Outpatient Laboratory. The Member is not physically present at the hospital.
- Bill Type 131 and Modifier L1 – Must be utilized when the hospital only provides laboratory tests to the Member and the Member does not also receive other hospital outpatient services during the same encounter. Must also be utilized when a hospital provides a laboratory test during the same encounter as other hospital outpatient services that are clinically unrelated to the other hospital outpatient services, and the laboratory test is ordered by a different practitioner than the practitioner who ordered the other hospital outpatient services provided in the hospital outpatient setting.
- Services not billed following the above guidelines will be denied as EX code AT.

POA

- Present on Admission (POA) Indicator is required on all inpatient facility claims
 - Failure to include the POA may result in a claim denial/rejection

Rehabilitation Services – Inpatient Services

- Functional status indicators must be submitted for inpatient Rehabilitation Services.

Telemedicine

- Physicians at the distant site may bill for telemedicine services and **MUST** utilize the appropriate modifier to identify the service was provided via telemedicine.
 - E&M CPT plus the appropriate modifier
 - Via interactive audio and video tele-communication systems.

Appendix VIII: Reimbursement Policies

As a general rule, Wellcare follows Medicare reimbursement policies. Instances that vary from Medicare include:

Physician Rules

Calculating Anesthesia

Anesthesia time is defined as the period during which an anesthesia practitioner is present with the patient. It starts when the anesthesia practitioner begins to prepare the patient for anesthesia services in the operating room or an equivalent area and ends when the anesthesia practitioner is no longer furnishing anesthesia services to the patient, that is, when the patient may be placed safely under postoperative care. Anesthesia time is a continuous time period from the start of anesthesia to the end of an anesthesia service.

Certified Nurse Midwife (CNM) Rules

Payment for CNM services is made at 100% of the contracted rate.

EKG Payment

EKG Interpretation is separately billable and payable from the actual test. However, the first provider to bill receives payment for services.

Physician Site of Service

Physicians will be paid at Physician rate only at the following Sites of Service: Office, Home, Assisted Living Facility, Mobile unit, walk in retail health clinic, urgent care facility, birthing center, nursing facility, SNFs, independent clinic, FQHC, Intermediate HC Facility, Resident Substance Abuse Facility, Nonresident Substance Abuse Facility, Comprehensive OP Rehab facility, ESRD Facility, State or Local Health Clinic, RHC, Indy lab, Other POS.

Endoscopic Multiple Procedure Rules

When you have two sets of unrelated endoscopies (e.g., 43202 and 43217; 46606 and 46608) - identify the primary code within the family, and then apply multiple procedure discounts to the two primary codes. Secondary codes are not paid because you consider the total payment for each set of endoscopies as one service.

When you have two related endoscopies and a third, unrelated procedure - identify the primary code in the related endoscopies. Then apply multiple procedure discounts to the unrelated code and the identified primary code. The secondary code is not paid because you consider the total payment for each set of endoscopies as one service.

Diagnostic Testing of Implants

Charges and payments for diagnostic testing of implants following surgery is not included in the global fee for surgery and is reimbursable if the testing is outside the global timeframe. If it is inside the global timeframe, it is not reimbursable.

Lesser of Language

Pay Provider lesser of the Providers allowable charges or the negotiated rate

Multiple Procedure Rules for Surgery

Payment should be paid at 100%/50%/50%, starting with procedure ranked highest. Max of 3 procedures.

Procedures 4+ are subject to manual review and payment if appropriate.

Multiple Procedure Ranking Rules

If two or more multiple surgeries are of equal payment value and bill charges do not exceed the payment rate, rank them in descending dollar order billed pay based on multiple procedure discounts.

Multiple Procedure Rules for Radiology

Multiple procedure radiology codes follow Multiple Procedure discount rules: 100%/50%/50%, max three radiology codes.

Physician Assistant (PA) Payment Rules

Physician assistant services are paid at 85% of what a physician is paid under the Wellcare Physician Fee Schedule.

- PA services furnished during a global surgical period shall be paid 85% of what a physician is paid under the Wellcare Physician Fee Schedule.
- PA assistant-at-surgery services at 85 percent of what a physician is paid under the Medicare Physician Fee Schedule. Since physicians are paid at 16% of the surgical payment amount under the Medicare Physician Fee Schedule for assistant-at-surgery services, the actual payment amount that PAs receive for assistant-at-surgery services is 13.6% of the amount paid to physicians. The AS modifier must be used.

Nurse Practitioner (NP) and Clinical Nurse Specialist (CNS) Payment Rules

In general, NPs and CNSs are paid for covered services at 85% of what a physician is paid under the Wellcare Physician Fee Schedule.

- NP or CNS assistant-at-surgery services at 85% of what a physician is paid under the Wellcare Physician Fee Schedule. Since physicians are paid at 16% of the surgical payment amount under the Wellcare Physician Fee Schedule for assistant-at-surgery services, the actual payment

amount that PAs receive for assistant-at-surgery services is 13.6% of the amount paid to physicians. The AS modifier must be used.

Surgical Physician Payment Rules

For surgeries billed with either modifier 54, 55, 56, or 78 pay the appropriate percentage of the fee schedule payment as identified by the modifier and procedure code used.

Incomplete Colonoscopy Rule

Incomplete colonoscopies should be billed with CPT 45378 and MOD 53. This will pay 25% of the FS rate for the incomplete procedures. The rest of the claim pays according to the FS.

Injection Services

Injection service codes must pay separately if no other physician service is paid and when not billed with office visit. If an office visit is billed, then no injection is payable because it is covered in the office charge.

Unpriced Codes

In the event that the CMS/Medicare RBRVS does not contain a published fee amount, an alternate “gap fill” source is utilized to determine the fee amount. If there is no fee available on the alternate “gap fill” source, Wellcare will reimburse 40% of billed charges less any applicable copay, coinsurance or deductible, unless contracted differently. Unlisted codes are subject to the code edit and audit process and will require the submission of medical records.

Rental or Purchase Decisions

Rental or purchase decisions are made at the discretion of Medical Management.

Payment for Capped Rental Items during Period of Continuous Use

When no purchase options have been exercised, rental payments may not exceed a period of continuous use of longer than 15 months. For the month of death or discontinuance of use, contractors pay the full month rental. After 15 months of rental have been paid, the supplier must continue to provide the item without any charge, other than for the maintenance and servicing fees until medical necessity ends or Wellcare coverage ceases. For this purpose, unless there is a break in need for at least 60 days, medical necessity is presumed to continue. Any lapse greater than 60 days triggers new medical necessity.

If the beneficiary changes suppliers during or after the 15-month rental period, this does not result in a new rental episode. The supplier that provides the item in the 15th month of the rental period is responsible for supplying the equipment and for maintenance and servicing after the 15-month period. If the supplier changes after the 10th month, there is no purchase option.

Percutaneous Electrical Nerve Stimulator (PENS) Rent Status While Hospitalized

An entire month's rent may not be paid when a patient is hospitalized during the month. The rent will be prorated to allow for the time not hospitalized.

Transcutaneous Electrical Nerve Stimulator (TENS)

In order to permit an attending physician time to determine whether the purchase of a TENS is medically appropriate for a particular patient, contractors pay 10 percent of the purchase price of the item for each of 2 months. The purchase price and payment for maintenance and servicing are determined under the same rules as any other frequently purchased item. There is a reduction in the allowed amount for purchase due to the two months rental.

Appendix IX: EDI Companion Guide Overview

The Companion Guide provides Wellcare trading partners with guidelines for submitting the ASC X12N/005010x222 Health Care Claim: Professional (837P) and ASC X12N/005010x223 Health Care Claim: Institutional (837I). The Wellcare Companion Guide documents any assumptions, conventions, or data issues that may be specific to Wellcare business processes when implementing the HIPAA ASC X12N 5010A Technical Reports Type 3 (TR3). As such, this Companion Guide is unique to Wellcare and its affiliates.

This document does NOT replace the HIPAA ASC X12N 5010A Technical Reports Type 3 (TR3) for electronic transactions, nor does it attempt to amend any of the rules therein or impose any mandates on any trading partners of Wellcare. This document provides information on Wellcare - specific code handling and situation handling that is within the parameters of the HIPAA administrative Simplification rules. Readers of this Companion Guide should be acquainted with the HIPAA Technical Reports Type 3, their structure and content. Information contained within the HIPAA TR3s has not been repeated here although the TR3s have been referenced when necessary. The HIPAA ASC X12N 5010A Technical Reports Type 3 (TR3) can be purchased at <http://store.x12.org>.

The Companion Guide provides supplemental information to the Trading Partner Agreement (TPA) that exists between Wellcare and its trading partners. Refer to the TPA for guidelines pertaining to Wellcare legal conditions surrounding the implementations of EDI transactions and code sets. Refer to the Companion Guide for information on Wellcare business rules or technical requirements regarding the implementation of HIPAA compliant EDI transactions and code sets.

Nothing contained in this guide is intended to amend, revoke, contradict, or otherwise alter the terms and conditions of the Trading Partner Agreement. **If there is an inconsistency with the terms of this guide and the terms of the Trading Partner Agreement, the terms of the Trading Partner Agreement shall govern.**

Express permission to use X12 copyrighted materials within this document has been granted.

Rules of Exchange

The Rules of Exchange section details the responsibilities of trading partners in submitting or receiving electronic transactions with Wellcare.

Transmission Confirmation

Transmission confirmation may be received through one of two possible transactions: the ASC X12C/005010X231 Implementation Acknowledgment for Health Care Insurance (TA1, 999). A TA1 Acknowledgement is used at the ISA level of the transmission envelope structure, to confirm a positive transmission or indicate an error at the ISA level of the transmission. The 999 Acknowledgement may be used to verify a successful transmission or to indicate various types of errors.

Transmission Confirmation cont.

Confirmations of transmissions, in the form of TA1 or 999 transactions, should be received within 24 hours of batch submissions, and usually sooner. Senders of transmissions should check for confirmations within this time frame.

Batch Matching

Senders of batch transmissions should note that transactions are unbundled during processing, and rebundled so that the original bundle is not replicated. Trace numbers or patient account numbers should be used for batch matching or batch balancing.

TA1 Interchange Acknowledgement

The TA1 Interchange Acknowledgement provides senders a positive or negative confirmation of the transmission of the ISA/IEA Interchange Control.

999 Functional Acknowledgement

The 999 Functional Acknowledgement reports on all Implementation Guide edits from the Functional Group and transaction Sets.

277CA Health Care Claim Acknowledgement

The X12N005010X214 Health Care Claim Acknowledgment (277CA) provides a more detailed explanation of the transaction set. Wellcare also provides the Pre-Adjudication rejection reason of the claim within the STC12 segment of the 2220D loop. **NOTE: The STC03 – Action Code will only be a “U” if the claim failed on HIPAA validation errors, NOT Pre-Adjudication errors.**

Duplicate Batch Check

To ensure that duplicate transmissions have not been sent, Wellcare checks five values within the ISA for redundancy:

- ISA06, ISA08, ISA09, ISA10, ISA13

Collectively, these numbers should be unique for each transmission. A duplicate ISA/IEA receives a TA1 response of “025” (Duplicate Interchange Control Number).

Duplicate Batch Check cont.

To ensure that Transaction Sets (ST/SE) have not been duplicated within a transmission, Wellcare checks the ST02 value (Transaction Set Control Number), which should be a unique ST02 within the Functional Group transmitted.

Note: ISA08 & GS03 could also be the Single Payer ID

New Trading Partners

New trading partners should access <https://sites.edifecs.com>, register for access, and perform the steps in the Wellcare trading partner program. The EDI Support Desk (EDIBA@Centene.com) will contact you with additional steps necessary upon completing your registration.

Claims Processing

Acknowledgements

Senders receive four types of acknowledgement transactions: the TA1 transaction to acknowledge the Interchange Control Envelope (ISA/IEA) of a transaction, the 999 transaction to acknowledge the Functional Group (GS/GE) and Transaction Set (ST/SE), the 277CA transaction to acknowledge health care claims, and the Wellcare Audit Report. At the claim level of a transaction, the only acknowledgement of receipt is the return of the Claim Audit Report and/or a 277CA.

Coordination of Benefits (COB) Processing

To ensure the proper processing of claims requiring coordination of benefits, Wellcare recommends that providers validate the patient's Membership Number and supplementary or primary carrier information for every claim.

Code Sets

Only standard codes, valid at the time of the date(s) of service, should be used.

Corrections and Reversals

The 837 defines what values submitters must use to signal payers that the Inbound 837 contains a reversal or correction to a claim that has previously been submitted for processing. For both Professional and Institutional 837 claims, 2300 CLM05-3 (Claim Frequency Code) must contain a value for the National UB Data Element Specification Type List Type of Bill Position 3.

Data Format/Content

Wellcare accepts all compliant data elements on the 837 Professional Claim. The following points outline consistent data format and content issues that should be followed for submission.

Dates

The following statements apply to any dates within an 837 transaction:

- All dates should be formatted according to Year 2000 compliance, CCYYMMDD, except for ISA segments where the date format is YYMMDD.
- The only values acceptable for “CC” (century) within birthdates are 18, 19, or 20.
- Dates that include hours should use the following format: CCYYMMDDHHMM.
- Use Military format, or numbers from 0 to 23, to indicate hours. For example, an admission date of 201006262115 defines the date and time of June 26, 2010 at 9:15 PM.
- No spaces or character delimiters should be used in presenting dates or times.
- Dates that are logically invalid (e.g., 20011301) are rejected.
- Dates must be valid within the context of the transaction. For example, a patient’s birth date cannot be after the patient’s service date.

Decimals

All percentages should be presented in decimal format. For example, a 12.5% value should be presented as .125.

Dollar amounts should be presented with decimals to indicate portions of a dollar; however, no more than two positions should follow the decimal point. Dollar amounts containing more than two positions after the decimal point are rejected.

Monetary and Unit Amount Values

Wellcare accepts all compliant data elements on the 837 Professional Claim; however, monetary or unit amount values that are in negative numbers are rejected.

Delimiters

Delimiters are characters used to separate data elements within a data string. Delimiters suggested for use by Wellcare are specified in the Interchange Header segment (the ISA level) of a transmission; these include the tilde (~) for segment separation, the asterisk (*) for element separation, and the colon (:) for component separation.

Phone Numbers

Phone numbers should be presented as contiguous number strings, without dashes or parenthesis markers. For example, the phone number (336) 555-1212 should be presented as 3365551212. Area codes should always be included. Wellcare requires the phone number to be AAABBBCCCC where AAA is the Area code, BBB is the telephone number prefix, and CCCC is the telephone number.

Additional Items

- Wellcare will not accept more than 97 service lines per UB-04 claim.

- Wellcare will not accept more than 50 service lines per CMS 1500 claim.
- Wellcare will only accept single digit diagnosis pointers in the SV107 of the 837P.
- The Value Added Network Trace Number (2300-REF02) is limited to 30 characters.

Identification Codes and Numbers

General Identifiers

Federal Tax Identifiers

Any Federal Tax Identifier (Employer ID or Social Security Number) used in a transmission should omit dashes or hyphens. Wellcare sends and receives only numeric values for all tax identifiers.

Sender Identifier

The Sender Identifier is presented at the Interchange Control (ISA06) of a transmission. Wellcare expects to see the sender's Federal Tax Identifier (ISA05, qualifier 30) for this value. In special circumstances, Wellcare will accept a "Mutually Defined" (ZZ) value. Senders wishing to submit a ZZ value must confirm this identifier with Wellcare EDI.

Provider Identifiers

National Provider Identifiers (NPI)

HIPAA regulation mandates that providers use their NPI for electronic claims submission. The NPI is used at the record level of HIPAA transactions; for 837 claims, it is placed in the 2010AA loop. See the 837 Professional Data Element table for specific instructions about where to place the NPI within the 837 Professional file. The table also clarifies what other elements must be submitted when the NPI is used.

Billing provider

The Billing Provider Primary Identifier should be the group/organization ID of the billing entity, filed only at 2010AA. This will be a Type 2 (Group) NPI unless the Billing provider is a sole proprietor and processes all claims and remittances with a Type 1 (Individual) NPI.

Rendering Provider

When providers perform services for a member, the service will need to be reported in the Rendering Provider Loop (2310B or 2420A). You should only use 2420A when it is different than Loop 2310B/NM1*82.

Referring Provider

Wellcare has no specific requirements for Referring Provider information.

Atypical Provider

Atypical providers are not always assigned an NPI number, however, if an atypical provider has been assigned an NPI, then they need to follow the same requirements as a medical provider. An Atypical provider which provides non-medical services is not required to have an NPI number (i.e., carpenters, transportation, etc.). Existing Atypical providers need only send the Provider Tax ID in the REF segment of the billing provider loop. **NOTE: If an NPI is billed in any part of the claim, it will not follow the Atypical Provider Logic.**

Subscriber Identifiers

Submitters must use the entire identification code as it appears on the **subscriber's card** in the 2010BA element.

Claim Identifiers

Wellcare issues a claim identification number upon receipt of any submitted claim. The ASC X12 Technical Reports (Type 3) may refer to this number as the Internal Control Number (ICN), Document Control Number (DCN), or the Claim Control Number (CCN). It is provided to senders in the Claim Audit Report and in the CLP segment of an 835 transaction. Wellcare returns the submitter's Patient Account Number (2300, CLM01) on the Claims Audit Report and the 835 Claim Payment/Advice (CLP01).

Connectivity Media for Batch Transactions

Secure File Transfer

Wellcare encourages trading partners to consider a secure File Transfer Protocol (FTP) transmission option. Wellcare offers two options for connectivity via FTP.

- Method A – the trading partner will push transactions to the Wellcare FTP server and Wellcare will push outbound transactions to the Wellcare FTP server.
- Method B – the trading partner will push transactions to the Wellcare FTP server and Wellcare will push outbound transactions to the trading partner's FTP server.

Encryption

Wellcare offers the following methods of encryption SSH/SFTP, FTPS (Auth TLS), FTP w/PGP, HTTPS (Note this method only applies with connecting to Wellcare's Secure FTP. Wellcare does not support retrieve files automatically via HTTPS from an external source at this time.) If PGP or SSH keys are used, they will be shared with the trading partner. These are not required for those connecting via SFTP or HTTPS.

Direct Submission

Wellcare also offers posting an 837 batch file directly on the Provider Portal website for processing.

Edits and Reports

Incoming claims are reviewed first for HIPAA compliance and then for Wellcare business rules requirements. The business rules that define these requirements are identified in the 837 Professional Data Element Table below and are also available as a comprehensive list in the 837 Professional Claims – Wellcare Business Edits Table. HIPAA TR3 implementation guide errors may be returned on either the TA1 or 999 while Wellcare business edit errors are returned on the Wellcare Claims Audit Report.

Reporting

The following table indicates which transaction or report to review for problem data found within the 837 Professional Claim Transaction.

| Transaction Structure Level | Type of Error or Problem | Transaction or Report Returned |
|--|---|---|
| ISA/IEA Interchange Control | | TA1 |
| GS/GE Functional Group ST/SE Segment Detail Segments | HIPAA Implementation Guide violations | 999 Wellcare Claims Audit Report (a proprietary confirmation and error report) |
| Detail Segments | Wellcare Business Edits (see audit report rejection reason codes and explanation.) | Wellcare Claims Audit Report (a proprietary confirmation and error report) |
| Detail Segments | HIPAA Implementation Guide violations and Wellcare Business Edits. | 277CA |

277CA/Audit Report Rejection Codes

| Error Code | Rejection Reason |
|------------|------------------|
| 01 | Invalid Mbr DOB |
| 02 | Invalid Mbr |

| Error Code | Rejection Reason |
|------------|---|
| 06 | Invalid Provider |
| 07 | Invalid Mbr DOB & Provider |
| 08 | Invalid Mbr & Provider |
| 09 | Mbr not valid at DOS |
| 10 | Invalid Mbr DOB; Mbr not valid at DOS |
| 12 | Provider not valid at DOS |
| 13 | Invalid Mbr DOB; Prv not valid at DOS |
| 14 | Invalid Mbr; Prv not valid at DOS |
| 15 | Mbr not valid at DOS; Invalid Prv |
| 16 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Prv |
| 17 | Invalid Diag Code |
| 18 | Invalid Mbr DOB; Invalid Diag |
| 19 | Invalid Mbr; Invalid Diag |
| 21 | Mbr not valid at DOS; Prv not valid at DOS |
| 22 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS |
| 23 | Invalid Prv; Invalid Diagnosis Code |
| 24 | Invalid Mbr DOB; Invalid Prv; Invalid Diag Code |
| 25 | Invalid Mbr; Invalid Prv; Invalid Diag Code |
| 26 | Mbr not valid at DOS; Invalid Diag Code |
| 27 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Diag Code |

| Error Code | Rejection Reason |
|------------|---|
| 29 | Provider not valid at DOS; Invalid Diag Code |
| 30 | Invalid Mbr DOB; Prv not valid at DOS; Invalid Diag |
| 31 | Invalid Mbr; Prv not valid at DOS; Invalid Diag |
| 32 | Mbr not valid at DOS; Prv not valid; Invalid Diag |
| 33 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Prv; Invalid Diag |
| 34 | Invalid Proc |
| 35 | Invalid Mbr DOB; Invalid Proc |
| 36 | Invalid Mbr; Invalid Proc |
| 37 | Invalid Future Service Date |
| 38 | Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag |
| 39 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag |
| 40 | Invalid Prv; Invalid Proc |
| 41 | Invalid Mbr DOB, Invalid Prv; Invalid Proc |
| 42 | Invalid Mbr; Invalid Prv; Invalid Proc |
| 43 | Mbr not valid at DOS; Invalid Proc |
| 44 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Proc |
| 46 | Prv not valid at DOS; Invalid Proc |
| 48 | Invalid Mbr; Prv not valid at DOS; Invalid Proc |
| 49 | Mbr not valid at DOS; Invalid Prv; Invalid Proc |
| 51 | Invalid Diag; Invalid Proc |

| Error Code | Rejection Reason |
|------------|---|
| 52 | Invalid Mbr DOB; Invalid Diag; Invalid Proc |
| 53 | Invalid Mbr; Invalid Diag; Invalid Proc |
| 55 | Mbr not valid at DOS; Prv not valid at DOS; Invalid Proc |
| 57 | Invalid Prv; Invalid Diag; Invalid Proc |
| 58 | Invalid Mbr DOB; Invalid Prv; Invalid Diag; Invalid Proc |
| 59 | Invalid Mbr; Invalid Prv; Invalid Diag; Invalid Proc |
| 60 | Mbr not valid at DOS; Invalid Diag; Invalid Proc |
| 61 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Diag; Invalid Proc |
| 63 | Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 64 | Invalid Mbr DOB; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 65 | Invalid Mbr; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 66 | Mbr not valid at DOS; Invalid Prv; Invalid Diag; Invalid Proc |
| 67 | Invalid Mbr DOB; Mbr not valid at DOS; Invalid Prv; Invalid Diag; Invalid Proc |
| 72 | Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 73 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag; Invalid Proc |
| 74 | Services performed prior to Contract Effective Date |
| 75 | Invalid units of service |
| 76 | Original Claim Number Required |
| 77 | Invalid Claim Type |
| 78 | Diagnosis Pointer- Not in sequence or incorrect length |

| Error Code | Rejection Reason |
|--------------|--|
| 81 | Invalid units of service, Invalid Prv |
| 83 | Invalid units of service, Invalid Prv, Invalid Mbr |
| 89 | Invalid Mbr DOB; Mbr not valid at DOS; Prv not valid at DOS; Invalid Diag |
| 91 | Invalid Missing Taxonomy or NPI/Invalid Prov |
| 92 | Invalid Referring/Ordering NPI |
| 93 | Mbr not valid at DOS; Invalid Proc |
| 96 | GA OPR NPI Registration-State |
| A2 | Diagnosis Pointer Invalid |
| A3 | Service Lines- Greater than 97 Service lines submitted- Invalid |
| B1 | Rendering and Billing NPI are not tied on State File- IN rejection |
| B2 | Not enrolled with MHS IN and/or State with rendering NPI/TIN on DOS. Enroll with MHS and Resubmit claim |
| B5 | Invalid CLIA |
| C7 | NPI Registration- State GA OPR |
| C9 | Invalid/Missing Attending NPI |
| HP/H1/H 2 | ICD9 after end date/ICD10 sent before Eff Date/Mixed ICD versions |



www.wellcare.com